

## The Effect of Microstructure on Estimation of the Fracture Toughness ( $K_{IC}$ ) Rotor Steel Using Charpy Absorbed Energy (CVN)

A. Salemi Golezani \*<sup>a</sup>

<sup>a</sup>Assistance prof. of Metallurgy, Islamic Azad University-Karaj Branch, Karaj, Iran

---

### ARTICLE INFO

#### Article history:

Received 22 Jul 2012

Accepted 28 Dec 2012

Available online 20 November 2013

#### Keywords:

Fracture toughness

Charpy absorbed energy

Triple phase microstructure

---

### ABSTRACT

The proportional relationships between the Charpy absorbed energy (CVN) and the  $K_{IC}$  values have been established for a wide variety of steels. Several formulae have been proposed that predict  $K_{IC}$  from CVN. The purpose of this study is to investigate, by means of compact testing fracture toughness specimens, the effective role of microstructure for estimation of the fracture toughness ( $K_{IC}$ ) of rotor steel using Charpy absorbed energy (CVN). To achieve this objective, a number of rotor steel samples were heat treated by step quenching procedure, and the fracture toughness and impact energy were measured. It was found that the calculated fracture toughness values, which were derived using a developed CVN- $K_{IC}$  relationship, disagreed with the experimental results.

### 1. Introduction

The methods for determining  $K_{IC}$  are divided into direct and indirect routes. The direct methods ultimately result in the numerical value of  $K_{IC}$ , while the indirect ones are estimating and based on direct methods. The most current and applicable experiment by which  $K_{IC}$  can be directly calculated is the outcome of a decade of research which has been presented as ASTM E 399 standard [1]. One of the shortcomings of this method is the inevitability of plane-strain in experiment samples, the cost and the statistical scattering of the values obtained from the test. In methods such as J-integral, Crack Tip Opening Displacement (CTOD) and Begly-Logsson method, the value of  $K_{IC}$  is indirectly

determined [2, 3]. Another indirect method for estimating the value of  $K_{IC}$  is using the information obtained from Charpy V Notch (CVN) impact test. The data obtained from this experiment present a certain behavior of the material which cannot be observed by stretch or hardness experiments.

Since 1970 a great deal of researches and studies have been accomplished in order to estimate the value of  $K_{IC}$  from CVN values [4, 20].

In pressure vessels, power plants, nuclear reactors and compressors, determining  $K_{IC}$  from CVN values is an economical, rapid and convenient method for evaluating the structural continuity and estimating the life extension of the constructions.

---

Corresponding author:

E-mail address: salemiali@kiaau.ac.ir (Ali salemi Golezani).

From among the most important methods presented with respect to determination of  $K_{IC}$  from CVN values which have challenged metallurgical issues besides considering fracture mechanics and have resulted in presenting formulae, Barsom-Rolfe, Begley-Logsdon and Sailors-Cortens methods can be mentioned [3, 19].

Table 1 shows the most important equations for determining  $K_{IC}$  from CVN values. Although there are numerous methods as well as extracted formulae which can relate  $K_{IC}$  to CVN, however, there is no general and unique equation in this regard. Only the formulae called -Roos-Kusssmaul (equation 1) may be exceptional [20].

$$\left(\frac{K_{IC}}{\sigma_y}\right)^2 = 1.23 \left(\frac{CVN}{\sigma_y} - 0.0061\right) \quad (1)$$

Where  $MPa(m)^{1/2}$ , MPa and J are fracture toughness unit, yield stress, and impact energy, respectively. This equation is used for measuring fracture toughness from the values of impact energy in pressure vessels steels.

In the present study the effect of microstructure on fracture behavior in impact and fracture toughness tests, an affect which has been overlooked in all equations presented by other researchers [3, 19-33]. For this prurpose, estimation of  $K_{IC}$  based on the data obtained from CVN values was investigated for Ferrite-Bainite-Martensite microstructures with different percentages of Ferrite in rotor steel. The principal aim of this research is the study of microstructure variations on the calculated  $K_{IC}$  values and comparing it with measured values.

## 2. Materials and methodology

In this study a chromium–molybdenum steel plate. Chemical composition of this material is shown in Table 2.

Three different microstructures of Ferrite-Bainite-Martensite were developed in the samples via step quenching procedure. To do this, the samples were first heated up to 850 °C and austenitised for 1 hour at this temperature, followed by quenching in salt bath at 650 °C. To produce Ferrite phase the samples were isothermally cooled at this temperature for 4, 8 and 12 minutes. The specimens were again quenched from 650 °C at 550 °C (the upper Bainite transformation range) and isothermally kept at this temperature for 4 minutes to generate Bainite phase. Finally, in order to obtain the Martensite phase specimens were quenched in oil. For metallography the samples were etched in 4% nital solution and Ferrite weight fraction in triple-phase microstructures was obtained by using image analysis softwares by the ratio of Ferrite area to total area. Also, in order to facilitate the distinguishing of the phases another solution was used for tint etching. To produce this solution Pikral 4% and Sodium Metabite Sulphite (1.5gr Sodium Metabite Sulphite+100CC distilled water) were separately prepared and the compound of these two solutions was used for etching. For hardness testing, Vickers microhardness test was used. I this method, a 10gr weight was used for hardness testing. For impact test, according to DIN 50125 standard, a pendulum device with the hammer speed 3.3 m/s was used. In fracture toughness testing, standard C(T) samples with Chevron notch was used (Fig. 1). Loading frequency was 20Hz and in sinusoidal wave. Meanwhile, in fatigue crack

formation process  $\frac{P_{Min}}{P_{Max}}$  was chosen as 0.1.

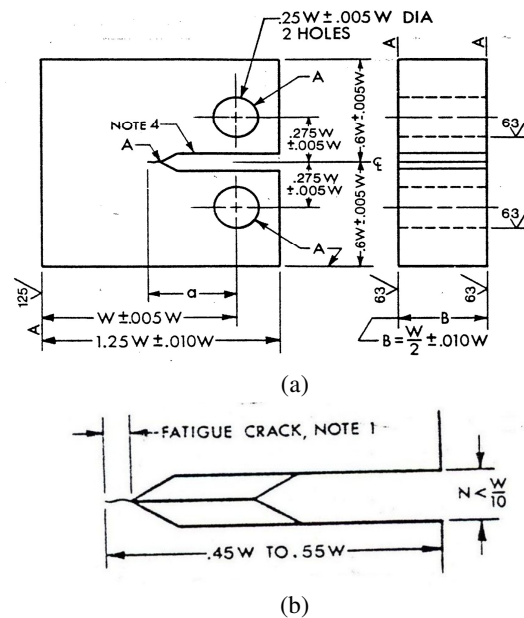
By testing 13mm-thick C(T) specimens  $K_{IC}$  values were measured according to ASTM E399 standard. The otaied values were verified by comapison with the standrard.

**Table1.** Relationships between the Charpy absorbed energy (CVN) and the  $K_{IC}$  values

Reference	Range	Formulae	Equation Title
26	$3 < CVN < 82$ J	$\frac{K_{IC}^2}{E} = 0.22(CVN)^{3/2}$	Barsom-Rolfe
27	$7 < CVN < 68$ J	$K_{IC} = 14.6(CVN)^{1/2}$	Sailors-Cortens
[88]	$6 < CVN < 55$ J	$K_{IC} = 18.2(CVN)^{1/2}$	Thorby-Fergusn
[88]	Lower shelf	$K_{IC} = 20(CVN)^{1/2}$	Marandet-Sanz
28	Lower shelf	$K_{IC-LS} = 0.093\sigma_{0.2}(F16)$	Begley-Logsdon
29	Lower shelf	$K_{IC} = \frac{6600}{60} - (T - FATT)$	Jones
30	$760 < \sigma_{ys} < 1700$ MPa	$\left(\frac{K_{IC}}{\sigma_y}\right)^2 = 0.64\left(\frac{CVN}{\sigma_y} - 0.01\right)$	Rolfe-Novak
31-32	UHS aircraft steel	$\left(\frac{K_{IC}}{\sigma_y}\right)^2 = 1.37\left(\frac{CVN}{\sigma_y}\right) - 0.045$	Ault
33	Pressure vessel steels	$\left(\frac{K_{IC}}{\sigma_y}\right)^2 = 1.23\left(\frac{CVN}{\sigma_y} - 0.0061\right)$	Kusmaul - Roos

**Table2.** Chemical composition of experimental steel (wt.%)

C	Cr	Mo	Mn	Si	P	S
0.35	1.1	0.235	0.52	0.36	0.014	0.006



**Fig.1.** a) Compact specimen C(T) in accordance with ASTM E399 b) Chevron starter notch and fatigue crack [4]

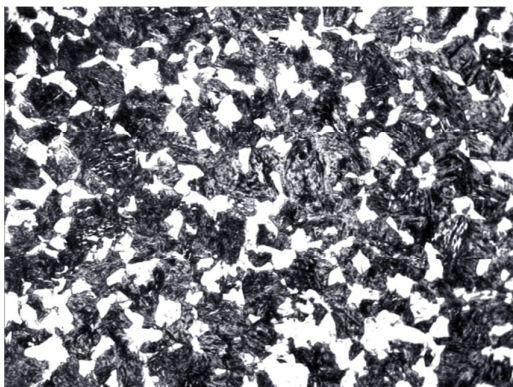
**Table3.** The heat treatment cycles and microstructures

Microstructure	Heat Treatment Cycle	Index
Martensite-Bainite-Ferrite 24%	850 °C,1hr → 650 °C,4 min → 430 °C,4 min → w.q	FBM-1
Martensite-Bainite-Ferrite 33.4%	850 °C,1hr → 650 °C,8 min → 430 °C,4 min → w.q	FBM-2
Martensite-Bainite-Ferrite 40.6%	850 °C,1hr → 650 °C,12 min → 430 °C,4 min → w.q	FBM-3

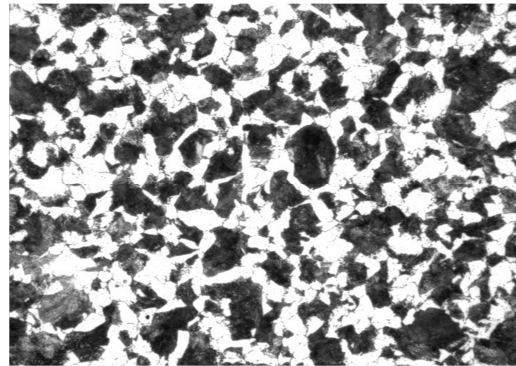
### 3. Results and Discussion

The microstructure of steel specimens which were heat treated in step quenching manner was triple-phase Ferrite-Bainite-Martensite microstructure. The results of metallographical studies by optical microscopy are given in Table 3.

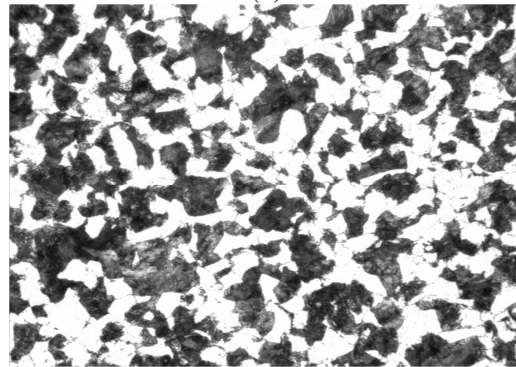
Micrographs of triple-phase microstructure obtained from step quenching are shown in Fig 2. For all specimens the microstructure included Ferrite, Bainite and Martensite phases. In this graph only two phases in white and black are observed. To make sure of the generation of triple-phase microstructure by step quenching procedure, chromic metallography was also performed on steel specimens. In color metallography the three phases of Ferrite, Bainite and Martensite were observed in azure blue, light brown and white, respectively (Fig 3). To identify the color of each single phase, hardness testing was performed.



(a)



(b)



(c)

**Fig. 2.** Micrograph of Ferrite-Bainite-Martensite microstructure a) FBM-1  $f_v(\alpha) = \%24$ , b) FBM-2  $f_v(\alpha) = \%33.4$ , c) FBM-3  $f_v(\alpha) = \%40.6$

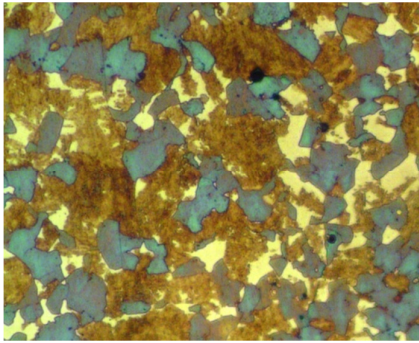
According to table 4, in hardness testing the hardness of ferrite, bainite and martensite phases was determined as 220, 280 and 457 Vickers, respectively. Comparison of the obtained values with the results found by others confirms the results of color metallography regarding accurate distinction of the phases. In the studies by other researchers the values of 200, 300 and 400 Vickers were obtained for hardness of ferrite, bainite and martensite phases, respectively [34-36]. In color metallography the etching solution was prepared by equal ratio of two solutions. In some cases this solution may

Generate only a single color. If all the phases are observed in azure blue color, the addition of a small amount of Pikral solution will solve this problem.

**Table 4.** Micro-hardness of individual phase in triple phase microstructure

Phase	Ferrite	Bainite	Martensite
Hardness (HV)	220	280	457

If only brown color is observed in triple phase microstructure, it is necessary to increase the amount of metabite sodium sulphate solution. The reason for the appearance of different phases in colors could be due to the chemical composition of steel. The elements in the phases are the only cause for the coloring of the phases by etching solution.



**Fig. 3.** Optical micrograph of the Ferrite-Bainite-Martensite microstructure after tint etching.

Ferrite, bainite and martensite were observed in azure blue, brown and white colors, respectively.

The results from impact test, fracture toughness and the values of fracture toughness obtained from Roos- Kussmaul equation (equation 1) are shown in Table 5. By comparing the calculated and measured values confirms dramatic numerical difference between measured fracture toughness values and the calculated ones. Comparison of measured fracture toughness and calculated fracture toughness for FBM-1 samples with FBM-2 and FBM-3 samples reveals that variations of measured fracture toughness for these samples are small and negligible, whereas variations of calculated fracture toughness values are dramatically high, so

that a 100% difference between calculated fracture toughness values for samples FBM-1 and FBM-3. In like manner, using the other formulae in Table 1 would show dramatic differences between measured and calculated fracture toughness values.

**Table 5.** Impact energy, calculated and measured fracture toughness values.

FBM-3	FBM-2	FBM-1	sample
76±5	39±2	15±1	Absorbed energy(J)
64.14±5	62.55±7	56.155±3	Fracture toughness ( $MPa\sqrt{m}$ )
186	152	91	Calculated fracture toughness) ( $MPa\sqrt{m}$ )

The difference between calculated and measured values has caused the variety of the relations so that no single, specified relation can be presented for calculating fracture toughness. The reported relations are valid under specific conditions. Yield strength, impact energy and shelf temperature are some of the issues which validate offered relations only in the specified range. However, this is not sufficient in its own turn since variations of calculated and measured fracture toughness values in this research reveals the important point that microstructure variations can be one of the effective parameters on validity and accuracy of these relations. It is assumed that although in many cases the value of fracture toughness cannot be estimated by calculating methods, but these relations can be effective and useful in signifying and investigating the manner of fracture toughness variations with respect to impact energy. However, the results obtained from our study do not confirm this matter and the offered relations are probably not useful in investigating the way fracture toughness varies with impact energy. Therefore, it is ultimately concluded that in addition to yield strength, impact energy and shelf temperature as the parameters which affect the estimation of fracture toughness from impact energy it is necessary to specify

the role of microstructure in this regard, too. In other words, in applying the relations for estimating fracture toughness of steel it is necessary to pay attention to microstructure as an effective parameter.

#### 4. Conclusions

In the present study the effect of microstructure variations on calculated  $K_{IC}$  and comparing it with measured values was investigated. It was concluded that the effect of microstructure on calculated fracture toughness values was more than on measured values. Therefore, it is necessary to consider microstructure as an effective parameter in applying relations for estimating steel fracture toughness. In presenting all the relations related to determining fracture toughness by low-cost and simple methods, fracture mechanics is often used for scrutinizing fracture and relevant issues, but what is important is the way microstructure variations and metallurgical parameters are effective, an issue which demands attempts beyond fracture mechanics.

#### References

- [1] ASTM E 399-97; Standard Test Method for Plane Strain Fracture Toughness of Metallic Materials, American society of testing and materials, Philadelphia, 1997.
- [2] D. Roylance; Introduction to Fracture Mechanics; Department of Materials Science and Engineering, Massachusetts Institute of Technology, June 14, 2001.
- [3] Su. B, Elastic Stresses and Deformation on an All-Steel Cylinders Without Defects and Axial Cracks, *Inte. J. Pressure Vessels and Piping* 76, 1999, pp. 789-797.
- [4] S. Maropoulos, N. Ridley, J. Kechagias; Fracture Toughness Evaluation of a HSLA Steel; *Engineering Fracture Mechanics*, 71, 2004, pp. 1695-1704.
- [5] P. Hausild, C. Berdinb, P. Bompardb; Prediction of Cleavage Fracture for a Low-Alloy Steel in the Ductile-to-Brittle Transition Temperature Range; *Materials Science and Engineering A*, 391, 2005, pp. 188-197.
- [6] W. J. Yang, B. S. Lee, Y. J. Ohc; Microstructural Parameters Governing Cleavage Fracture Behaviors in the Ductile-Brittle Transition Region in Reactor Pressure Vessel Steels; *Materials Science and Engineering A*, 379, 2004, pp. 17-26.
- [7] R. N. Ibrahim, J. W. H. Price; The CNT Specimen Testing Procedure for Evaluating Fracture Toughness; *Proceeding ICPVT-10*, July 7-10, Vienna, Austria, 2003, pp. 435-439.
- [8] A. S. Tetelman, R. A. Wullaert; Prediction of Variation in Fracture toughness from Small Specimen Test; *International Mechanics Engineering*, 71, 1970, pp. 85-91.
- [9] J. G. Logan; The Fracture Toughness of En 25 and a 3%Ni-Cr-Mo-V Steel at Various Strength Levels together with Charpy Impact Data; *International Mechanics Engineering*, 71, 1970, pp. 148-155.
- [10] R. Viswanathan; A Method for Estimation of the Fracture Toughness of CrMoV Rotor Steels Based on composition; *Journal of Engineering Materials and Technology*, 113, 1991, pp. 263-269.
- [11] J. H. Bulloch; A Study Concerning Material Fracture Toughness and some Small Punch Test Data for Low Alloy Steels; *Engineering Failure Analysis*, 11, 2004, pp. 635-653.
- [12] R. Kasada., H. Ono, A. Kimura; Small Specimen Test Technique for Evaluating Fracture Toughness of Blanket Structural Materials; *Fusion Engineering and Design*, 81, 2006, pp. 981-986
- [13] J. Heerens, R.A. Ainsworth, R. Moskovic, K. Wallin; Fracture toughness Characterization in the Ductile-to-Brittle Transition and Upper Shelf Regimes Using Pre-Cracked Charpy Single-Edge Bend Specimens; *International Journal of Pressure Vessels and Piping*, 82, 2005, pp. 649-667.
- [14] M. Holzmann, I. Dlouhy, M. Brumovsky; Measurement of Fracture Toughness Transition Behaviour of Cr-Ni-MoV Pressure Vessel Steel Using Pre-Cracked Charpy Specimens; *International Journal of Pressure Vessels and Piping*, 76, 1999, pp. 591-598.
- [15] S. Z. Qamar, A.K. Sheikh, A.F.M. Arif, T. Pervez; Regression-Based CVN-KIC Models for Hot Work Tool Steels; *Materials*

Science and Engineering A, April, 2006, pp. (press).

[16] A. Shekhter, S. Kima, D.G. Carr, A. B. Crokerb, S.P. Ringerc; Assessment of Temper Embrittlement in an Ex-Service 1Cr-1Mo-0.25V Power Generating Rotor by Charpy V-Notch Testing, KIC Fracture Toughness and Small Punch Test; *International Journal of Pressure Vessels and Piping*, 79, 2002, pp. 611-615.

[17] S. H. Nahm, A. Kim, J. Parkc; Evaluation on Toughness Degradation of Cr-Mo-V Steel Using Miniaturized Impact Specimen Technology; *International Journal of Impact Engineering*, 25, 2001, pp. 805-816

[18] T. Iwodate, Y. Tanaka, H. Takemata; Prediction of Fracture Toughness KIC Transition Curves of Pressure Vessels Steels from Charpy V-Notch Impact Results, *Journal of Pressure Vessel Technology*, 116, 1994, pp. 353-358.

[19] C. Webster, "The development of ISO 11439 for Compressed Natural Gas Vehicle Cylinder", *ISO Bulletin*, February 2001.

[20] S. T. Rolfe, S.T. Novak, ASTM STP 463. American Society for Testing and Materials, Philadelphia, 1970, pp. 124-159.

[21] J.M. Barsom, S.T. Rolfe, *Impact Testing of Metals*, ASTM STP 466, American Society for Testing and Materials, Philadelphia, 1970, pp. 281-302.

[22] G. T. Jones; Discussion on N. Calderon and J.L. Gray. *Proc Instn Mech Engrs*, 186(31-32/72), 1972, pp.121-3.

[23] Clarke B, Wirth A, "Relation between microstructure, mechanical properties and fracture morphology in low alloy steels susceptible to temper embrittlement. Proc Int Conf on fracture toughness testing and its applications", Philadelphia, May 10-16 1981.

[24] Hoon K, Cha JC, Kim CH, The effect of grain size on fracture behaviour in tempered martensite embrittlement for AISI4340 steel, *Mater Sci Eng* 1988;100, pp. 121-8.

[25] Beachem C, *Electron fractography—a tool for the study of micromechanisms of fracturing processes*, Proc Int Conf on fracture toughness testing and its applications, Philadelphia; May 10-16 1981.

[26] J. M. Barsom, S.T. Rolfe, *Impact Testing of Metals*, ASTM STP 466, American Society for Testing and Materials, Philadelphia, 1970, pp. 281-302.

[27] R. Roberts, C. Newton; *Weld Res Council Bull*, 265, 1981, pp.1-18.

[28] *Guide to Engineered Materials*, Advanced Materials and Processes, Dec 2001.

[29] G. T. Jones; Discussion on N. Calderon and J.L. Gray. *Proc Instn Mech Engrs*, 186(31-32/72), 1972, pp.121-3.

[30] S. T. Rolfe, S.T. Novak, ASTM STP 463. American Society for Testing and Materials, Philadelphia, 1970, pp. 124-159.

[31] R. T. Ault, G. M. Wald, R. B. Bertola, AFML TR 71271, Airforce Materials Lab, Wright-Patterson Airforce Base, Ohio, USA, 1971.

[32] W. A. Vander, R. R. Seely, J. E. Schwabe, EPRI NP-922, Electric Power Research Institute, Palo Alto, CA, 1983, pp. 5-22.

[33] K. Kussmaul, E. Roos, *Safety and Reliability of Pressure Components with Special Emphasis on Fracture Exclusion: 10th MPA Seminar*, vol. 1, Paper 12, Staatliche Materialprüfungsanstalt Universität, Stuttgart, 1984.

[34] D. K. Amar, J. G. Speer; *Color Tint Etching for Multiphase Steels*; *Advanced Material Processing*; 10, 2003, pp. 27-32.

[35] T. Huper, S. Endo; *Effect of Volume Fraction of Constituent Phase on the Stress-Strain Relationship of Dual Phase Steels*; *ISI International*, 39, No. 3, 1999, pp. 288-294.

[36] S. Endo, M. Nagae; *Ferrite-Martensite Dual Phase Anti-Erosion Steel*; *ISI International*, 36, No. 1, 1996, pp. 95-100.

