# Optimal Robust Design of Sliding-mode Control Based on Multi-Objective Particle Swarm Optimization for Chaotic Uncertain Problems

## M. J. Mahmoodabadi\*

Department of Mechanical Engineering, Sirjan University of Technology, Sirjan, Iran, E-mail: mahmoodabadi@sirjantech.ac.ir \*Corresponding author

# M. Taherkhorsandi

Department of Mechanical Engineering, University of Texas at San Antonio, San Antonio, USA, E-mail: milad.taherkhorsandi@utsa.edu

Received: 8 May 2017, Revised: 8 June 2017, Accepted: 11 July 2017

Abstract: The aim of this paper is to present an optimal robust Pareto design of sliding-mode control for chaotic uncertain problems. When designing and applying sliding mode control to challenging dynamic systems, it is crucial to gain optimal control effort and minimum tracking errors, simultaneously. In this regard, multi-objective particle swarm optimization (periodic CDPSO) benefiting from crucial factors such as divergence and convergence operators, the leader selection method, and the adaptive elimination technique is utilized to design the optimal control approach via obtaining the Pareto front of objective functions addressing the trade-off between the states errors and control effort. Afterward, the Pareto front acquired by the periodic CDPSO algorithm is contrasted with those obtained via other prominent algorithms in the literature including Sigma method, Modified NSGAII, and MOGA. Eventually, the numerical results elucidate the effectiveness of the proposed optimal control scheme in terms of optimal control effort and minimum tracking errors.

**Keywords:** Lorenz chaotic problem, Multi-objective Optimization, Optimal control, Robust control, Particle swarm optimization, Sliding-mode control

**Reference:** Mahmoodabadi, M. J., Taherkhorsandi, M., "Optimal Robust Design of Sliding-mode Control Based on Multi-Objective Particle Swarm Optimization for Chaotic Uncertain Problems", Int J of Advanced Design and Manufacturing Technology, Vol. 10 / No., 3, 2017, pp. 115-126.

**Biographical notes: M. J. Mahmoodabadi** received his BSc and MSc at Mechanical Engineering from Shahid Bahonar University of Kerman, Iran in 2005 and 2007, respectively. He completed his PhD at Mechanical Engineering in the University of Guilan, Rasht, Iran in 2012. Furthermore, during his research, he was a visitor with Robotics and Mechatronics Group, University of Twente, Enchede, The Netherlands for six months. Now, he is an Assistant Professor at Mechanical Engineering in the Sirjan University of Technology, Sirjan, Iran. His research interests include optimization algorithms, nonlinear and robust control, and computational methods. **M. Taherkhorsandi** received his BSc in Mechanical Engineering from the University of Guilan, Iran, in 2012, and his PhD from University of Texas at San Antonio, San Antonio, USA in 2016.

116

### 1 INTRODUCTION

Due to the presence of unpredicted challenges in the dynamics of real problems in industry, the control of chaotic problems providing a comprehensive evaluation of the designed controller is of great interest to researchers [1-4]. In this regard, the Lorenz problem which benefits from a chaotic nature provides a real challenge to assess the performance of the designed controller [5-8]. Lately, to cite just a few, the Lorenz problem was controlled by using an optimal controller in both finite and infinite time with ensuring the asymptotic stability of desired states in both cases [9], via employing three strategies of dislocated feedback control to enhance the capability of the feedback control and its speed [10], and by benefiting from the robust method of fractional-order derivative to control the unstable equilibrium points of the fractional-order Lorenz chaotic system [11].

One approach to make control of a complicated nonlinear system straightforward is to eliminate the nonlinearity of a dynamic system. Hence, the nonlinearity of the Lorenz systems was cancelled in [12] by utilizing the time delay estimation. Since the time delay estimation enabled a very effective and efficient cancellation of nonlinearity and disturbances, the technique turned out to be simple and robust. Moreover, an adaptive controller of linear time invariant systems via a wavelet network was utilized to control the Lorenz chaos and to explore the mechanism of a wavelet controller through integrating the controller with linear time invariant systems [13].

Sliding-mode control is a robust nonlinear controller employed by a number of researchers in a variety of field of research, mainly in steering of vehicles [14-17], robots [18-21], and actuators [22]. Although the heuristic parameters of sliding-mode control are frequently identified by trial-and-error processes, it is scientifically crucial to gain them by means an optimization approach in order to enhance the efficiency of the control approach. One proper methodology to choose these factors is using smart optimization algorithms, such as particle swarm optimization, genetic algorithm, etc. [24].

In elaboration, it has been illustrated that particle swarm optimization presents a robust performance in the design of the challenging control problems [25]. To this end, multi-objective particle swarm optimization is utilized in the present study to eliminate the boring and repetitive trial-and-error process and find the parameters of sliding-mode control. Particle Swarm Optimization (PSO), which is one of the advanced robust heuristic algorithms in solving both singleobjective optimization problems and multi-objective optimization problems [26], was presented first by Kennedy and Eberhart [27] and was progressed through the simulation of basic social systems. As an effectual optimization algorithm, researchers have reported successful applications of PSO in the following fields: industrial engineering [28-31], robotics [32-36], vehicle design [37-39] and gas industry [40-41]. This algorithm can generate a high quality solution with short calculating time and a more stable convergence characteristic in comparison with other evolutionary methods [42].

In the recent years, several approaches have been proposed to develop the PSO algorithm for dealing with multi-objective optimization problems. For instance, dynamic neighborhood PSO [43], dominated tree [44], Sigma method [45], dynamic multiple swarms [46], periodic CDPSO [47], [48] and others [49-55] have been proposed to address the multiobjective optimization problems. As elucidation of the applications of PSO over sliding mode control, some notable studies are as [56-58].

The present investigation develops significantly authors' previous work [59] as follows. In the present research, multi-objective periodic CDPSO [47-48] is utilized to design the parameters of sliding-mode control in order to control one of the challenging uncertain chaotic problems, the Lorenz problem, which resulted in the evaluation of several aspects of the proposed optimal control methodology. However, in the previous work [59], a controller with a different structure based on PID and sliding mode control optimized via multi-objective genetic algorithm was used for a biped robot walking in the lateral plane.

In elaboration of the present study, multi-objective periodic CDPSO [47-48] is involving the following steps. At the first step, PSO is combined with two convergence and divergence operators. At the second step, two mechanisms are utilized to produce the set of Pareto optimal solutions benefiting from good convergence, diversity, and distribution. At the first mechanism, a leader selection approach utilizing the periodic iteration and the concept of the number of the particle's neighbors is defined.

At the second mechanism, an adaptive elimination approach is employed to confine the number of nondominated solutions in the archive. In fact, the adaptive elimination approach influences the computational time, convergence and diversity of solutions. Lastly, multi-objective periodic CDPSO algorithm is employed to gain the parameters of the designed sliding mode control methodology for the Lorenz chaotic problem and the obtained result is compared to the results obtained by three robust multi-objective optimization algorithms including Sigma method, Modified NSGAII, and MOGA.

# 2 THE SLIDING-MODE CONTROL FOR THE LORENZ CHAOTIC PROBLEM

Sliding-mode control is a variable structure control approach having a unique characteristic of robustness making it not be sensitive to parameter variations [60,61]. It is based on maintaining an appropriately chosen constraint with regard to the high-frequency control switching [62]. As applications of sliding mode control on chaotic systems, to cite just a few, a radial basis function sliding-mode controller was employed for the chaotic Lorenz system [63]. The sliding-mode control was used for chaotic systems based on LMI as well as establishing a feedback controller to guarantee the asymptotical stability of the chaotic systems based on the sliding-mode control theory [64]. A chatter free sliding-mode controller was designed for the chaos control and synchronization with the nonlinear uncertain chaotic systems by proposing a new sort of dynamical sliding-mode surfaces [65]. The dynamic equation of the Lorenz chaotic system with disturbances is regarded, as follows [66]:

$$\dot{x}_1 = -\sigma x_1 + \sigma x_2 \tag{1}$$

$$\dot{x}_2 = rx_1 - x_2 - x_1x_3 + d + u \tag{2}$$

$$\dot{x}_3 = x_1 x_2 - b x_3 \tag{3}$$

in which  $u \in \mathbb{R}^1$  is an additive and scalar control input. Furthermore, d is the bounded disturbance by considering that  $|d| \le \delta$  as  $\delta$  is the constant parameter. By regarding the target points, the control input u seeks to steer the trajectory to the equilibrium point  $X_r = (\sqrt{b(r-1)}, \sqrt{b(r-1)}, r-1)$  which equals  $(\sqrt{8/3(28-1)}, \sqrt{8/3(28-1)}, 28-1)$ . To obtain the sliding surface, scalars  $S_1$ ,  $S_2$ , and  $S_3$  are defined as follows [66].

$$S_1 = C_1(x_2 - x_{2r} - z) \tag{4}$$

$$S_2 = C_2(x_1 - x_{1r}) \tag{5}$$

$$S_3 = C_3(x_3 - x_{3r}) \tag{6}$$

In which,  $C_1, C_2$ , and  $C_3$  are the coefficients of the sliding surface variables  $S_1$ ,  $S_2$ , and  $S_3$ , respectively. The control objective of u is changed from  $x_2 = 0$  to  $x_2 = x_{2r} + z$  according to Eq. (4). In this respect, the amount of  $x_2$  is bounded due to designing the controller u. Moreover, z and  $Z_{upper}$  is regarded as follows [66]:

$$|z| \le Z_{upper} \qquad 0 < Z_{upper} < 1 \tag{7}$$

In which,  $Z_{upper}$  represents the upper bound of |z|.  $z = \text{SOFL}(z_L) \times Z_{upper}$ ,  $0 < Z_{upper} < 1$  in which, SOFL stands for Soft Limit Function.

$$sign(z_L) \cong SOFL(z_L) = \frac{z_L^2}{(1+z_L^2)} \times tanh(z_L)$$
 (8)

and  $z_L$  is defined as:

$$z_{L} = \begin{cases} -\frac{S_{2}}{\Omega_{1}} & \text{if } S_{3} \leq |S_{t}| \\ \frac{S_{3}}{\Omega_{2}} & \text{if } S_{3} > |S_{t}| \end{cases} \qquad .. \tag{9}$$

In which,  $S_t$  represents the limitation of  $S_3$ . Moreover, both  $\Omega_1$  and  $\Omega_2$ , which transfer both  $S_2$  and  $S_3$  to the appropriate span of  $x_2$ , are boundary layers of  $S_2$  and  $S_3$ to make  $z_L$  smooth. The soft limit function Eq. (8) is employed to approximate the *sign* function. In addition, by regarding the fact that the range of  $Z_{upper}$ is less than one, *z* will be a decaying oscillation signal.

**Remark 1.** (Lyapunov's second method for an asymptotically stable system) The Lyapunov's second method, which is a solid approach, employs a Lyapunov function  $V(x) = \frac{1}{2}S^2$  that presents an analogy to the potential function of classical dynamics. Hence, it is introduced for a system having a point of equilibrium at x = 0, as follows [67]:

Consider a function  $V(x): \mathbb{R}^n \to \mathbb{R}$  such that

- $V(x) \ge 0$  with equality if and only if x = 0 (positive definite)
- $\dot{V}(x) = \frac{d}{dt}V(x) \le 0$  with equality if and only if x = 0 (negative definite)

Then, V(x) is called a Lyapunov function candidate and the system is asymptotically stable in the sense of Lyapunov if Eq. (10) is satisfied:

$$\dot{V}(x) = S_1 \dot{S}_1 < 0 \tag{10}$$

$$\dot{V}(x) = [C_1 \times (x_2 - x_{2r} - z)] \times [C_1 \times (\dot{x}_2 - \dot{z})]$$
  
=  $[C_1 \times (x_2 - x_{2r} - z)] \times [C_1 \times (\dot{x}_2 - \dot{z})]$   
×  $[C_1 \times (rx_1 - x_2 - x_1x_3 + d + u - \dot{z})]$   
=  $C_1^2 [rx_2x_1 - x_2^2 - x_1x_2x_3 + dx_2 + ux_2 - \dot{z}x_2 - x_{2r}r x_1 + x_{2r}x_2 + x_{2r}x_1 x_3 - x_{2r}d - x_{2r}u + x_{2r}\dot{z} - zrx_1 + zx_2 + zx_1x_3 - zd - zu + z\dot{z}]$ (11)

Lyapunov function V(x) and its derivative  $\dot{V}(x)$  are shown in Fig. 1. Moreover, the sliding surface of the sliding mode controller and its derivative are illustrated in Figs. 2 and 3.

By  $\dot{S}_1 = 0$ , the sliding mode equation will be obtained. To analyze the sliding mode equation, the equivalent control effort, i.e. Eq. (13) obtained by the sliding mode equation is shown in Fig. 4.

$$\dot{S}_1 = C_1 \times (\dot{x}_2 - \dot{z}) = C_1 \times (rx_1 - x_2 - x_1x_3 + d + u - \dot{z}) = 0$$
(12)

Then, the equivalent control effort will be resulted, as follows:

$$u_{eq} = x_2 + x_1 x_3 - r x_1 - d + \dot{z} \tag{13}$$



Fig. 1 Lyapunov function and its derivative to show the asymptotical stability of the system.



Fig. 2 The sliding surface of the sliding mode controller for the Lorenz chaotic problem.

**Remark 2**. (The state errors in finite time) Because sliding mode control laws are not continuous, it has the ability to drive trajectories to the sliding mode in finite time (i.e., the stability of the sliding surface is better than asymptotic). To ensure that the sliding mode is moving into finite time [67], Eq. (15) must be satisfied:

$$\dot{V}(x) \le -\mu(\sqrt{V})^{\alpha} \tag{14}$$

$$\dot{V}(x) + \mu(\sqrt{V})^{\alpha} \le 0 \tag{15}$$

Where  $\mu > 0$  and  $0 < \alpha \le 1$  are constants. The subspace for this system and the sliding surface  $\{x \in \mathbb{R}^n : S(x) = 0\}$  is given by  $\{x \in \mathbb{R}^n : S^T(x) \dot{S}(x) < 0\}$ . That is, when initial conditions come entirely from this space, the Lyapunov function candidate V(x) is a Lyapunov function and x trajectories approach the sliding mode surface where V(x) = 0. Moreover, if the reachability conditions are satisfied, the sliding mode will move into the region where  $\dot{V}(x)$  is bounded and away from zero in finite time. Hence, the sliding mode V(x) = 0 will be attained in finite time.



Fig. 3 The derivative of the sliding mode controller for the Lorenz chaotic problem.



Fig. 4 The equivalent control effort of the sliding mode equation.

Fig. 5 is obtained for Eq. (15) by regarding  $\mu = 0.5$  and  $\alpha = 0.5$ . The control effort of sliding-mode control is computed by the following formula.

$$u = u_{eq} - k \, sat(S_1) \tag{16}$$

In Eq. (16),  $u_{eq}$  is the equivalent control effort that is obtained by  $\dot{S}_1 = 0$ , k is the design parameter of the sliding mode control, and *sat* is the saturation function. The choice of eight control coefficients  $k, Z_{upper}, S_t, \Omega_1, \Omega_2, c_1, c_2$ , and  $c_3$  has major effects on the behavior in the transient state of the system. An appropriate choice of the sliding factors is necessary for achieving favorable transient response. In the present study, the particle swarm optimization is used to find these coefficients, properly.



Fig. 5  $\dot{V}(x) + \mu(\sqrt{V})^{\alpha}$  is always negative and the state errors will be attained in finite time.

#### 3 THE OPTIMIZATION ALGORITHM

The proper selection of parameters is one of the most important issues in the aspect of the optimal performance of controllers. Hence, multi-objective particle swarm optimization is applied in this paper to overcome this problem. Previous researchers illustrated that this optimization method could be used successfully to obtain the Pareto frontiers of noncommensurable objective functions in the design of linear state feedback controllers [48] and suspension systems for a vehicle vibration model [47]. This method is a combination of the particle swarm optimization, convergence, and divergence operators as well as implementing a periodic leader selection method and adaptive elimination technique to prune the archive in this algorithm. The algorithm has been named multi-objective periodic CDPSO. In the following, PSO, convergence divergence operator, periodic leader selection method, and adaptive elimination technique are described, briefly.

**Particle swarm optimization:** PSO is a populationbased evolutionary algorithm which is inspired by the simulation of social behavior [68]. Even though PSO had been initially employed for balancing weights in neural networks [69], it turned out to be a popular global optimization algorithm, mostly for the problems with decision variables which are real numbers [70-71]. In PSO, each candidate solution is associated with a velocity [68], [72] and it is expected that the particles will approach superior solution areas. Mathematically, the particles are manipulated according to the following equations.

$$\vec{x}_i(t+1) = \vec{x}_i(t) + \vec{v}_i(t+1) \tag{17}$$

$$\vec{v}_i(t+1) = W \vec{v}_i(t) + C_1 r_1(\vec{x}_{Pbest_i} - \vec{x}_i(t)) + C_2 r_2(\vec{x}_{gbest} - \vec{x}_i(t))$$
(18)

Where  $\vec{x}_i(t)$  and  $\vec{v}_i(t)$  denote the position and velocity of particle *i* at the time step (iteration) *t*.  $r_1, r_2 \in [0,1]$ are random values.  $C_1$  is the cognitive learning factor and represents the attraction that a particle has toward its own success.  $C_2$  is the social learning factor and represents the attraction that a particle has toward the success of the whole swarm. It was elucidated that the best solutions were determined when  $C_1$  is linearly decreased and  $C_2$  is linearly increased over the iterations [72]. W is the inertia weight which influences the previous history of velocities with regard to the current velocity of particle *i*. Experimental results indicated that decreasing the inertia weight linearly over iterations enhances the PSO performance [68].  $\vec{x}_{Pbest_i}$  represents the personal best position of the particle *i*.  $\vec{x}_{gbest}$  stands for the position of the best particle of the whole swarm.

**Convergence operator:** A novel convergence formula that involves four parent particles was proposed in [47-48], and also is used in this paper. Let  $\rho \in [0,1]$  be a random number. If  $\rho \leq P_{Convergence}$  ( $P_{Convergence}$  is convergence probability), then one of the following operators should be performed to generate the new particle position  $\vec{x}_i(t+1)$  from the old particle position  $\vec{x}_i(t)$ :

If fitness  $\vec{x}_i(t)$  is smaller than fitness  $\vec{x}_j(t)$  and fitness  $\vec{x}_k(t)$  then:

$$\vec{x}_i(t+1) = \vec{x}_{gbest} + \sigma_1(\frac{\vec{x}_{gbest}}{\vec{x}_i(t)})(2\vec{x}_i(t) - \vec{x}_j(t) - \vec{x}_k(t))(19)$$

If fitness  $\vec{x}_i(t)$  is smaller than fitness  $\vec{x}_i(t)$  and fitness  $\vec{x}_k(t)$  then:

$$\vec{x}_i(t+1) = \vec{x}_{gbest} + \sigma_2(\frac{\vec{x}_{gbest}}{\vec{x}_i(t)})(2\vec{x}_j(t) - \vec{x}_i(t) - \vec{x}_k(t))(20)$$

If fitness  $\vec{x}_k(t)$  is smaller than fitness  $\vec{x}_j(t)$  and fitness  $\vec{x}_i(t)$  then:

$$\vec{x}_i(t+1) = \vec{x}_{gbest} + \sigma_3(\frac{\vec{x}_{gbest}}{\vec{x}_i(t)})(2\vec{x}_k(t) - \vec{x}_j(t) - \vec{x}_i(t))(21)$$

In which, particles  $\vec{x}_j(t)$  and  $\vec{x}_k(t)$  are chosen from swarm by a uniformly selection approach.  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$  are random numbers chosen from [0,1] and  $\vec{x}_{gbest}$  is the position of the best particle of the whole swarm. After computing Eqs. (19), (20), or (21), superior between  $\vec{x}_i(t)$  and  $\vec{x}_i(t+1)$  should be selected. If  $\rho \ge P_{Convergence}$ , then no convergence operation is performed for  $\vec{x}_i(t)$ .

**Divergence operator:** The divergence operator presents a feasible leap on some particles selected. Let  $\vartheta \in [0,1]$  be a random number. If  $\vartheta \leq P_{Divergence}$ ,  $(P_{Divergence}$  is divergence probability) and particle  $\vec{x}_i(t)$  was not enhanced by a convergence operator, then the following divergence operator is implemented to produce a new particle.

$$\vec{x}_i(t+1) = Norm \, rand(\vec{x}_i(t), S_D) \tag{22}$$

Norm rand( $\vec{x}_i(t), S_D$ ) generates random numbers from the normal distribution with a mean parameter  $\vec{x}_i(t)$  and standard deviation parameter  $S_D$  ( $S_D$  is a positive constant). If particle  $\vec{x}_i(t)$  was enhanced by a convergence operator or  $\vartheta \ge P_{Divergence}$ , then no divergence operation will be performed. More details of this operator are mentioned in [47], [48].

**Periodic leader selection method:** This technique is based on the density measures, and a neighborhood radius  $R_{\text{neighborhood}}$  is defined for leaders. Indeed, two leaders are regarded as neighbors if their Euclidean distance (measured in the objective domain) is less than  $R_{\text{neighborhood}}$ . Using this definition, the number of neighbors of each leader is computed in the objective function domain. The particle which has fewer neighbors is preferred as the leader. However, the leader position and its density will change after a number of iterations. Hence, the leader selection operation should be repeated and a new leader must be identified. To this end, the maximum iteration is divided into several equal periods and each period has the same iteration T.

In each period, the leader selection operation could be implemented and the non-dominated solution which has fewer neighbors is preferred as the leader. Moreover, if a particle dominates the leader in the beginning of the iteration in a period, then this particle will be considered as a new leader. Adaptive elimination technique: This technique is utilized to prune the archive; and in this approach, the archive's members have an elimination radius which equals  $\varepsilon_{\text{elimination}}$ . If the Euclidean distance (in the objective function space) between two particles is less than  $\varepsilon_{\text{elimination}}$ , then one of them will be omitted. The following equation is introduced to determine the value of  $\varepsilon_{\text{elimination}}$  that is named adaptive  $\varepsilon_{\text{elimination}}$ :

$$\varepsilon_{\text{elimination}} = \frac{t}{\zeta \times maximum \, iteration}}$$
 (23)

In which  $\zeta$  is a positive constant, *t* is the current iteration number, and *maximum iteration* is the maximum number of allowable iterations [47-48].

### 4 THE OPTIMAL PARETO OF THE SLIDING-MODE CONTROL FOR THE LORENZ CHAOTIC PROBLEM

Sliding-mode control is an approach to define asymptotically stable surfaces such that all system trajectories converge to these surfaces and slide along them until achieving the origin at their intersection [73]. Nevertheless, the heuristic sliding parameters require to be chosen, properly. Therefore, multiobjective periodic CDPSO is used to determine the proper parameters and to eliminate the tedious and repetitive trial-and-error process. Moreover, the performance of a controlled closed loop system is evaluated by a variety of goals [74-75]. Here, normalized summation of states errors and normalized control effort are regarded as the objective functions. These objective functions have to be minimized, simultaneously.

The vector  $[k, Z_{upper}, S_t, \Omega_1, \Omega_2, c_1, c_2, c_3]$  is the vector of selective parameters of sliding-mode control. k is the design parameter.  $Z_{upper}$  is the upper bound of  $|z|.S_t$ is the threshold value of  $S_3$ .  $\Omega_1$ , and  $\Omega_2$  are boundary layers of  $S_2$  and  $S_3$  to smooth  $z_L$ .  $c_1$ ,  $c_2$ , and  $c_3$  are the coefficients of the sliding surface variables. The normalized summation of states errors and the normalized control effort are functions of this vector's components. This means that changes will occur in the normalized summation of states errors and normalized control effort by the selection of various values for the selective parameters. Thus, this is an optimization problem with two objective functions (normalized summation of states errors and normalized control effort) and eight decision variables  $(k, Z_{upper}, S_t, \Omega_1, \Omega_2, c_1, c_2, c_3)$ . The block diagram to find the Pareto front of the sliding-mode control for the Lorenz chaotic problem based on the multi-objective periodic CDPSO algorithm is illustrated in Fig. 6.

Based on extensive experiments, the regions of the selective parameters are chosen, as follows:

 $\begin{array}{rll} 10 & \leq k \leq 100, & 0 \leq Z_{upper} \leq 1, & -1000 & \leq S_t \leq \\ -10, 10 & \leq \varOmega_1 \leq 1000, \\ 1 & \leq \varOmega_2 \leq 100, & 0.1 \leq c_1 \leq 10, & -100 \leq c_2 \leq -1, \\ -100 \leq c_3 \leq -1. \end{array}$ 



**Fig. 6** The block diagram to find the Pareto front of the sliding-mode control for the Lorenz chaotic problem based on the multi-objective periodic CDPSO algorithm.

The parameters of multi-objective algorithm are chosen as follow. In each period, the inertia weight *W* is linearly decreased from  $W_1 = 0.9$  to  $W_2 = 0.4$ ,  $C_1$  is linearly reduced from  $C_{1i} = 2.5$  to  $C_{1f} = 0.5$ , and  $C_2$  is linearly increased from  $C_{2i} = 0.5$  to  $C_{2f} = 2.5$ , over the time. The related variables used in the convergence and divergence operators are:  $P_{Convergence} = 0.1$ ,  $P_{Divergence} = 0.1$ , and  $S_D = \frac{x_{max} - x_{min}}{2}$ . The term  $\vec{v}_i(t)$ is limited to the range  $[-v_{ave}, +v_{ave}]$  in which  $v_{ave} = \frac{x_{max} - x_{min}}{2}$ . While the velocity violates this range, it will be multiplied by a random number between [0,1]. Furthermore, the positive constant for  $\varepsilon_{\text{elimination}}$  is  $\zeta = 300$ , and the neighborhood radius for the leader selection is  $R_{\text{neighborhood}} = 0.04$ .

The number of iterations in a period is T = 7, the swarm size equals 150 and the maximum iteration is 300. Furthermore, three well-known versions of multi-objective optimization algorithms Sigma method [45], Modified NSGAII [76], MATLAB Toolbox MOGA are used to compare the performance of the periodic multi-objective CDPSO. The population size 150 and function evaluation 4500 are regarded for all algorithms and other details are illustrated in Table 1.

The Pareto fronts of this multi-objective problem are shown in Fig. 7. Indeed, this figure illustrates the feasibility and efficiency of proposed multi-objective algorithm in comparison with other algorithms.

Fig. 7 shows that the periodic CDPSO algorithm has more uniform and diverse feasible solutions. In Fig. 7, points A and C stand for the best normalized summation of state errors and normalized control effort, respectively.

 
 Table 1 Used multi-objective optimization algorithms for comparison and their parameter configurations.

comparison and then parameter configurations.					
Algorithm	Druning of archive	Crossover	Mutation		
Aigoiluin	I fulling of archive	rate	rate		
Sigma	Archive size is		0.1		
MOPSO	fixed	-	0.1		
Modified	$\varepsilon$ – dominance	0.8	0.1		
NSGAII	= 0.01	0.0	0.1		
ΜΑΤΙΑΒ	Distance		Constraint		
MOGA	crowding	Scattered	dependent		
MOOA			default		

It can be observed from Fig. 7 that all the optimal points in the Pareto front are non-dominated and can be chosen by the designer as an optimal sliding-mode controller. In addition, choosing a better value for any objective function in the Pareto front causes a worse value for another objective. The corresponding decision variables (vector of sliding-mode controllers) of the Pareto front shown in Fig. 7 are the best possible optimal points.



Fig. 7. The obtained Pareto fronts by using Sigma method [45], Modified NSGAII [76], MOGA (MATLAB Toolbox), and the proposed algorithm for the optimal control of the Lorenz chaotic problem.

As a matter of fact, if any other set of decision variables is chosen, the corresponding values of the pair of those objective functions locate an inferior point in the Pareto front. Such inferior area in the space of the two objectives is top/right side of Fig. 7. In Fig. 7, point B can be a trade-off optimum choice when minimum values of both the normalized summation of states errors and normalized control effort are considered. Design variables and objective functions corresponding to the optimal points A, B, and C are illustrated in Table 2. The block diagram of the optimum sliding-mode control system for the Lorenz chaotic problem is shown in Fig. 8. The time responses and control effort of the optimal points A, B, and C are shown in Figs. 9 through 12.



Fig. 8. The block diagram of the optimum sliding-mode control system for the Lorenz chaotic problem.

 Table 2. The objective functions and their associated design variables for the optimum points of Fig. 7.

Optimum point	А	В	С
Normalized summation of states	1.48212	2.91254	6.71833
errors	$\times 10^{-1}$	$\times 10^{-1}$	$\times 10^{-1}$
Normalized control effort	5.94888	2.81957	4.83332
	$\times 10^{-1}$	$\times 10^{-1}$	$\times 10^{-2}$
Design variable $k$	9.99998	7.98928	5.17383
	$\times 10^{1}$	$\times 10^{1}$	$\times 10^{1}$
Design variable $Z_{upper}$	9.99998	9.99976	9.99958
	$\times 10^{-1}$	$\times 10^{-1}$	$\times 10^{-1}$
Design variable $S_t$	-7.04830	-7.82479	-9.82094
	$\times 10^{2}$	$\times 10^{2}$	$\times 10^{2}$
Design variable $\Omega_1$	2.32966	3.21923	3.88847
	$\times 10^{2}$	$\times 10^2$	$\times 10^{2}$
Design variable $\Omega_2$	1.00402	2.33312	2.77208
	$\times 10^{0}$	$\times 10^{0}$	$ imes 10^{0}$
Design variable $c_1$	4.01359	9.30361	8.80528
	$\times 10^{0}$	$\times 10^{-1}$	$\times 10^{-1}$
Design variable $c_2$	-4.75068	-5.39727	-2.67425
	$\times 10^{1}$	$\times 10^{1}$	$\times 10^{1}$
Design variable $c_3$	-9.55806	-9.75049	-9.50384
	$\times 10^{1}$	$\times 10^{1}$	$\times 10^{1}$



**Fig. 9.** State  $x_1$  of the optimum points A, B, and C shown in the Pareto front.



**Fig. 10.** State  $x_2$  of the optimum points A, B, and C shown in the Pareto front.







Fig. 12. Control effort of the optimum design points A, B, and C shown in the Pareto front.

### 5 CONCLUSION

This paper presented a novel optimal robust sliding mode controller evaluated via an uncertain chaotic problem. When designing the control methodology, multi-objective periodic CDPSO benefiting from a number of crucial factors providing effective performance of the method was employed. Those factors involved are divergence and convergence operators, the periodic leader selection method, and the adaptive elimination technique. To design the sliding mode control, two conflicting objective functions, the normalized summation of states errors and normalized control effort, were regarded to optimize by multiobjective periodic CDPSO. Afterward, the obtained Pareto front was compared with the Pareto front of three prominent algorithms: Sigma method, Modified NSGAII, and MOGA. The Pareto front obtained via multi-objective periodic CDPSO provided superior optimal non-dominant points than that of the other three algorithms. Hence, it is presenting ample opportunities for the designers to come up with the best control methodology to control the chaotic uncertain problems. Finally, the presented methodology resulted in a better control performance in terms of providing optimal control effort and minimum states errors for challenging chaotic problems.

### REFERENCES

- Gritli, H., Khraief, H., Belghith, S., "Chaos Control in Passive Walking Dynamics of a Compass-gait Model", Communications in Nonlinear Science and Numerical Simulation, Vol. 18, No. 8, 2013, pp. 2048-2065.
- [2] Das, S., Pan, I., Das, S., Gupta, A., "Master-Slave Chaos synchronization via optimal fractional order PID controller with bacterial foraging algorithm", Nonlinear Dynamics, Vol. 69, No. 4, 2012, pp. 2193-2206.
- [3] Sadeghpour, M., Salarieh, H., Alasty, A., "Minimum entropy control of chaos via online particle swarm optimization method", Applied Mathematical Modelling, Vol. 36, 2012, pp. 3931-3940.
- [4] Gholipour, R., Khosravi, A., Mojallali, H., "Multiobjective optimal backstepping controller design for chaos control in a rod-type plasma torch system using Bees algorithm", Applied Mathematical Modelling, Vol. 39, 2015, pp. 4432-4444.
- [5] Wang, X., Deng, L., Zhang, W., "Hopf bifurcation analysis and amplitude control of the modified Lorenz system", Applied Mathematics and Computations, Vol. 225, 2013, pp. 333-344.
- [6] Kim, D., Brent Gillespie, R., Hun Chang, P., "Simple, robust control and synchronization of the Lorenz system",

Nonlinear Dynamics, Vol. 73, No. 1-2, 2013, pp. 971-980.

- [7] Li, R.H., Chen, W.S., Li, S., "Finite-time stabilization for hyper-chaotic Lorenz system families via adaptive control", Applied Mathematical Modelling, Vol. 37, No. 4, 2013, pp. 1966-1972.
- [8] Sun, K., Liu, X., Zhu, C., Sprott, J.C., "Hyperchaos and hyperchaos control of the sinusoidally forced simplified Lorenz system", Nonlinear Dynamics, Vol. 69, No. 3, 2012, pp. 1383-1391.
- [9] El-Gohary, A., Bukhari, F., "Optimal control of Lorenz system during different time intervals", Applied Mathematics and Computations, Vol. 144, No. 2, 2003, pp. 337-351.
- [10]Tao, C., Yang, C., "Three control strategies for the Lorenz chaotic system", Chaos, Solitons and Fractals, Vol. 35, No. 5, 2008, pp. 1009-1014.
- [11] Zhou, P., Ding, R., "Control and synchronization of the fractional-order lorenz chaotic system via fractional-order derivative", Mathematical Problems in Engineering, 2012, 14 pages.
- [12] Jin, M., Chang, P.H., "Simple robust technique using time delay estimation for the control and synchronization of Lorenz systems", Chaos, Solitons and Fractals, Vol. 41, No. 5, 2009, pp. 2672-2680.
- [13] Wu, Y., Tanner, J.S., "Adaptive control of linear time invariant systems via wavelet network and applications to control Lorenz chaos", Applied Mathematics and Computations, Vol. 218, No. 1, 2011, pp. 22-31.
- [14] Bahrami, M., Ebrahimi, B., Ansarifar, G.R., "Sliding mode observer and control design with adaptive parameter estimation for a supersonic flight vehicle", International Journal of Aerospace Engineering, 2010, 9 pages.
- [15] Chen, M., Mei, R., Jiang, B., "Sliding mode control for a class of uncertain MIMO nonlinear systems with application to near-space vehicles", Mathematical Problems in Engineering, 2013, 19 pages.
- [16] Tavasoli, A., Naraghi, M., "Vehicle sliding mode control with adaptive upper bounds: static versus dynamic allocation to saturated tire forces", Mathematical Problems in Engineering, 2012, 31 pages.
- [17] Tuan, L.A., Lee, S-G., "Sliding mode controls of doublependulum crane systems", Journal of Mechanical Science and Technology, Vol. 27, No. 6, 2013, pp.1863-1873.
- [18] Richert, D., Masaud, K., Macnab, C.J.B., "Discrete-time weight updates in neural-adaptive control", Soft Computing, Vol. 17, No. 3, 2013, pp. 431-444.
- [19] Mahmoodabadi, M.J., Taherkhorsandi, M., Bagheri, A., "Optimal robust sliding mode tracking control of a biped robot based on ingenious multi-objective PSO", Neurocomputing, Vol. 124, 2014, pp. 194-209.
- [20] Tran, X.T., Kang, H.J., "Adaptive hybrid High-Order terminal sliding mode control of MIMO uncertain

nonlinear systems and its application to robot manipulators", International Journal of Precision Engineering and Manufacturing, Vol. 16, No. 2, 2015, pp. 255-266.

- [21]Taherkhorsandi, M., Castillo-Villar, K.K., Mahmoodabadi, M.J., Janaghaei, F., Mortazavi Yazdi, S. M., "Optimal sliding and decoupled sliding mode tracking control by multi-objective particle swarm optimization and genetic algorithms", Advances and Applications in Sliding Mode Control systems, Studies in Computational Intelligence, Vol. 576, 2015, pp. 43-78.
- [22] Liu, D., Guo, W., Wang, W., "Second-order sliding mode tracking control for the piezoelectric actuator with hysteretic nonlinearity", Journal of Mechanical Science and Technology, Vol. 27, No. 1, 2013, pp. 199-205.
- [23] Bisheban, M., Mahmoodabadi, M.J., "Pareto optimal design of decoupled sliding mode control based on a new multi-objective particle swarm optimization algorithm", Amirkabir International Journal of Science & Research (Modeling, Identification, Simulation & Control). Vol. 45, No. 2, 2013, pp. 31- 40.
- [24] Mahmoodabadi, M.J., Taherkhorsandi, M., Talebipour, M., Castillo-Villar, K.K., "Adaptive robust PID control subject to supervisory decoupled sliding mode control based upon genetic algorithm optimization", Transactions of the Institute of Measurement and Control, Vol. 37, No. 4, 2015, pp. 505 - 514.
- [25] Andalib Sahnehsaraei, M., Mahmoodabadi, M.J., Taherkhorsandi, M., Castillo-Villar, K.K., Mortazavi Yazdi, S.M., "A hybrid global optimization algorithm: particle swarm optimization in association with a genetic algorithm", Complex System Modelling and Control Through Intelligent Soft Computations, Studies in Fuzziness and Soft Computing Vol. 319, 2015, pp. 45-86.
- [26] Angeline, P.J., "Using selection to improve particle swarm optimization", In: Proceedings of the IEEE Congress on Evolutionary Computation, Anchorage, 1998, pp. 84-89.
- [27] Kennedy, J., Eberhart, R.C., "Particle swarm optimization", In: Proceedings of the IEEE International Conference on Neural Networks IV, Perth, Australia, 1995, pp. 1942-1948.
- [28] Zheng, Z., Wu, C., "Power optimization of gas pipelines via an improved particle swarm optimization algorithm", Petroleum Sciences, Vol. 9, No. 1, 2012, pp. 89-92.
- [29] Garg, H., "Fuzzy multiobjective reliability optimization problem of industrial systems using particle swarm optimization", International Journal of Industrial Mathematics, 2013, 9 pages.
- [30] Lian, Z., "A local and global search combine particle swarm optimization algorithm for job-shop scheduling to minimize makespan", Discrete Dynamics in Nature and Society, 2010, 11 pages.
- [31] Patnaik, S.S., Panda, A.K., "Particle swarm optimization and bacterial foraging optimization techniques for optimal current harmonic mitigation by employing active power

filter", Applied Computational Intelligence and Soft Computing, 2012, 10 pages.

- [32]Deepak, B.B.V.L., Parhi, D.R., Raju, B.M.V.A., "Advance particle swarm optimization-based navigational controller for mobile robot", Arabian Journal of Science and Engineering, Vol. 39, No. 8, 2014, pp. 6477-6487.
- [33] Zhan, T.S., Kao, C.C., "Modified PSO method for robust control of 3RPS parallel manipulators", Mathematical Problems in Engineering, 2010, 25 pages.
- [34] Zubair, M., Moinuddin, M., "Joint optimization of microstrip patch antennas using particle swarm optimization for UWB systems", International Journal of Antennas and Propagation, 2013, 8 pages.
- [35] Jin, N., Rahmat-Samii, Y., "Particle swarm optimization for antenna designs in engineering electromagnetic", Journal of Artificial Evolution and Applications, 2008, 10 pages.
- [36] Mahmoodabadi, M.J., Taherkhorsandi, M., Bagheri, A., "Pareto design of state feedback tracking control of a biped robot via multiobjective PSO in comparison with Sigma method and genetic algorithms: modified NSGAII and MATLAB's Toolbox", The Scientific World Journal, 2014, 8 pages.
- [37]Yildiz, A.R., Solanki, K.N., "Multi-objective optimization of vehicle crashworthiness using a new particle swarm based approach", The International Journal of Advanced Manufacturing and Technology, Vol. 59, No. (1-4), 2012, pp. 367-376.
- [38]Geng, B., Mills, J.K., Sun, D., "Combined power management/design optimization for a fuel cell/battery plug-in hybrid electric vehicle using multi-objective particle swarm optimization", International Journal of Automotive Technology, Vol. 15, No. 4, 2014, pp. 645-654.
- [39]Wang, K., Zheng, Y.J., "A new particle swarm optimization algorithm for fuzzy optimization of armored vehicle scheme design", Applied Intelligence, Vol. 37, No. 4, 2012, pp. 520-526.
- [40]Rostami, H., Khaksar Manshad, A., "Application of evolutionary Gaussian processes regression by particle swarm optimization for prediction of dew point pressure in gas condensate reservoirs", Neural Computing and Applications, Vol. 24, Vol. (3-4), 2014, pp. 705-713.
- [41]Ding, S., Jiang, H., Li, J., Tang, G., "Optimization of well placement by combination of a modified particle swarm optimization algorithm and quality map method", Computational Geosciences, Vol. 18, No. 5, 2014, pp. 747-762.
- [42] Eberhart, R.C., Shi, Y., "Comparison between genetic algorithms and particle swarm optimization", in: Proceedings of the IEEE Congress on Evolutionary Computation, Anchorag, 1998, pp. 611-616.
- [43] Hu, X., Eberhart, R.C., "Multi-objective optimization using dynamic neighborhood particle swarm optimization", In: Proceedings of the IEEE World

Congress on Computational Intelligence, 2002, pp. 1677-1681.

- [44] Fieldsend, J.E., Singh, S., "A multi-objective algorithm based upon particle swarm optimization and efficient data structure and turbulence", In: Workshop on Computational Intelligence, 2002, pp. 34-44.
- [45] Mostaghim, S., Teich, J., "Strategies for finding good local guides in multi-objective particle swarm optimization (MOPSO)", In: Proceedings of the IEEE Swarm Intelligence Symposium, 2003, pp. 26-33.
- [46] Yen, G.G., Leong, W.F., "Dynamic multiple swarms in multi-objective particle swarm optimization", Transactions on Systems, Man, and Cybernetics-Part A: Systems and Humans, Vol. 39, No. 4, 2009, pp. 890-911.
- [47] Mahmoodabadi, M.J., Bagheri, A., Nariman-zadeh, N., Jamali, A., "A new optimization algorithm based on a combination of particle swarm optimization, convergence and divergence operators for single-objective and multiobjective problems", Engineering Optimization, Vol. 44, No. 10, 2012, pp. 1167-1186.
- [48] Mahmoodabadi, M.J., Bagheri, A., Arabani-Mostaghim, S., Bisheban, M., "Simulation of stability using Java application for Pareto design of controllers based on a new multi-objective particle swarm optimization", Mathematical and Computer Modelling, Vol. 54, No. 5-6, 2011, pp. 1584-1607.
- [49] Wang, Y.Y., Zhang, B.Q., Chen, Y.C., "Robust airfoil optimization based on improved particle swarm optimization method", Applied Mathematics and Mechanics, Vol. 32, No. 10, 2011, pp. 1245-1254.
- [50] Chen, D., Zhao, C., Zhang, H., "An improved cooperative particle swarm optimization and its application", Neural Computing and Applications, Vol. 20, No. 2, 2011, pp.171-182.
- [51] Li, L., Chu, X.S., "An improved particle swarm optimization algorithm with harmony strategy for the location of critical slip surface of slopes", China Ocean Engineering, Vol. 25, No. 2, 2011, pp. 357-364.
- [52] Zhao, J., Han, C., Wei, B., "Binary particle swarm optimization with multiple evolutionary strategies", Science China Information Sciences, Vol. 55, No. 11, 2012, pp. 2485-2494.
- [53] Chen, S., Xu, Z., Tang, Y., "A hybrid clustering algorithm based on fuzzy C-means and improved particle swarm optimization", Arabian Journal of Science and Engineering, Vol. 39, No. 12, 2014, pp. 8875-8887.
- [54] Nickabadi, A., Ebadzadeh, M.M., Safabakhsh, R., "A competitive clustering particle swarm optimizer for dynamic optimization problems", Swarm Intelligence, Vol. 6, No. 3, 2012, pp. 177-206.
- [55] Alfi, A. Modares, H., "System identification and control using adaptive particle swarm optimization", Applied Mathematical Modelling, Vol. 35, 2011, pp. 1210-1221.
- [56] Oliveira, J.B., Boaventura-Cunha, J., Moura Oliveira, P.B., Freire, H., "A swarm intelligence-based tuning

method for the sliding mode generalized predictive control", ISA Transactions, Vol. 53, No. 5, 2014, pp. 1501–1515.

- [57] Niknam, T., Khooban, M.H., Kavousifard, A., Soltanpour. M.R., "An optimal type II fuzzy sliding mode control design for a class of nonlinear systems", Nonlinear Dynamics, Vol. 75, No. 1-2, 2014, pp. 73-83.
- [58] Soltanpour, M.R., Khooban, M.H., "A particle swarm optimization approach for fuzzy sliding mode control for tracking the robot manipulator", Nonlinear Dynamics, Vol. 74, No. 1-2, 2013, pp. 467-478.
- [59] Taherkhorsandi, M., Mahmoodabadi, M.J., Talebipour, M., Castillo-Villar, K.K., "Pareto design of an adaptive robust hybrid of PID and sliding control for a biped robot via genetic algorithm optimization", Nonlinear Dynamics, Vol. 79, No. 1, 2015, pp. 251-263.
- [60] Jing, J., Wuan, Q.H., "Intelligent sliding mode control algorithm for position tracking servo system", International Journal of Information Technology, Vol. 12, No. 7, 2006, pp. 57-62.
- [61] Lin, W.S., Chen, C.S., "Robust adaptive sliding mode control using fuzzy modeling for a class of uncertain MIMO nonlinear systems", Control Theory and Applications, Vol. 149, No. 3, 2002, pp. 193-201.
- [62] Edwards, C., Spurgeon, S., "Sliding Mode Control: Theory and Applications", London: Taylor and Francis, 1998, ISBN 0-7484-0601-8.
- [63] Guo, H., Lin, S., Liu, J., "A radial basis function sliding mode controller for chaotic Lorenz system", Physics Letters, Vol. 351, No. 4-5, 2006, pp. 257-261.
- [64] Wang, H., Han, Z.Z., Xie, Q.Y., Zhang, W., "Sliding mode control for chaotic systems based on LMI", Communications in Nonlinear Science and Numerical Simulation, Vol. 14, No. 4, 2009, pp. 1410-1417.
- [65] Li, H., Liao, X., Li, C., Li, C., Li, C., "Chaos control and synchronization via a novel chatter free sliding mode control strategy", Neurocomputing, Vol. 74, No. 17, 2011, pp. 3212-3222.
- [66] Bagheri, A., Javadi Moghaddam, J., "Decoupled adaptive neuro-fuzzy (DANF) sliding mode control system for a Lorenz chaotic problem", Expert Systems with Applications, Vol. 36, No. 3, 2009, pp. 6062–6068.
- [67] Perruquetti, W., Barbot, J.P., "Sliding Mode Control in Engineering", Marcel Dekker Hardcover, 2002, ISBN 0-8247-0671-4.
- [68] Eberhart, R.C., Kennedy, J., "A new optimizer using particle swarm theory", In: Proceedings of the Sixth International Symposium on Micro Machine and Human Science, Nagoya, Japan, 1995, pp. 39-43.
- [69] Eberhart, R.C., Dobbins, R., Simpson, P.K., "Computational intelligence PC tools", Morgan Kaufmann Publishers, 1996.
- [70] Engelbrecht, A.P., "Computational intelligence: an introduction", John Wiley & Sons, 2002.

- [71] Engelbrecht, A.P., "Fundamentals of computational swarm intelligence", John Wiley & Sons 2005.
- [72] Ratnaweera, A., Halgamuge, S.K., "Self-organizing hierarchical particle swarm optimizer with time-varying acceleration coefficient", IEEE Transactions on Evolutionary Computation, Vol. 8, No. 3, 2004, pp. 240-255.
- [73] Utkin, V.I., "Sliding modes and their application in variable structure systems", Central Books Ltd, 1978.
- [74] Toscana, R., "A simple robust PI/PID controller design via numerical optimization approach", Journal of Process Control Vol. 15, No. 1, 2005, pp. 81-88.
- [75]Wolovich, W.A., "Automatic control systems", USA: Harcourt Brace College Publication Orlando, Saunders College Publishing, 1994.
- [76] Atashkari, K., Nariman-Zadeh, N., Golcu, M., Khalkhali, A., Jamali, A., "Modelling and multi-objective optimization of a variable valve-timing spark-ignition engine using polynomial neural networks and evolutionary algorithms", Energy Conversion and Management, Vol. 48, No. 3, 2007, pp. 1029-1041.