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# **OD-characterization of** $U_3(9)$ and its group of automorphisms

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**Abstract.** Let  $L = U_3(9)$  be the simple projective unitary group in dimension 3 over a field with  $9^2$  elements. In this article, we classify groups with the same order and degree pattern as an almost simple group related to L. Since  $Aut(L) \cong Z_4$  hence almost simple groups related to L are L, L: 2 or L: 4. In fact, we prove that L, L: 2 and L: 4 are OD-characterizable.

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## 1. Introduction

Let G be a finite group. Denote by  $\pi(G)$  the set of all prime divisors of the order of G. The prime graph  $\Gamma(G)$  of a finite group G is a simple graph with vertex set  $\pi(G)$  in which two distinct vertices p and q are joined by an edge if and only if G has an element of order pq.

**Definition 1.1** Let G be a finite group and  $|G| = p_1^{\alpha_1} \cdot p_2^{\alpha_2} \cdots p_k^{\alpha_k}$ , where  $p_1 < p_2 < \ldots < p_k$ . For  $p \in \pi(G)$ , let  $deg(p) = |\{q \in \pi(G) | p \sim q\}|$  be the degree of p in the graph  $\Gamma(G)$ , we define  $D(G) = (deg(p_1), deg(p_2), \ldots, deg(p_k))$ , which is called the degree pattern of G.

Given a finite group G, denote by  $h_{OD}(G)$  the number of isomorphism classes of finite groups S such that |G| = |S| and D(G) = D(S). In terms of the function  $h_{OD}$ , groups

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G are classified as follows:

**Definition 1.2** A group G is called k-fold OD-characterizable if there exist exactly k non-isomorphic group S such that |G| = |S| and D(G) = D(S). Moreover, a 1-fold OD-characterizable group is simply called an OD-characterizable.

**Definition 1.3** A group G is said to be an almost simple group if and only if  $S \leq G \leq Aut(S)$  for some non-abelian simple group S.

**Definition 1.4** Let p be a prime number. The set of all non-abelian finite simple groups G such that  $p \in \Pi(G) \subseteq \{2, 3, 5, \ldots, p\}$  is denoted by  $\mathfrak{S}_p$ .

It is clear that the set of all non-abelian finite simple groups is the disjoint union of the finite sets  $\mathfrak{S}_p$  for all primes p.

## 2. Preliminaries

For any group G, let  $\omega(G)$  be the set of orders of elements in G, where each possible order element occurs once in  $\omega(G)$  regardless of how many elements of that order G has. This set is closed and partially ordered by divisibility, hence it is uniquely determined by its maximal elements. The set of maximal elements of  $\omega(G)$  is denoted by  $\mu(G)$ . The number of connected component of  $\Gamma(G)$  is denoted by t(G). Let  $\pi_i = \pi_i(G), 1 \leq i \leq t(G)$ , be the *i*th connected components of  $\Gamma(G)$ . For a group of even order we let  $2 \in \pi_1(G)$ . We denote by  $\pi(n)$  the set of all primes divisors of n, where n is a natural number. Then |G|can be expressed as a product of  $m_1, m_2, \ldots, m_{t(G)}$ , where  $m_i$ 's are positive integers with  $\pi(m_i) = \pi_i$ . The numbers  $m_i$ 's,  $1 \leq i \leq t(G)$ , are called the order components of G. We write  $OC(G) = \{m_1, m_2, \ldots, m_{t(G)}\}$  and call it the set of order components of G. The set of prime graph components of G is denoted by  $T(G) = \{\pi_i(G) | i = 1, 2, \ldots, t(G)\}$ .

**Definition 2.1** Let *n* be a natural number. We say that a finite simple group *G* is a  $K_n$ -group if  $|\pi(G)| = n$ .

**Definition 2.2** Suppose that  $K \leq G$  and  $G/K \cong H$ . Then we shall call G an extension of K by H.

### 3. Elementary Results

**Definition 3.1** A group G is called a 2-Frobenius group, if there exists a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$ , such that K and  $\frac{G}{H}$  are Frobenius groups with kernels H and  $\frac{K}{H}$ , respectively.

**Lemma 3.2** [2] Let G be a 2-Frobenius group of even order which has a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$ , such that K and  $\frac{G}{H}$  are Frobenius groups with kernels H and  $\frac{K}{H}$ , respectively. Then

- (a) t(G) = 2 and  $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(G/K), \pi_2(G) = \pi(K/H)\}.$
- (b) G/K and K/H are cyclic groups, |G/K| | |Aut(K/H)|, and (|G/K|, |K/H|) = 1.
- (c) H is a nilpotent group and G is a solvable group.

The following lemmas are useful when dealing with a Frobenius group.

**Lemma 3.3** [4, 6] Let G be a Frobenius group with complement H and kernel K. Then the following assertions hold:

- (a) K is a nilpotent group;
- (b)  $|K| \equiv 1 (mod|H|);$
- (c) Every subgroup of H of order pq, with p, q (not necessarily distinct)primes, is cyclic. In particular, every Sylow Subgroup of H of odd order is cyclic and a 2-Sylow subgroup of H is either cyclic or a generalized quaternion group. If His a non-solvable group, then H has a subgroup of index at most 2 isomorphic to  $Z \times SL(2,5)$ , where Z has cyclic Sylow p-subgroups and  $\pi(Z) \cap \{2,3,5\} = \emptyset$ . In particular, 15, 20  $\notin \omega(H)$ . If H is solvable and O(H) = 1, then either H is a 2-group or H has a subgroup of index at most 2 isomorphic to SL(2,3).

**Lemma 3.4** [2] Let G be a Frobenius group of even order where H and K are Frobenius complement and Frobenius kernel of G, respectively. Then t(G) = 2 and  $T(G) = {\pi(H), \pi(K)}.$ 

Let G be a finite group with disconnected prime graph. The structure of G is given in [7] which is stated as a lemma here.

**Lemma 3.5** Let G be a finite group with disconnected prime graph. Then G satisfies one of the following conditions:

- a) s(G) = 2, G = KC is a Frobenius group with kernel K and complement C, and the two connected components of G are  $\Gamma(K)$  and  $\Gamma(C)$ . Moreover K is nilpotent, and here  $\Gamma(K)$  is a complete graph.
- b) s(G) = 2 and G is a 2-Frobenius group, i.e., G = ABC where  $A, AB \leq G$ ,  $B \leq BC$ , and AB, BC are Frobenius groups.
- c) There exists a non-abelian simple group P such that  $P \leq \overline{G} = \frac{G}{N} \leq Aut(P)$  for some nilpotent normal  $\pi_1(G)$ -subgroup N of G and  $\overline{\frac{G}{P}}$  is a  $\pi_1(G)$ -group. Moreover,  $\Gamma(P)$  is disconnected and  $s(P) \geq s(G)$ .

If a group G satisfies condition(c) of the above lemma we may write  $P = \frac{B}{N}$ ,  $B \leq G$ , and  $\frac{\overline{G}}{P} = \frac{G}{B} = A$ , hence in terms of group extensions  $G = N \cdot P \cdot A$ , where N is a nilpotent normal  $\pi_1(G)$ -subgroup of G and A is a  $\pi_1(G)$ -group.

**Theorem 3.6** [5] The following assertions are equivalent:

- (a) G is a Frobenius group with kernel K and complement H.
- (b) G = HK such that  $K \triangleleft G$  and H < G and H act on K without fixed point.

By [1], the outer automorphism group of  $U_3(9)$  is isomorphic to  $Z_4$ , hence we have

**Lemma 3.7** If G is an almost simple group related to  $L = U_3(9)$ , then G is isomorphic to one of the following groups: L, L : 2 or L : 4.

#### 4. Main Results

**Theorem 4.1** If G is a finite group such that D(G) = D(M) and |G| = |M|, where M is an almost simple group related to  $L = U_3(9)$ , then the following assertions hold:

- (a) If M = L, then L is OD-characterizable.
- (b) If M = L : 2, then L : 2 is OD-characterizable.
- (c) If M = L : 4, then L : 4 is OD-characterizable.

**Proof.** We break the proof into a number of separate cases: Case 1: If M = L, then  $G \cong L$ , by [3]. Case 2: If M = L : 2, then  $G \cong L : 2$ .

If M = L : 2, by [1],  $\mu(L : 2) = \{12, 30, 73, 80\}$  from which we deduce that D(L:2) = (2, 2, 2, 0). The prime graph of L:2 has the following form:



Figure 1: The prime graph of  $U_3(9): 2$ 

As  $|G| = |L:2| = 2^6 \cdot 3^6 \cdot 5^2 \cdot 73$  and D(G) = D(L:2) = (2, 2, 2, 0), then,  $\Gamma(G) = \Gamma(M) = \{2 \sim 3, 2 \sim 5, 3 \sim 5; 73\}$ . Thus G has a disconnected prime graph with s(G) = 2. We show that G is neither a Frobenius group nor 2-Frobenius group. If G is a Frobenius group, then by Lemma 3.5 (a), G = KC, with Frobenius kernel K and Frobenius complement C with connected components  $\Gamma(K)$  and  $\Gamma(C)$ . Note that  $\Gamma(K)$  is a graph with vertex  $\{73\}$  and  $\Gamma(C)$  with vertices  $\{2,3,5\}$ . By Lemma 3.3 (b),  $|K| \mid (|C|-1)$ . Since |K| = 73 and  $|C| = 2^6 \cdot 3^6 \cdot 5^2$ , then,  $73 \nmid (2^6 \cdot 3^6 \cdot 5^2 - 1)$ , a contradiction. If G is a 2-Frobenius group, then there is a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$  such that K and G/H are Frobenius groups with kernels H and K/H. By Lemma 3.2 (a),  $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(G/K), \pi_2(G) = \pi(K/H)\}$ . Therefore, |K/H| = 73. Also, by Lemma 3.2 (b),  $G/K \leq Aut(K/H) \cong Z_{72}$ . Hence  $|G/K| \mid 2^3 \cdot 3^2$ , which implies that  $\{5, 73\} \subseteq \pi(K)$ , and so  $5 \in \pi(H)$ . Let  $H_5 \in Syl_5(H)$  and  $G_{73} \in Syl_{73}(G)$ . Then  $H_5 charH \trianglelefteq G$ . By the nilpotency of H, we have  $H_5 \lhd G$  and  $H_5$  acts on  $G_{73}$  fixed point freely, since  $5 \approx 73$  in  $\Gamma(G)$ . Therefore, by Theorem 3.6,  $H_5 \cdot G_{73}$  is a Frobenius group. So,  $|G_{73}| \mid (|H_5| - 1)$ , i.e.,  $73 \mid (5^i - 1)$ , i = 1 or 2, a contradiction.

By Lemma 3.5 (c), there exists a non-abelian simple group P such that  $P \leq \overline{G} = G/N \leq Aut(P)$ , for some nilpotent normal  $\{2,3,5\}$ -subgroup N of G and  $\overline{G}/P$  is a  $\{2,3,5\}$ -group.

 $73 \in \pi(P)$ . Since  $\overline{G}/P$  is a  $\{2,3,5\}$ -group and  $73 \mid |G|$ , therefore, we have  $73 \mid |P|$ , i.e.,  $P \in \mathfrak{S}_{73}$ , which implies that  $\pi(P) \subseteq \{2,3,5,73\}$ . Using [8], we deduce that  $P \cong U_3(9)$ . We have  $U_3(9) \leq G/N \leq Aut(U_3(9))$ . It follows that |N| = 2 or |N| = 1.

If |N| = 1, then  $G \cong U_3(9) : 2$ .

If |N| = 2, then  $G/C_G(N) \leq Aut(N) = 1$ , so  $G = C_G(N)$  and  $N \leq Z(G)$ . Let  $G_{73} \in Syl_{73}(G)$ . Then  $N.G_{73}$  is a subgroup of G, therefore,  $N.G_{73}$  has an element of order 2.73, which implies that  $2 \sim 73$  in  $\Gamma(G)$ , a contradiction.

Case 3: If M = L : 4, then  $G \cong L : 4$ .

If M = L : 4, by [1],  $\mu(L : 4) = \{24, 30, 73, 80\}$  from which we deduce that D(L:2) = (2, 2, 2, 0). The prime graph of L:4 has the following form:



Figure 2: The prime graph of  $U_3(9): 4$ 

As  $|G| = |L:4| = 2^7 \cdot 3^6 \cdot 5^2 \cdot 73$  and D(G) = D(L:4) = (2, 2, 2, 0), then  $\Gamma(G) = \Gamma(M) = \{2 \sim 3, 2 \sim 5, 3 \sim 5; 73\}$ . Thus G has a disconnected prime graph with s(G) = 2. We show that G is neither a Frobenius group nor 2-Frobenius group. If G is a Frobenius group, then by Lemma 3.5(a), G = KC, with Frobenius kernel K and Frobenius complement C with

connected components  $\Gamma(K)$  and  $\Gamma(C)$ . Not that  $\Gamma(K)$  is a graph with vertex {73} and  $\Gamma(C)$  with vertices {2,3,5}. By Lemma 3.3(b),  $|K| \mid (|C|-1)$ . Since |K| = 73 and  $|C| = 2^7.3^6.5^2$ , then 73  $\nmid (2^7.3^6.5^2 - 1)$ , a contradiction. If G is a 2-Frobenius group, then, there is a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$  such that K and G/H are Frobenius groups with kernels H and K/H. By Lemma 3.2(a),  $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(G/K), \pi_2(G) = \pi(K/H)\}$ . Therefore, |K/H| = 73. Also, by Lemma 3.2 (b),  $G/K \leq Aut(K/H) \cong Z_{72}$ . Hence  $|G/K| \mid 2^3.3^2$ , which implies that  $\{5,73\} \subseteq \pi(K)$ , and so  $5 \in \pi(H)$ . Let  $H_5 \in Syl_5(H)$  and  $G_{73} \in Syl_{73}(G)$ . Then  $H_5charH \trianglelefteq G$ . By nilpotency of H, we have  $H_5 \triangleleft G$  and  $H_5$  acts on  $G_{73}$  fixed point freely, since  $5 \approx 73$  in  $\Gamma(G)$ . We must have  $|G_{73}| \mid (|H_5|-1)$ , i.e.,  $73 \mid (5^i - 1), i = 1$  or 2, a contradiction.

Now by Lemma 3.5 (c), there exists a non-abelian simple group P such that  $P \leq \overline{G} = G/N \leq Aut(P)$ , for some nilpotent normal  $\{2, 3, 5\}$ -subgroup N of G and  $\overline{G}/P$  is a  $\{2, 3, 5\}$ -group.

 $73 \in \pi(P)$ . Since  $\overline{G}/P$  is a  $\{2, 3, 5\}$ -group and  $73 \mid |G|$ , therefore,  $73 \mid |P|$ , i.e.,  $P \in \mathfrak{S}_{73}$ , which implies that  $\pi(P) \subseteq \{2, 3, 5, 73\}$ . Using [8], we deduce that  $P \cong U_3(9)$ . We have  $U_3(9) \leq G/N \leq Aut(U_3(9))$ . It follows that |N| = 1 or 2 or 4.

If |N| = 1, then  $G \cong U_3(9) : 4$ .

If |N| = 2, then  $G/C_G(N) \leq Aut(N) = 1$ , so  $G = C_G(N)$  and  $N \leq Z(G)$ . Let  $G_{73} \in Syl_{73}(G)$ . Then  $N.G_{73}$  is a subgroup of G, therefore,  $N.G_{73}$  has an element of order 2.73, which implies that  $2 \sim 73$  in  $\Gamma(G)$ , a contradiction.

If |N| = 4, then  $G/C_G(N) \leq Aut(N) \cong Z_2$ . Thus,  $|G/C_G(N)| = 1$  or 2. If  $|G/C_G(N)| = 1$ , then,  $N \leq Z(G)$ . Let  $G_{73} \in Syl_{73}(G)$ . Then  $N.G_{73}$  is a subgroup of G, therefore,  $N.G_{73}$  has an element of order 2.73, which implies that  $2 \sim 73$  in  $\Gamma(G)$ , a contradiction. If  $|G/C_G(N)| = 2$ , then  $N < C_G(N)$  and  $1 \neq C_G(N)/N \leq G/N \cong L$ . Therefore, from simplicity L we deduce that  $G = C_G(N)$ , a contradiction.

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