The Role of Institutional Research in Facilitating Social
Responsibility: A Conceptual ModelTahereh Aghamirzaee Mahali¹ and Maryam Taghvaee Yazdi^{2*}
Received Date: 02/07/2019Accepted Date: 03/09/2019

Abstract

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Department of Educational Management, Sari Branch, Islamic Azad University, Sari, Iran. Corresponding Author: m_taghvaceyazdi @yahoo.com The present study explored aspects and parameters of institutional research (IR) and social responsibility. It offered a suitable model for university social responsibility through analysis of previous studies and comparative study of institutional research functions of sample universities. Document analysis was used to collect data. Descriptive analytic and correlational-comparative methods were used for data analysis. Aspects of social responsibility (educational, cognitive, economic, institutional, and social) and aspects of IR (communication, information authority, policy analysis, research and decision making, evaluation, responsibility) were identified. Consequently, a conceptual model for the role of institutional research in facilitating social responsibility was proposed.

Key Words: Social Responsibility; Institutional Research (IR); University Responsibility; Offices of Institutional Research (OIR).

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Introduction

Higher education system as a pioneer system and policy determinant could cause development in social, cultural, and humanity aspects. The role of higher education institutions in modern world is immense. essential, and complex. A wide challenges range of and opportunities along with various political, economic, and social consequences have emerged. The most important issue is likely related to the changing attitude toward knowledge which has been highly affected by the role and responsibility of universities. A new generation of universities has recently been emerging which is the fourth called generation (Pawlowski. 2009). Fourth generation university defines a modern professional pattern for higher education institution which facilitates local and regional development. The main concern of university, besides worldwide participation, is local economy and inseparable environment its through establishing knowledge community. Therefore, the mission of fourth generation university is changing future and leading its inseparable environment (Pawlowski, 2009; Zuti & Lukovics, 2017).

The ability of self-revolution for the management of environmental changes is an internal feature of fourth generation university. In other word, university is constantly forming scenarios to revive and realize itself. The prominent characteristic of such an organization is continuous learning and self-regulation. From the late 20^{th} century, universities of developed countries have gained a quality that has distinguished them from the traditional university's understanding. This quality put university in a challenging but dynamic situation from both structural and functional aspect (Howard et al., 2012). Structural and functional qualities on one hand and rapid environmental changes and problems such as decrease in financial resources, information saturation, and fast technology development on the other hand has made decision making difficult for managers. Therefore, in research-based policy setting it is necessary to consider decision-making process and institutional research (IR) in order to prevent personal and emotional decisions (Volkwein, 2008). Moreover, social responsibility has been regarded as an increasingly important concept in the world; this in part is turn of competitiveness and sustainability in globalization process (Vasilescu et al., 2010). Nowadays, education for sustainable development is a which could necessitv be efficiently used in monitoring responsibilities, preventing misuses, and improving university services. Institutional research is the source of transformations in university to analyze and make connection between collected information and internal and external university issues. to identify the complexities of the surrounding environment and constant interaction, and to find effective and practical solutions in

the direction of university responsibility and its social role in supporting social responsibility. So, increasing the responsibility request for the changing needs of society necessitates consideration of institutional research. Previous studies were mostly conducted on the role and inherent functions of institutional research.

Institutional research

Altbach and Kelly (1985)defined institutional research as a research conducted in higher education intuitions for the purpose of data collection, institutional planning, and decision making (Altbach &Kelly, 1985). Volkwein (1999) listed four key roles for institutional research which were later known as traditional functions of institutional research, including: information authority a) and problem decision making, b) solution and c) policy analysis, d) evaluation and research (Volkwein ,1999). According to Ferren and Aylesworth (2001), institutional research encompasses various domains of three key roles of university (education, research, and social services) namely registration management, graduates rate, evaluation. curriculum development, and the outcome of educational system (Ferren & Aylesworth, 2001). Serban (2002) proposed knowledge management in universities as the fifth function of institutional research (Serban, 2002). In modern universitv research, the specialists of this system are regarded as supporters and facilitators of lifelong learning process and progress of university

knowledge, skill, and experience. Hence, they are considered as the agents of human resource development and improvement. According to Volkwein (2008), institutional research is organizational intelligence or a technical and vocational specialization with strong facilities and resources for policy-related research higher education. in their knowledge Because of help management and to university, offices of institutional research have been considered as a achieving learning place for organization model. University validation is one of the offices of research functions institutional (Volkwein, 2008).

Whitchurch (2008) discussed evolution in the practice of institutional research, which is the influence institutional research staff could have on sustainable development of higher education (Whitchurch, 2008). Leimer and added Terkla (2009)another function for institutional research that is scientific professional function (Leimer & Terkla, 2009). In the same way, Coughlin et. al, defined institutional (2009)research as all activities related to comprehensive the range of (educational, functions administrative, and supportive) which take place in a college or university (Coughlin et. al, 2009). Hutcheson and Kidder (2011) explained institutional research as organizational the self-study process of university (Hutcheson and Kidder, 2011). Webber (2012) conducted a research on the specialized function of institutional

research and explained the institutional research activities as: 1) data collection; 2) planning, evaluation, and analysis based on the combination of information related to theory, methodology, analysis, priorities data of university, and effective communication technique; 3) receiving support from university colleagues to have joint work on institutional research (Webber, 2012).

Torighi et al (2017) drew a matrix of institutional research in which, 24 elements of institutional research in goal continuum, (from improvement to development), and in organizational continuum (from administrative level to academic level) were divided to five main functions: accountability, decisionmaking and research, policy analysis, and information authority (Torighi et al., 2017).

Terenzini (2013) concluded that research-based evaluation should be done by university specialists with respect to organizational intelligence and three qualities namely 1) cognitive and analytic skill; 2) information analysis and statistical measurements; 3) cognitive method of experimental, quasi-experimental, qualitative, and survey studies (Terenzini ,2013). According to Lange et al., (2013), performance analysis is one function institutional of research (Lange et al., 2013). Borden et al., (2013) indicated that interaction special between institutional research and environment is an effective factor in university development (Borden et al., 2013). Analytic studies of institutional research are very helpful in finding efficient and well-timed solutions for organizational issues .Taylor et al., (2013) argued that globalization challenged university has development and its competition in the world (Taylor et al., 2013). In this case, official researchers, higher education organization, and universities could have a part in investigating the issues related to this challenge and could warn managers about their effect on university and higher education. Other challenges of universities at global level are how to answer the increasing variety of social needs (with few public resources) and the increasing need to respond to these needs which requires focus on institutional research which plays an important professional role for university in a global competitive situation. Consequently, need for an institutional research team as a well-developed system of professional and specialized skills is felt more than before. It could be concluded that institutional researchers not only study the background of issues and problems but also interpret the existing organizational data to support decision making. Whitchurch found (2008)that people communicate within borders and help to develop a third space between professional and These institutional domains. changes imply that evolutions are occurring in higher education system and people with professional responsibilities are becoming more active in their own profession. To be more effective in

their profession domain, institutional research officials need to look beyond their surrounding environment and identify how various external factors, with effect on economy and society, could result in survival and sustainability in higher education institutions. Institutional research is no longer a means of data collection but the source of university evolutions for relating gathered information and

data to internal and external university issues. Also, it is the source of identifying the complexity of surrounding environment and its constant interaction, finding effectively practical solutions for university social responsibility and social development. The results of studies of 10 universities with offices of institutional research (OIR) are presented in Table 1.

Table	1: The features of Office of Institutional Research
Name of	OIR Features
University	
Harvard	Collects, synthesizes, and analyzes institutional data to
University	fulfill mandatory reporting requirements, support
	University decision-making.
British	Undertake research projects, provide reports, statistical
Columbia	information, analysis, advice in support of strategic
	planning, decision making
OHIO	Supports campus strategic and unit planning, Reports official campus statistics on students, Completes college guide questionnaires, Supports disciplinary and institutional accreditation and program review, Produces comparative analyses, Maintains OIR website with campus statistics and public disclosure information, collaboratively with other offices.
Georgia	Develop and maintain comprehensive data and
Institute of	information systems required for institutional study,
Technology	management, and planning, Provide in-depth, substantive analysis of selected topics upon request, Provide data and
	other support for the University's planning process and
	associated review, evaluation, and assessment activities.
University of	Analyzes, interprets, and disseminates data and research
New	findings related to the university's enrollment and
Hampshire	academic areas, Reports institutional data to federal
	agencies, accrediting bodies, professional organizations,
	higher education research entities, college guidebook and
	ranking etc., Produces strategic reports for university
	leadership analyzing the performance of UNH and its
	peers ,Coordinate surveys of students and recent alumni
	to provide insight UNH student experience and outcomes
	after graduation, Work collaboratively with stakeholders
	across campus to identify and share

University of	Strives to make procedures and reports consistent, and yet
South	also be responsive to changing internal and external
Carolina	demands.
California	Collects, manages, integrates and analyzes of data to
institute of	inform decision-making and resource allocation Support.
technology	
The	works to analyze, understand and report data used in
University of	institutional decision-making, IR supports a strong,
Washington	decentralized data culture that helps our students persist,
Tacoma	thrive, and achieve their dreams
Yale	Serves as the official data collection and reporting body
University	for institutional information at Illinois Institute of
	Technology, Reporting to the Vice Provost of Academic
	Affairs, OII meets federal and state reporting obligations,
	responds to external requests for institutional data, etc.
Massachusetts	provides information and analysis to support the decision-
Institute of	making process, complies with reporting requirements of
Technology	external agencies, and responds to ad hoc requests for
	information, Supports campus strategic planning and unit
	planning, support strategic planning for programs,
	departments, schools and colleges. This information is
	provided for general use, and also to help units respond to
	the questions posed in Phase III unit planning,
	Organizational Chart

Social responsibility

Universities. like other economic sections, are responsible for society (Karima, et al 2006). The capacity of responsibility means the ability to apply knowledge in justifying activities; in organization, it means the knowledge applied in individual learning, units and roles (Klimoski Inks, 1990). То define & organizational responsibility, it is necessary to have an exact and correct understanding of social responsibility. Forming a belief in social responsibility of organizations is the basis of the management of public issues. Social responsibility is an ethical behavior toward all stakeholders for organizational consequences

(Hopkin, 2004). Bamber et al (2005), in their classification of organization social responsibility, refer to its internal and external aspects. In this classification. internal aspects involve health and immunity of staff and managers' responsibility and cover a limited range of stakeholders while external aspects include interaction with local and social environment covering a wider range of stakeholders (Bamber et al, 2005). According to Ackerman (2005), responsibility should be in line with social purposes of organization and done in three domains including supreme management, headquarters specialists. department and managers (Ackerman, 2005).

Habibi, et al (2016) mentioned five basic aspects for social responsibility and responsibility model of university that are: economic. humanitarian. legal. ethical, and technological; the last one is added for the first time (Habibi, et al, 2016). Valleys (2014) has proposed the social responsibility model in 2008. This model is formed based on the university management of institutional effects and refers to topics such as the effects of university society and on environment and what to do to extract its positive effects. These effects are:

1-Internal organizational effects on university community and (organizational environment effects), 2- Scientific and academic effects related to individuals education (educational effects, 3-External organizational effects affecting society (social effects), 4-Scientific and academic effects related to science production (cognitive effects) (Vallaeyse, 2014). Ahmed (2012) found that people are aware of the necessity of the natural environment protection and the role of social responsibility in developing a responsible society. However, his findings showed that due to lack of action from university community, just being aware of these needs is not sufficient to develop social responsibility (Ahmed, 2012).

Rus et al., (2014) argued that role of universities not only as an organization in which education and learning happens but also as a learning organization indicates that universities are able to have social responsibility in the process of forming and improving professional progress (Rus et al., 2014). Mohamed (2015) proposed social responsibility framework with strategic management through protecting and sustaining perspective, objectives, values, missions, managerial systems, political analysis, prevention of actions, service evaluation, and promotion of social cooperation with stakeholders to meet present and future needs of society and natural environment (Mohamed ,2015). Universities, as sciencebased organizations, have an effective role in solving social especially problems fourth generation universities which are entrepreneur, creative, and pioneer by nature. So, in addition to developing its own scientific, economic and social performance, it is essential for university to focus on improving social life of individuals: standards prioritizing social concerns regarding the natural environment, social and humanitarian issues; and making organizational policies with respect to social responsibility (Übius & Alas, 2009). Achieving this needs research and development or IR which, in most countries, is the most suitable path attaining the purpose of for university responsibility. Because of variety of attitudes and interpretations of the concept of organizational social responsibility, there are different viewpoints regarding its aspects. Studies have proposed different classifications of aspects and components of social responsibility. One shared

thing among all studies is the focus of researchers on organizations' considering the natural environmental, economic, political, and social necessities. If higher education institutions need to be understood quality as high intuitions, they have to act as a responsible socially authority (Stanislavská et al., 2014).

Institutional research plays а crucial professional role in international university and competitive position. Previous studies on institutional research investigated the functions of institutional research. The present study investigates the external effects of institutional research with focus on the role and responsibilities of fourthgeneration universities and the related university commitments. The study attempts to identify the aspects and parameters of institutional research by comparative study of the functions of institutional research offices (OIR). Through the investigation of previously proposed social responsibility patterns. this research proposes a conceptual model for the role of university in facilitating social responsibility.

Research Methodology

This study was conducted to identify the aspects and functions of institutional research, aspects and parameters of social responsibility, and to propose a conceptual model for the role of institutional research in facilitating social responsibility. Qualitative method has been used in this study. Descriptive-analytic method was used for data collection and comparative-correlational method for data analysis. The first phase of the study was a library research of words such kev as social responsibility, institutional research, university responsibility, higher education responsibility, and academic research process. Moreover, functions of offices of of institutional research 10 universities were collected. Data analysis was done using analytic classification of documents and identifying and extracting concepts of institutional research and social responsibility. The extracted concepts were coded, classified, and analyzed. The explanation of the relationship of each of the factors with each other is presented in the form of a model (the role of institutional research in facilitating social responsibility). In order to prove the validity of the research, the findings of this study were presented to seven experts of higher education in the fields of institutional research and social responsibility, and their point of view was applied.

Content and Document Analysis of Institutional Research

Through investigating and analyzing the articles contents on the institutional research features (Altbach & Kelly, 1985; Volkwein, 1999; Ferren&Aylesworth, 2001; Serban, 2002; Volkwein, 2008 ; Whitchurch, 2008; Leimer, 2009; Coughlin et al, 2009; Hutcheson & Kidder,2011; Webber. 2012: Terenzini,2013; Taylor et al, 2013; Lange et al,2013;Borden et al,2013; Torighi et al, 2017) as

well as the OIR functions in the offices of institutional research in the selected universities (in Table

1), 6 Aspects, and 38 parameters were identified and presented in the table 2:

Aspects	parameters
Aspecis	Informing university priorities
Communication	2. Communication with external organizations
	6 66
	5. new ideas and viewpoints are taken into account
	6. receiving up-to-date information
Information	7. facilitating, sharing and transforming knowledge
authority	8. preparing and sending annual reports such as information letters, registration list, and student survival
	9. planning management, supporting and updating
	information systems
	10. Analyzing graduate association
	11. Constantly considering SWOT matrix with respect to
Policy analysis	future research fund
· · ·	12. Analyzing danger risk, objectives and programs risk
	13. Analyzing the effects and functions of social networks
	14. Annual graduates rate
	15. Analyzing new majors and courses and financial resource
	management
	16. Comparative studies and modeling
	17. Strategic planning
Research and	18. Attitude evaluation
decision	19. Evaluation of higher education market
making	20. Receiving up-to-date information
	21. Control of research in the way of solving problems and
	responding external needs
	22. The study and revision of curriculums and syllabi
	scientific
	23. Policy suggestions, Pathology
	24. University effectiveness
Evaluation	25. Educational and managerial evaluation and validation
	26. Management of constant and comprehensive quality
-	27. Evaluation of students performance
	28. Identification of corrective ways of efficiency
	29. Optimization of faculty members
	30. resource management
Responsibility	31. financing management
· · · ·	32. making key performance indicators for responsibility
	33. Providing diverse student and cultural services
	34. focusing on national and local properties and priorities
—	35. Planning, evaluation and academic research, coherent and
	committed
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36. solving industrial and economic issues of society
37. Directing processes and programs for the development of
creativity, innovation and entrepreneurship
38. Paying attention to the results of surveys in the
administration process

Content and Document analysis of Social Responsibility

Through investigating and analyzing the articles contents on the social responsibility (Klimoski et al, 1990; Hopkins,2004; Bamber et al, 2005; Ackerman,2005; Habibi,2016; Vallaeys,2014; Ahmad,2012; Mohamed,2015; Rus et al,2014; Stanislavská et al,2014), 5 aspects, and 24 parameters were identified and presented in the table 3:

Table 3: Aspects and parameters of university social respons
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Organizational 1. Financial and non-financial support for the natural environmental Services, environment beautification (university 2. Supporting technologies compatible with environment responsibility 3. Repairing energy use pattern in university for environment 4. Creating an responsible organization culture and atmosphere and society) 5. Preserving the rights of gender and religion minorities 6. Promoting social values and norms in organization 7. Defining and identifying a social ideal for organization 7. Defining and identifying a social ideal for organization 8. Professional, practical, and entrepreneur education based on environment needs (valid education of professionals and citizens) 9. Education of professional manners and social and individual roles 10. Planning educational system structure for preparing responsible and effective citizens for human beings and society 12. Science production to compensate cognitive shortcomings which prevent sustainable development Social 13. Commitment to all stakeholders in organization, (university 14. Organization empowerment against society pressures of sustainable 16. Organization empowerment against society pressures 17. Organization empowerment against society pressures 17. Organization internal affairs such as reporting, informing, public communicating, and researching 20. The use of local producers and	aspects	parameters
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21. Legal payments to local institutions like insurance, taxes, and contractors salary		
contractors salary		
22. Providing inexpensive and fair services to citizens		
		22. Providing inexpensive and fair services to citizens

23. Financial clarification including not having financial trespass
24. Creating job such as education, science-based companies and
within-university jobs

Proposing Conceptual Model

Based on the identified aspects and parameters of institutional research and social responsibility, a conceptual model of institutional research for facilitating social responsibility was proposed which is presented in Figure 1.



Fig 1: Facilitative model of institutional research in social responsibility

According to the model in Figure 1 and inputs of large scale policies of country development (I1), large scale global processes (I2), society needs (I3), university financial resources and science development necessities (I4), the following processes and outputs are considered:

P1: For implementing institutional research process in universities, communications should be considered so that university priorities are informed and communication with external organizations, attracting colleague participation, considering criticisms and suggestions and new ideas and viewpoints are taken into account.

P2: It is the second step in which processes such as receiving update information, facilitating, sharing and transforming knowledge, preparing annual reports such as prospectus, registration list and student survival rate, support planning management, and updating information systems are conducted to gather information for managers' optimal decisions.

P3: In policy analysis process, educational research, and entrepreneur practices, science and technology management, stakeholders and graduated association, financing, the effects of social networks, pros and cons, opportunity and risks, university purposes and programs in respect with future research fund, annual graduate rate, and new majors and courses should be analyzed.

P4: Fourth step is research and decision making in which university policy makers propose programs, curricula and syllabi, scientific and political suggestions

and strategic planning according to belief survey and needs analysis of internal and external stakeholders. and the study of successful models. P5: Evaluation as the fifth step has a key role in quality management and constant improvement of programs through evaluation and validation of educational and managerial programs and identification of corrective solutions for efficiency.

P6: The last step is responsible institutional research. Awareness, responsibility, and total commitment of university community toward wants, needs, and expectations of internal and external stakeholders of university are among the effects of institutional research. Evaluation, exact, well-timed, and clear. continuous report of university performance causes trust, satisfaction, and support, participation of stakeholders in university management.

After doing the abovementioned processes in university, the university outputs are as follows:

O1: University is responsible for society and the natural environment with financial and non-financial supports for the natural environment services and its beautification, supporting and providing technologies compatible with the nature, correcting energy use models, creating a responsible atmosphere, preserving the rights of gender and religion minorities, promoting society norms and values, identifying a social ideal.

O2: University is responsible for valid education of professionals

and citizens through practical and professional training suitable for society needs and social and individual roles, and planning the educational structure to educate effective and responsible citizens.

University environment O3: manages social knowledge with relationship strengthening the between science, society, and technology, and specification and production of knowledge for compensating cognitive shortcomings prevent that sustainable development.

O4: University environment with commitment to all stakeholders. responsible and accountable citizen. as а strengthening social capital among student, ability and capacity to respond to community pressures, the existence of interorganizational mechanisms for social accountability, such as reporting, information, public relations and research to strengthen sustainable development.

O5: University causes economic development of society through increasing job opportunities, using local producers and suppliers, legal payments to local institutions, providing inexpensive services to citizens, and clarifying finances.

Discussion & Conclusion

The conceptual model proposed in this study could be generalized to be used in developing countries. Through institutional research, countries could guide studies and performances academic for increasing interactions between university and society and industry, effective and response to

surrounding environment. Additionally, as improving the institutions' structural drawback is the first priority in these countries, universities could help institutions bv institutional research and optimization development of processes. The present study the investigated aspects and parameters of IR and social responsibility and proposed a conceptual model for facilitating role of institutional research in social responsibility. Findings of the study showed that the following inputs act as facilitating processes and help universities to make decisions and roadmap: macro-level policies of country development, world processes, society needs, university financial resources, science development through institutional research processes including communication, information authority, policy analysis, research and decision making, evaluation, responsibility with respect to increasing number of students and competition, international higher education, rapid changes in technology, changing stakeholders 'expectations, reduction in finances, and complexity of institutional management and decision making. This leads to responsibility regarding social environment, society, professional education, and citizen social management of science, social participation, and comprehensive support of sustainable development and economic progress. It is expected that university as an organ providing the highest level of education influence social and

changes economic and cause progress in different aspects of Universities society. need а facilitator and practical guideline for implementation and strategic management of functions and responsibilities different in domains of three main functions i.e. education, research, and social services. The most important one is social responsibility that needs institutional research with its key professional role in a competitive and global situation. Institutional research affects knowledge-based economic development and country progress by promoting research at universities, improving culture of research, problem solution. and research-based decision making among academic especially managers. people, Establishing offices of institutional research could facilitate the process so that balancing the results of educational system with through needs societv needs analysis and social responsibility in university educational system is considered as a basis of sustainable development of countries. Due to the key role of institutional research and its functions in the world's top universities, including collecting information and analyzing information to support decision-making process, the responding to external requests on responsibility social of the university, such as professional responsibility toward society, and integrated support for sustainable development, economic it is that offices of proposed institutional research be established. Making a dynamic and

interactional connection with international institutions and experienced universities. optimizing successful experiences of international universities, and using their scientific and practical experiences are important in eliminating trial and error cycle and in achieving optimal goals. Future studies can evaluate this model in different societies to both confirm the model and investigate additional aspects of the issue.

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