

## Operator-valued bases on Hilbert spaces

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**Abstract.** In this paper we develop a natural generalization of Schauder basis theory, we term operator-valued basis or simply *ov*-basis theory, using operator-algebraic methods. We prove several results for *ov*-basis concerning duality, orthogonality, biorthogonality and minimality. We prove that the operators of a dual *ov*-basis are continuous. We also define the concepts of Bessel, Hilbert *ov*-basis and obtain some characterizations of them. We study orthonormal and Riesz *ov*-bases for Hilbert spaces. Finally we consider the stability of *ov*-bases under small perturbations. We generalize a result of Paley-Wiener [4] to the situation of *ov*-basis.

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### 1. Introduction

Throughout this paper,  $\mathcal{H}, \mathcal{K}$  are separable Hilbert spaces and  $I, J, J_j$  denote the countable (or finite) index sets and  $\{W_j\}_j$  is a sequence of closed subspaces of  $\mathcal{K}$  and  $B(\mathcal{H}, W_j)$  denote the collection of all bounded linear operators from  $\mathcal{H}$  into  $W_j$  and  $\Lambda_j \in B(\mathcal{H}, W_j)$  for all  $j \in J$ . Also  $\mathcal{R}_T$  and  $\mathcal{N}_T$  denote the range and null spaces of an operator  $T \in B(\mathcal{H}, \mathcal{K})$  respectively. Recently, W. Sun [3] introduced a generalized frame and a generalized Riesz basis for a Hilbert space and discussed some properties of them. In this paper we introduce the concept of the operator-valued basis and then we redefined the concepts of the orthonormal operator-valued basis and operator-valued Riesz basis

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for a Hilbert space. we develop the basis theory to the situation of operator-valued basis theory in Hilbert spaces.

**Definition 1.1** Let  $\Lambda_j \in B(\mathcal{H}, W_j)$  be an onto operator for all  $j \in J$ . Then the family  $\Lambda = \{\Lambda_j\}_{j \in J}$  is called an operator-valued basis or simply *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , if for any  $f \in \mathcal{H}$  there exists a unique sequence  $\{g_j : g_j \in W_j\}_{j \in J}$  such that

$$f = \sum_{j \in J} \Lambda_j^* g_j, \quad (1)$$

with the convergence being in norm. If series (1) is unconditionally convergent,  $\Lambda$  is called an unconditional *ov*-basis. We call this family an *ov*-basis for  $\mathcal{H}$  with respect to  $\mathcal{K}$  if  $W_j = \mathcal{K}$  for all  $j \in J$ .

**Theorem 1.2** Let  $\{\Lambda_j\}_{j \in J}$  be an *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then

$$\dim \mathcal{H} = \sum_{j \in J} \dim W_j$$

**Proof.** Let  $\{e_{ij}\}_{i \in J_j}$  be an orthonormal basis for  $W_j$  for all  $j \in J$ . We show that  $\{\Lambda_j^* e_{ij}\}_{j \in J, i \in J_j}$  is a basis for  $\mathcal{H}$ . Since  $\{e_{ij}\}_{i \in J_j}$  is an orthonormal basis for  $W_j$ , hence every  $g_j \in W_j$  has a unique expansion of the form  $g_j = \sum_{i \in J_j} \langle g_j, e_{ij} \rangle e_{ij}$ . This implies that also every  $f \in \mathcal{H}$  has a unique expansion of the form

$$f = \sum_{j \in J} \sum_{i \in J_j} \langle g_j, e_{ij} \rangle \Lambda_j^* e_{ij}.$$

This shows that  $\dim \mathcal{H} = \sum_{j \in J} \dim W_j$ . ■

**Corollary 1.3** Let  $\{\Lambda_j\}_{j \in J}, \{\Gamma_i\}_{i \in I}$  be *ov*-bases for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}, \{V_i\}_{i \in I}$  respectively. Then  $\sum_{j \in J} \dim W_j = \sum_{i \in I} \dim V_i$ .

## 2. Characterizations of *ov*-bases

Let  $\Lambda = \{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , then every  $f \in \mathcal{H}$  has a unique expansion of the form  $f = \sum_{j \in J} \Lambda_j^* g_j$ . It is clear that each  $g_j \in W_j$  is a linear operator of  $f$ . If we denote this linear operator by  $\Gamma_j : \mathcal{H} \rightarrow W_j$ , then  $g_j = \Gamma_j f$ , and we have  $f = \sum_{j \in J} \Lambda_j^* \Gamma_j f$ . The sequence  $\{\Gamma_j\}_{j \in J}$  is called the dual *ov*-basis of  $\Lambda$ . In the next theorem we show that the operators of a dual *ov*-basis are continuous.

**Theorem 2.1** Let  $\Lambda = \{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , and let  $\{\Gamma_j\}_{j \in J}$  be the dual *ov*-basis of  $\Lambda$ , then  $\Gamma_j \in B(\mathcal{H}, W_j)$ , for all  $j \in J$ . Moreover, if  $\Gamma_j \neq 0$  for some  $j \in J$ , then  $\|\Gamma_j\| \|\Lambda_j\| \geq 1$ .

**Proof.** Define the space

$$\mathcal{A} = \left\{ \{g_j\}_{j \in J} \mid g_j \in W_j, \sum_{j \in J} \Lambda_j^* g_j \text{ is convergent} \right\},$$

with the norm defined by

$$\|\{g_j\}_{j \in J}\| = \sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \left\| \sum_{i \in F} \Lambda_i^* g_i \right\| < \infty.$$

It is clear that  $\mathcal{A}$  endowed with this norm, is a normed space with respect to the pointwise operations. We will show that the space  $\mathcal{A}$  is a complete. Let  $\{u_n\}_{n \in \mathbb{N}}$  be a Cauchy sequence in  $\mathcal{A}$ . If  $u_n = \{g_{nj}\}_{j \in J}$ , then given any  $\varepsilon > 0$ , there exists a number  $N$  such that

$$\sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \left\| \sum_{i \in F} (\Lambda_i^* g_{ni} - \Lambda_i^* g_{mi}) \right\| < \varepsilon \tag{2}$$

for all  $m, n \geq N$ . Now for all  $j \in J$  and  $m, n \geq N$  we have

$$\|\Lambda_j^* g_{nj} - \Lambda_j^* g_{mj}\| \leq \sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \left\| \sum_{i \in F} (\Lambda_i^* g_{ni} - \Lambda_i^* g_{mi}) \right\| < \varepsilon.$$

This shows that  $\{\Lambda_j^* g_{nj}\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $\mathcal{H}$ . Since  $\Lambda_j$  is onto hence by Theorem 4.13 of [2] the sequence  $\{g_{nj}\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $W_j$  and thus convergent. Let  $g_j \in W_j$  such that  $g_j = \lim_{n \rightarrow \infty} g_{nj}$  and  $u = \{g_j\}_{j \in J}$ . From (2), by letting  $m \rightarrow \infty$ , we obtain

$$\sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \left\| \sum_{i \in F} (\Lambda_i^* g_{ni} - \Lambda_i^* g_i) \right\| \leq \varepsilon \tag{3}$$

for all  $n \geq N$ . Since for all finite non-empty subset  $F \subset J$  we have

$$\begin{aligned} \left\| \sum_{i \in J-F} \Lambda_i^* g_i \right\| &\leq \left\| \sum_{i \in J-F} (\Lambda_i^* g_{Ni} - \Lambda_i^* g_i) \right\| + \left\| \sum_{i \in J-F} \Lambda_i^* g_{Ni} \right\| \\ &\leq \sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \left\| \sum_{i \in F} (\Lambda_i^* g_{Ni} - \Lambda_i^* g_i) \right\| + \sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \left\| \sum_{i \in F} \Lambda_i^* g_{Ni} \right\| \end{aligned}$$

thus  $u \in \mathcal{A}$ . Moreover (3) implies that the sequence  $\{u_n\}_{n \in \mathbb{N}}$  is convergent to  $u$  in  $\mathcal{A}$ . Therefore  $\mathcal{A}$  is a Banach space. Define the mapping

$$T : \mathcal{A} \rightarrow \mathcal{H} \quad \text{with} \quad T(\{g_j\}_{j \in J}) = \sum_{j \in J} \Lambda_j^* g_j.$$

Since  $\Lambda$  is a  $g$ -basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  hence  $T$  is linear, one-to-one and onto. On the other hand, since

$$\|T(\{g_j\}_{j \in J})\| = \left\| \sum_{j \in J} \Lambda_j^* g_j \right\| \leq \sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \left\| \sum_{i \in F} \Lambda_i^* g_i \right\| = \|\{g_j\}_{j \in J}\|.$$

Thus  $T$  is continuous and the open mapping theorem then guarantees that  $T^{-1}$  is also continuous. This shows that  $\mathcal{A}$  and  $\mathcal{H}$  are Banach spaces isomorphic. Now suppose that  $f = \sum_{j \in J} \Lambda_j^* g_j$  is a fixed, arbitrary element of  $\mathcal{H}$  and let  $j \in J$ . Since  $\Lambda_j$  is onto thus by

Theorem 4.13 of [2] there is a  $m_j > 0$  such that  $m_j \|g\| \leq \|\Lambda_j^* g\|$  for all  $g \in W_j$ . Moreover, we have

$$\|\Gamma_j f\| = \|g_j\| \leq \frac{\|\Lambda_j^* g_j\|}{m_j} \leq \frac{\sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \left\| \sum_{i \in F} \Lambda_i^* g_i \right\|}{m_j} = \frac{2\|T^{-1}f\|}{m_j} \leq \frac{2\|T^{-1}\| \|f\|}{m_j}.$$

This shows that each  $\Gamma_j$  is continuous and  $\|\Gamma_j\| \leq \frac{2\|T^{-1}\|}{m_j}$ . For the remaining inequality assume that  $0 \neq g_j = \Gamma_j f$  for some  $f \in \mathcal{H}$ , then we have

$$\|g_j\| = \|\Gamma_j \Lambda_j^* g_j\| \leq \|\Gamma_j\| \|\Lambda_j\| \|g_j\|,$$

which implies that  $\|\Gamma_j\| \|\Lambda_j\| \geq 1$ . ■

Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and let  $\{\Gamma_j\}_{j \in J}$  be the dual *ov*-basis of  $\{\Lambda_j\}_{j \in J}$ . Then  $F$ -partial sum operator of  $\{\Lambda_j\}_{j \in J}$  defined by

$$S_F : \mathcal{H} \rightarrow \mathcal{H} \quad \text{with} \quad S_F f = \sum_{j \in F} \Lambda_j^* \Gamma_j f,$$

for all finite subset  $F \subset J$ . By Theorem 2.1,  $S_F$  is a bounded operator and

$$1 \leq \sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \|S_F\| < \infty. \quad (4)$$

A family of operators  $\{\Lambda_j \in B(\mathcal{H}, W_j) : j \in J\}$  is called a complete sequence for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , if  $\mathcal{H} = \overline{\text{span}}\{\Lambda_j^*(W_j)\}_{j \in J}$ . It is easy to check that  $\{\Lambda_j\}_{j \in J}$  is a complete sequence for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , if and only if  $\{f : \Lambda_j f = 0, j \in J\} = \{0\}$ .

**Theorem 2.2** Let  $\{\Lambda_j\}_{j \in J}$  be a complete sequence for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then  $\{\Lambda_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  if and only if there exists a constant  $M$  such that

$$\left\| \sum_{i \in F} \Lambda_i^* g_i \right\| \leq M \left\| \sum_{i \in G} \Lambda_i^* g_i \right\| \quad (5)$$

for all finite subsets  $F \subset G \subset J$  and arbitrary vectors  $g_j \in W_j, j \in G$ .

**Proof.** First suppose that  $\{\Lambda_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and let  $M = \sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \|S_F\|$ , then for all finite subsets  $F \subset G \subset J$  and arbitrary vectors  $g_j \in W_j$  we have

$$\left\| \sum_{j \in F} \Lambda_j^* g_j \right\| = \left\| S_F \left( \sum_{j \in G} \Lambda_j^* g_j \right) \right\| \leq M \left\| \sum_{j \in G} \Lambda_j^* g_j \right\|.$$

To prove the opposite implication take  $f \in \mathcal{H}$ . By hypothesis, there exist finite subsets  $F_n \subset F_{n+1} \subset J$  and vectors  $g_{nj} \in W_j$  for all  $n \in \mathbb{N}, j \in F_n$  such that  $f = \lim_{n \rightarrow \infty} \sum_{j \in F_n} \Lambda_j^* g_{nj}$ . For notational convenience, put  $g_{nj} = 0$  for  $j \notin F_n$ , then

for every  $m > n$  and  $j \in F_n$  we have

$$\begin{aligned} \|\Lambda_j^*(g_{nj} - g_{mj})\| &\leq M \left\| \sum_{i \in F_n} \Lambda_i^*(g_{ni} - g_{mi}) \right\| \\ &\leq M^2 \left\| \sum_{i \in F_m} \Lambda_i^*(g_{ni} - g_{mi}) \right\| \\ &= M^2 \left\| \sum_{i \in F_n} \Lambda_i^* g_{ni} - \sum_{i \in F_m} \Lambda_i^* g_{mi} \right\| \rightarrow 0 \quad (n \rightarrow \infty). \end{aligned}$$

This shows that  $\{\Lambda_j^* g_{nj}\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $\mathcal{H}$ . Since  $\Lambda_j$  is onto hence by Theorem 4.13 [2] the sequence  $\{g_{nj}\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $W_j$  and thus convergent. Let  $g_j \in W_j$  such that  $g_j = \lim_{n \rightarrow \infty} g_{nj}$ , then  $f = \sum_{j \in J} \Lambda_j^* g_j$ . Now we show that this representation is unique. If  $\sum_{j \in J} \Lambda_j^* g_j = 0$ , then for any finite subset  $F \subset J$  and  $j \in F$  we have

$$\|\Lambda_j^* g_j\| \leq M \left\| \sum_{i \in F} \Lambda_i^* g_i \right\| \rightarrow 0.$$

This shows that  $\|\Lambda_j^* g_j\| = 0$ . Since  $\Lambda_j^*$  is one-to-one on  $W_j$ , hence  $g_j = 0$  which this completes the proof. ■

**Corollary 2.3** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , with dual *ov*-basis  $\{\Gamma_j\}_{j \in J}$ . Then  $\{\Gamma_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and

$$f = \sum_{j \in J} \Gamma_j^* \Lambda_j f \quad \forall f \in \mathcal{H}.$$

**Proof.** First we prove that  $\mathcal{H} = \overline{\text{span}}\{\Gamma_j^*(W_j)\}_{j \in J}$ . To see this, let  $f \perp \overline{\text{span}}\{\Gamma_j^*(W_j)\}_{j \in J}$ . Then

$$\|\Gamma_j f\|^2 = \langle f, \Gamma_j^* \Gamma_j f \rangle = 0,$$

which implies that  $\Gamma_j f = 0$  for all  $j \in J$ . We also have

$$f = \sum_{j \in J} \Lambda_j^* \Gamma_j f = 0.$$

Thus  $\mathcal{H} = \overline{\text{span}}\{\Gamma_j^*(W_j)\}_{j \in J}$ . We now prove that  $\{\Gamma_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . For this, we show that  $S_F^* f \rightarrow f$  for all  $f \in \mathcal{H}$ . First assume that  $f$  is a finite linear combination of  $\{\Gamma_j^* g_j : g_j \in W_j, j \in J\}$ , say  $f = \sum_{j \in G} \Gamma_j^* g_j$  and let  $F \supseteq G$  be a finite arbitrary set. Then by hypothesis for any  $i, j \in J$  we have  $\Gamma_j \Lambda_i^* \Gamma_i = \delta_{ij} \Gamma_i$  hence  $\Gamma_i^* \Lambda_i \Gamma_j^* = \delta_{ij} \Gamma_i^*$ . It follows that

$$S_F^* f = \sum_{j \in G} S_F^* \Gamma_j^* g_j = \sum_{j \in G} \sum_{i \in F} \Gamma_i^* \Lambda_i \Gamma_j^* g_j = \sum_{j \in G} \Gamma_j^* g_j = f.$$

Now if  $f \in \mathcal{H}$ , then given  $\varepsilon > 0$  we can find  $g = \sum_{j \in G} \Gamma_j^* g_j$  such that  $\|f - g\| < \frac{\varepsilon}{M+1}$ ,

where  $M = \sup_{\substack{0 < |F| < \infty \\ F \subseteq J}} \|S_F\|$ . We also have

$$\|S_F^* f - f\| \leq \|S_F^* f - S_F^* g\| + \|g - f\| \leq (\|S_F\| + 1)\|f - g\| < \varepsilon$$

for every finite set  $F \supseteq G$ . Thus every  $f \in \mathcal{H}$  has at least one representation of the form  $f = \sum_{j \in J} \Gamma_j^* \Lambda_j f$ . We show that this representation is unique. Assume that  $\sum_{j \in J} \Gamma_j^* g_j = 0$  then by hypothesis for any  $i, j \in J$  we have  $\Gamma_j \Lambda_i^* \Lambda_i = \delta_{ij} \Lambda_i$  thus  $\Lambda_i^* \Lambda_i \Gamma_j^* = \delta_{ij} \Lambda_i^*$ . It follows that

$$\Lambda_i^* g_i = \Lambda_i^* \Lambda_i \left( \sum_{j \in J} \Gamma_j^* g_j \right) = 0.$$

Since  $\Lambda_i^*$  is one-to-one on  $W_i$ , therefore  $g_i = 0$  for all  $i \in J$ . This completes the proof. ■

**Definition 2.4** Let  $\{\Lambda_j\}_{j \in J}$  and  $\{\Gamma_j\}_{j \in J}$  be sequences of operators for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .

- (i) Let  $\Lambda_j$  be onto for all  $j \in J$ , then  $\{\Gamma_j\}_{j \in J}$  is called a *ov*-biorthogonal sequence of  $\{\Lambda_j\}_{j \in J}$ , if  $\Gamma_i \Lambda_j^* g_j = \delta_{ij} g_j$  for all  $i, j \in J$ ,  $g_j \in W_j$ .
- (ii)  $\{\Lambda_j\}_{j \in J}$  is called minimal, if for each  $j \in J$

$$\Lambda_j^*(W_j) \cap \overline{\text{span}}\{\Lambda_k^*(W_k)\}_{\substack{k \in J, \\ k \neq j}} = \{0\}.$$

- (iii) We say that  $\{\Lambda_j\}_{j \in J}$  is  $\omega$ -independent if whenever  $\sum_{j \in J} \Lambda_j^* g_j = 0$  for some sequence  $\{g_j : g_j \in W_j\}_{j \in J}$ , then necessarily  $g_k = 0$  for all  $k \in J$ .

Since  $\Lambda_j^* \Lambda_j \Gamma_i^* = \delta_{ij} \Lambda_j^*$  for all  $i, j \in J$  and  $\Lambda_j^*$  is one-to-one on  $W_j$  hence if  $\{\Gamma_j\}_{j \in J}$  is a *ov*-biorthogonal sequence of  $\{\Lambda_j\}_{j \in J}$ , then  $\{\Lambda_j\}_{j \in J}$  is also a *ov*-biorthogonal sequence of  $\{\Gamma_j\}_{j \in J}$ .

**Proposition 2.5** Let  $\{\Lambda_j\}_{j \in J}$  be a sequence of operators for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and let  $\Lambda_j$  be onto for all  $j \in J$ , then  $\{\Lambda_j\}_{j \in J}$  is minimal if and only if it is  $\omega$ -independent.

**Proof.** First assume that  $\{\Lambda_j\}_{j \in J}$  is not  $\omega$ -independent, then there is a sequence  $\{g_j : g_j \in W_j\}_{j \in J}$  with  $g_k \neq 0$  for some  $k \in J$ , such that  $\sum_{j \in J} \Lambda_j^* g_j = 0$ . It follows  $\Lambda_k^* g_k = \sum_{\substack{j \in J, \\ j \neq k}} \Lambda_j^*(-g_j)$  which implies that  $\Lambda_k^* g_k \in \overline{\text{span}}\{\Lambda_j^*(W_j)\}_{\substack{j \in J, \\ j \neq k}}$ . That is,  $\{\Lambda_j\}_{j \in J}$  is not minimal. The other implication is obvious. ■

**Proposition 2.6** Every *ov*-basis for a Hilbert space possesses a unique *ov*-biorthogonal sequence.

**Proof.** By definition, the dual *ov*-basis of a *ov*-basis is a *ov*-biorthogonal sequence of it. Moreover, if  $\{\Gamma_j\}_{j \in J}$  and  $\{\Psi_j\}_{j \in J}$  be *ov*-biorthogonal sequences of *ov*-basis  $\{\Lambda_j\}_{j \in J}$ , then for all  $f \in \mathcal{H}$  and  $i, j \in J$  we have  $\Psi_i \Lambda_j^* \Gamma_j f = \delta_{ij} \Gamma_j f$ , which implies that

$$\Lambda_i^* \Psi_i f = \sum_{j \in J} \Lambda_i^* \Psi_i \Lambda_j^* \Gamma_j f = \sum_{j \in J} \delta_{ij} \Lambda_i^* \Gamma_j f = \Lambda_i^* \Gamma_i f.$$

Since  $\Lambda_i^*$  is one-to-one on  $W_i$ , hence  $\Gamma_i = \Psi_i$ . ■

**Proposition 2.7** Let  $\{\Lambda_j\}_{j \in J}$  be a sequence of operators for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , and let  $\Lambda_j$  be onto for all  $j \in J$ . Then

- (i)  $\{\Lambda_j\}_{j \in J}$  has a *ov*-biorthogonal sequence, if and only if  $\{\Lambda_j\}_{j \in J}$  is minimal.
- (ii) The *ov*-biorthogonal sequence of  $\{\Lambda_j\}_{j \in J}$  is unique if and only if  $\{\Lambda_j\}_{j \in J}$  is complete.

**Proof.** For the proof of (i) suppose that  $\{\Gamma_j\}_{j \in J}$  is a *ov*-biorthogonal sequence of  $\{\Lambda_j\}_{j \in J}$ , and let  $f \in \Lambda_k^*(W_k) \cap \overline{\text{span}}\{\Lambda_j^*(W_j)\}_{j \in J, j \neq k}$  for any given  $k \in J$ . Then there exists a sequence  $\{g_j : g_j \in W_j\}_{j \in J}$  such that  $f = \Lambda_k^*g_k = \sum_{\substack{j \in J, \\ j \neq k}} \Lambda_j^*g_j$ . We also have

$$g_k = \Gamma_k \Lambda_k^*g_k = \sum_{\substack{j \in J, \\ j \neq k}} \Gamma_k \Lambda_j^*g_j = \sum_{\substack{j \in J, \\ j \neq k}} \delta_{kj}g_j = 0,$$

which implies that  $f = 0$ . That is,  $\{\Lambda_j\}_{j \in J}$  is minimal. For the opposite implication in (i), suppose that  $\{\Lambda_j\}_{j \in J}$  is minimal, and let  $\mathcal{H}_0 = \overline{\text{span}}\{\Lambda_j^*(W_j)\}_{j \in J}$ . From Proposition 2.5 it follows that  $\{\Lambda_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}_0$  with respect to  $\{W_j\}_{j \in J}$ . Let  $\{\Gamma'_j\}_{j \in J}$  be dual *ov*-basis of  $\{\Lambda_j\}_{j \in J}$ . If we define  $\Gamma_j = \Gamma'_j P$  for all  $j \in J$ , where  $P$  is the orthogonal projection from  $\mathcal{H}$  onto  $\mathcal{H}_0$ . Then  $\{\Gamma_j\}_{j \in J}$  is a *ov*-biorthogonal sequence for  $\{\Lambda_j\}_{j \in J}$ .

(ii) Let  $\{\Gamma_j\}_{j \in J}$  be a *ov*-biorthogonal sequence of  $\{\Lambda_j\}_{j \in J}$ . If  $\{\Lambda_j\}_{j \in J}$  is not complete, then the sequence  $\{\Psi_j\}_{j \in J}$  defined by  $\Psi_j = \Gamma_j + \Lambda_j(Id_{\mathcal{H}} - P)$  for all  $j \in J$  is a *ov*-biorthogonal sequence for  $\{\Lambda_j\}_{j \in J}$ . For the other implication in (ii), assume that  $\{\Lambda_j\}_{j \in J}$  is complete. If  $\sum_{j \in J} \Lambda_j^*g_j = 0$  for any given sequence  $\{g_j : g_j \in W_j\}_{j \in J}$ , then for every  $k \in J$  we have

$$g_k = \sum_{j \in J} \delta_{kj}g_j = \sum_{j \in J} \Gamma_k \Lambda_j^*g_j = \Gamma_k(\sum_{j \in J} \Lambda_j^*g_j) = 0.$$

This shows that  $\{\Lambda_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Now the conclusion follows from Proposition 2.6. ■

**Theorem 2.8** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and let  $T : \mathcal{H} \rightarrow \mathcal{U}$  be a bounded linear operator such that  $\Gamma_j = \Lambda_j T^*$  for all  $j \in J$ . Then  $\{\Gamma_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{U}$  with respect to  $\{W_j\}_{j \in J}$  if and only if  $T$  is invertible.

**Proof.** Let  $T$  be invertible and let  $g \in \mathcal{U}$ , then we can write  $g = Tf$  for some  $f \in \mathcal{H}$ . Since  $\{\Lambda_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  hence  $f \in \mathcal{H}$  has a unique expansion of the form  $f = \sum_{j \in J} \Lambda_j^*g_j$  where  $g_j \in W_j$  for all  $j \in J$ . It follows that

$$g = Tf = \sum_{j \in J} T\Lambda_j^*g_j = \sum_{j \in J} \Gamma_j^*g_j$$

which implies that  $\{\Gamma_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{U}$  with respect to  $\{W_j\}_{j \in J}$ . Now we assume  $\{\Lambda_j\}_{j \in J}$  and  $\{\Gamma_j\}_{j \in J}$  are *ov*-bases for  $\mathcal{H}$  and  $\mathcal{U}$  with respect to  $\{W_j\}_{j \in J}$  respectively. Since for every sequence  $\{g_j : g_j \in W_j\}_{j \in J}$  we have  $T(\sum_{j \in J} \Lambda_j^*g_j) = \sum_{j \in J} \Gamma_j^*g_j$ . Therefore  $T$  is invertible. ■

**Definition 2.9** Let  $\{\Lambda_j\}_{j \in J}$  and  $\{\Gamma_j\}_{j \in J}$  be *ov*-bases for  $\mathcal{H}$  and  $\mathcal{U}$  with respect to  $\{W_j\}_{j \in J}$  respectively. Then  $\{\Lambda_j\}_{j \in J}$  and  $\{\Gamma_j\}_{j \in J}$  are said to be equivalent if for any given sequence  $\{g_j : g_j \in W_j\}_{j \in J}$  the series  $\sum_{j \in J} \Lambda_j^*g_j$  is convergent if and only if the series  $\sum_{j \in J} \Gamma_j^*g_j$  is convergent.

**Theorem 2.10** Two *ov*-bases  $\{\Lambda_j\}_{j \in J}$  and  $\{\Gamma_j\}_{j \in J}$  for  $\mathcal{H}$  and  $\mathcal{U}$  with respect to  $\{W_j\}_{j \in J}$  are equivalent if and only if there exists a bounded linear invertible operator  $T : \mathcal{H} \rightarrow \mathcal{U}$

such that  $\Gamma_j = \Lambda_j T^*$ .

**Proof.** Assume that  $T : \mathcal{H} \rightarrow \mathcal{U}$  be the bounded linear invertible operator such that  $\Gamma_j = \Lambda_j T^*$  for all  $j \in J$ . Then the sufficiency follows from the fact that for every sequence  $\{g_j : g_j \in W_j\}_{j \in J}$  we have

$$\sum_{j \in J} \Gamma_j^* g_j = T \left( \sum_{j \in J} \Lambda_j^* g_j \right) \quad \text{and} \quad \sum_{j \in J} \Lambda_j^* g_j = T^{-1} \left( \sum_{j \in J} \Gamma_j^* g_j \right).$$

Now suppose that  $\{\Lambda_j\}_{j \in J}$  and  $\{\Gamma_j\}_{j \in J}$  are equivalent *ov*-bases for  $\mathcal{H}$  and  $\mathcal{U}$  with respect to  $\{W_j\}_{j \in J}$ . If  $f \in \mathcal{H}$  with unique expansion  $f = \sum_{j \in J} \Lambda_j^* g_j$ , then the series  $\sum_{j \in J} \Gamma_j^* g_j$  converges to an element  $Tf \in \mathcal{U}$ . Therefore,  $Tf$  is well defined. Since  $\Lambda_j^*$  is one-to-one on  $W_j$  for all  $j \in J$ , hence it is easy to check that  $T$  is linear, bijective and  $\Gamma_j = \Lambda_j T^*$ . To show that  $T$  is a bounded invertible operator, we define operators  $T_F$  by  $T_F f = \sum_{j \in F} \Gamma_j^* g_j$  for every non-empty finite subset  $F \subset J$ . Then  $Tf = \lim_F T_F f$  for every  $f \in \mathcal{H}$ . Since by Theorem 2.8 each  $T_F$  is bounded thus the Banach-Steinhaus Theorem implies that  $T$  is bounded. Moreover the open mapping Theorem guarantees that  $T$  is invertible. ■

**Theorem 2.11** The *ov*-biorthogonal sequences associated with equivalent *ov*-bases are equivalent.

**Proof.** Let  $\{\Lambda_j\}_{j \in J}$  and  $\{\Gamma_j\}_{j \in J}$  be equivalent *ov*-bases for  $\mathcal{H}$  and  $\mathcal{U}$  with respect to  $\{W_j\}_{j \in J}$  and let,  $\{\Psi_j\}_{j \in J}$  and  $\{\Phi_j\}_{j \in J}$  be *ov*-biorthogonal sequences for them respectively. By assumption there exists a bounded invertible operator  $T : \mathcal{H} \rightarrow \mathcal{U}$  such that  $\Gamma_j = \Lambda_j T^*$ . For any  $f \in \mathcal{H}$  we have

$$f = T^{-1} T f = T^{-1} \left( \sum_{j \in J} \Gamma_j^* \Phi_j T f \right) = T^{-1} \left( \sum_{j \in J} T \Lambda_j^* \Phi_j T f \right) = \sum_{j \in J} \Lambda_j^* \Phi_j T f.$$

By Proposition 2.6 it follows that  $\Psi_j = \Phi_j T$  for all  $j \in J$ . that is  $\{\Psi_j\}_{j \in J}$  and  $\{\Phi_j\}_{j \in J}$  are equivalent. ■

For each sequence  $\{W_j\}_{j \in J}$  of closed subspaces of  $\mathcal{K}$ , we define the Hilbert space associated with  $\{W_j\}_{j \in J}$  by

$$\left( \sum_{j \in J} \oplus W_j \right)_{\ell^2} = \left\{ \{g_j\}_{j \in J} \mid g_j \in W_j \text{ and } \sum_{j \in J} \|g_j\|^2 < \infty \right\}. \quad (6)$$

with inner product given by

$$\langle \{f_k\}_{k \in J}, \{g_k\}_{k \in J} \rangle = \sum_{j \in J} \langle f_j, g_j \rangle. \quad (7)$$

**Definition 2.12** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . We say that  $\{\Lambda_j\}_{j \in J}$  is a Bessel *ov*-basis if whenever  $\sum_{j \in J} \Lambda_j^* g_j$  converges, then  $\{g_j\}_{j \in J} \in \left( \sum_{j \in J} \oplus W_j \right)_{\ell^2}$ . It is called a Hilbert *ov*-basis, if the series  $\sum_{j \in J} \Lambda_j^* g_j$  is convergent for all  $\{g_j\}_{j \in J} \in \left( \sum_{j \in J} \oplus W_j \right)_{\ell^2}$ .

**Theorem 2.13** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then  $\{\Lambda_j\}_{j \in J}$  is a Bessel *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  if and only if there exists a constant



$A > 0$  such that

$$A \sum_{j \in F} \|g_j\|^2 \leq \left\| \sum_{j \in F} \Lambda_j^* g_j \right\|^2$$

for any finite subset  $F \subset J$  and arbitrary vectors  $g_j \in W_j$ .

**Proof.** The sufficiency is trivial. Assume that  $\{\Lambda_j\}_{j \in J}$  is a Bessel *ov*-basis and consider the space

$$\mathcal{A} = \left\{ \{g_j\}_{j \in J} \mid g_j \in W_j, \sum_{j \in J} \Lambda_j^* g_j \text{ is convergent} \right\}.$$

Clearly  $\mathcal{A}$  is a subspace of  $(\sum_{j \in J} \oplus W_j)_{\ell^2}$ . We show that  $\mathcal{A}$  is closed. To see this, let  $\{g_{nj}\}_{j \in J}$  be a sequence in  $\mathcal{A}$  such that converges to some  $\{g_j\}_{j \in J} \in (\sum_{j \in J} \oplus W_j)_{\ell^2}$ , then  $g_{nj} \rightarrow g_j$  for all  $j \in J$ . Let  $F$  be an arbitrary finite subset of  $J$  and  $n \in \mathbb{N}$ , then we have

$$\left\| \sum_{j \in F} \Lambda_j^* g_j \right\| \leq \left\| \sum_{j \in F} \Lambda_j^* (g_{nj} - g_j) \right\| + \left\| \sum_{j \in F} \Lambda_j^* g_{nj} \right\|.$$

It follows that  $\sum_{j \in J} \Lambda_j^* g_j$  is Cauchy and hence convergent in  $\mathcal{H}$ , which implies that  $\mathcal{A}$  is closed. Now define the operator  $T : \mathcal{A} \rightarrow \mathcal{H}$  by

$$T(\{g_j\}_{j \in J}) = \sum_{j \in J} \Lambda_j^* g_j.$$

Then, it is obvious that  $T$  is linear, one-to-one. To show that  $T$  is a bounded operator, we define the bounded operators  $T_F : \mathcal{A} \rightarrow \mathcal{H}$  by  $T_F(\{g_j\}_{j \in J}) = \sum_{j \in F} \Lambda_j^* g_j$ . Then  $T_F \rightarrow T$  pointwise. Since each  $T_F$  is bounded the Banach-Steinhaus Theorem follows that  $T$  is bounded. Now by Theorems 4.13 and 4.15 of [2] there exists a constant  $A > 0$  such that

$$A \sum_{j \in F} \|g_j\|^2 \leq \left\| \sum_{j \in F} \Lambda_j^* g_j \right\|^2$$

for any finite subset  $F \subset J$  and arbitrary vectors  $g_j \in W_j$ . ■

**Theorem 2.14** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then  $\{\Lambda_j\}_{j \in J}$  is a Hilbert *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  if and only if there exists a constant  $B > 0$  such that

$$\left\| \sum_{j \in F} \Lambda_j^* g_j \right\|^2 \leq B \sum_{j \in F} \|g_j\|^2$$

for any finite subset  $F \subset J$  and arbitrary vectors  $g_j \in W_j$ .

**Proof.** Suppose that  $\{\Lambda_j\}_{j \in J}$  is a Hilbert *ov*-basis then the Banach-Steinhaus Theorem guarantees that the operator  $T : (\sum_{j \in J} \oplus W_j)_{\ell^2} \rightarrow \mathcal{H}$  defined by  $T(\{g_j\}_{j \in J}) = \sum_{j \in J} \Lambda_j^* g_j$  is bounded. Therefore there exists a constant  $B > 0$  such that

$$\left\| \sum_{j \in F} \Lambda_j^* g_j \right\|^2 \leq B \sum_{j \in F} \|g_j\|^2$$

for any finite subset  $F \subset J$  and arbitrary vectors  $g_j \in W_j$ . The opposite conclusion is trivial. ■

**Theorem 2.15** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , with dual *ov*-basis  $\{\Gamma_j\}_{j \in J}$ . Then  $\{\Lambda_j\}_{j \in J}$  is a Bessel *ov*-basis if and only if  $\{\Gamma_j\}_{j \in J}$  is a Hilbert *ov*-basis.

**Proof.** First suppose that  $\{\Lambda_j\}_{j \in J}$  is a Bessel *ov*-basis, then  $\{\Gamma_j f\}_{j \in J} \in (\sum_{j \in J} \oplus W_j)_{\ell^2}$  for all  $f \in \mathcal{H}$ . Fix  $F \subset J$  with  $|F| < \infty$  and let  $f = \sum_{j \in F} \Gamma_j^* g_j$ . Then we have

$$\begin{aligned} \left\| \sum_{j \in F} \Gamma_j^* g_j \right\|^4 &= \left| \langle f, \sum_{j \in F} \Gamma_j^* g_j \rangle \right|^2 \leq \left( \sum_{j \in F} \|\Gamma_j f\| \|g_j\| \right)^2 \\ &\leq \left( \sum_{j \in J} \|\Gamma_j f\|^2 \right) \left( \sum_{j \in F} \|g_j\|^2 \right). \end{aligned}$$

This shows that  $\{\Gamma_j\}_{j \in J}$  is a Hilbert *ov*-basis. For the other implication, assume that  $\{\Gamma_j\}_{j \in J}$  is a Hilbert *ov*-basis. Fix  $F \subset J$  with  $|F| < \infty$  and let  $f = \sum_{j \in F} \Lambda_j^* g_j$ , then  $g_j = \Gamma_j f$  for all  $j \in F$ . By Theorem 2.14 there exists a constant  $B > 0$  such that

$$\begin{aligned} \left\| \sum_{j \in F} \Gamma_j^* \Gamma_j f \right\|^2 &\leq B \sum_{j \in F} \|\Gamma_j f\|^2 = B \langle f, \sum_{j \in F} \Gamma_j^* \Gamma_j f \rangle \\ &\leq B \|f\| \left\| \sum_{j \in F} \Gamma_j^* \Gamma_j f \right\|. \end{aligned}$$

Hence,

$$\left\| \sum_{j \in F} \Gamma_j^* \Gamma_j f \right\| \leq B \left\| \sum_{j \in F} \Lambda_j^* g_j \right\|.$$

We also have

$$\begin{aligned} \sum_{j \in F} \|g_j\|^2 &= \sum_{j \in F} \|\Gamma_j f\|^2 = \langle f, \sum_{j \in F} \Gamma_j^* \Gamma_j f \rangle \\ &\leq \left\| \sum_{j \in F} \Lambda_j^* g_j \right\| \left\| \sum_{j \in F} \Gamma_j^* \Gamma_j f \right\| \leq B \left\| \sum_{j \in F} \Lambda_j^* g_j \right\|^2. \end{aligned}$$

Now applying Theorem 2.13 the result follows at once. ■

### 3. Orthonormal *ov*-bases and Riesz *ov*-bases

In this section we give some characterizations of orthonormal *ov*-bases and Riesz *ov*-bases in Hilbert spaces. For more details about the theory and applications of orthonormal *ov*-bases we refer the readers to [1].

**Definition 3.1** Let  $\{\Xi_j\}_{j \in J}$  be a sequence of operators for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then

(i)  $\{\Xi_j\}_{j \in J}$  is called an orthonormal *ov*-system for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , if:

$$\Xi_i \Xi_j^* g_j = \delta_{ij} g_j \quad \forall i, j \in J, g_j \in W_j.$$

(ii)  $\{\Xi_j\}_{j \in J}$  is called an orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  if it is a complete orthonormal *ov*-system for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .

**Corollary 3.2** Let  $\{\Xi_j\}_{j \in J}$  be an orthonormal *ov*-system for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , then  $\Xi_j$  is onto and  $\|\Xi_j\| = 1$  for all  $j \in J$ .

**Proof.** For any  $j \in J$  and  $g \in W_j$ , we have  $\Xi_j \Xi_j^* g = g$  which implies that  $\Xi_j$  is onto. We further have  $\Xi_j \Xi_j^* \Xi_j = \Xi_j$ . This shows that  $\Xi_j^* \Xi_j$  is an orthogonal projection from  $\mathcal{H}$  onto  $\mathcal{R}_{\Xi_j^*}$  and hence  $\|\Xi_j^* \Xi_j\| = 1$ . This yields

$$\|\Xi_j\|^2 = \sup_{\|f\|=1} \|\Xi_j f\|^2 = \sup_{\|f\|=1} \langle \Xi_j f, \Xi_j f \rangle = \sup_{\|f\|=1} \|\Xi_j^* \Xi_j f\|^2 = 1$$

■

**Example 3.3** Let  $\mathcal{H} = \mathcal{K} = \mathbb{C}^{N+1}$  and let  $\{e_k\}_{k=1}^{N+1}$  be the standard basis of  $\mathbb{C}^{N+1}$ . For each  $1 \leq j \leq N + 1$  define the subspace  $W_j \subset \mathcal{K}$  and the operator  $\Xi_j : \mathcal{H} \rightarrow W_j$  by

$$W_j = \text{span} \left\{ \sum_{\substack{k=1 \\ k \neq j}}^{N+1} e_k \right\}, \quad \Xi_j(\{z_i\}_{i=1}^{N+1}) = \frac{z_j}{\sqrt{N}} \sum_{\substack{k=1 \\ k \neq j}}^{N+1} e_k.$$

Then  $\{\Xi_j\}_{j=1}^{N+1}$  is an orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j=1}^{N+1}$ .

**Corollary 3.4** Orthonormal *ov*-systems are  $\omega$ -independent.

**Proof.** This follows immediately from the definition. ■

**Theorem 3.5** Let  $\{\Xi_j\}_{j \in J}$  be an orthonormal *ov*-system for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , then the series  $\sum_{j \in J} \Xi_j^* g_j$  converges if and only if  $\{g_j\}_{j \in J} \in (\sum_{j \in J} \oplus W_j)_{\ell_2}$  and in that case

$$\left\| \sum_{j \in J} \Xi_j^* g_j \right\|^2 = \sum_{j \in J} \|g_j\|^2.$$

**Proof.** For any finite subset  $F \subset J$  we have  $\left\| \sum_{j \in F} \Xi_j^* g_j \right\|^2 = \sum_{j \in F} \|g_j\|^2$ . From this the result follows. ■

**Theorem 3.6** (Bessel's inequality) Let  $\{\Xi_j\}_{j \in J}$  be an orthonormal *ov*-system for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then

$$\sum_{j \in J} \|\Xi_j f\|^2 \leq \|f\|^2$$

for all  $f \in \mathcal{H}$ .

**Proof.** Let  $f \in \mathcal{H}$ . Fix  $F \subset J$  with  $|F| < \infty$ . Then By Theorem 3.5 we have

$$\begin{aligned} \left\| f - \sum_{j \in F} \Xi_j^* g_j \right\|^2 &= \|f\|^2 - \sum_{j \in F} \langle \Xi_j f, g_j \rangle - \sum_{j \in F} \langle g_j, \Xi_j f \rangle + \sum_{j \in F} \|g_j\|^2 \\ &= \|f\|^2 - \sum_{j \in F} \|\Xi_j f\|^2 + \sum_{j \in F} \|\Xi_j f - g_j\|^2 \end{aligned}$$

for arbitrary vectors  $\{g_j : g_j \in W_j\}_{j \in F}$ . In particular, if  $g_j = \Xi_j f$ , then

$$\left\| f - \sum_{j \in F} \Xi_j^* \Xi_j f \right\|^2 = \|f\|^2 - \sum_{j \in F} \|\Xi_j f\|^2.$$

From this we have  $\sum_{j \in F} \|\Xi_j f\|^2 \leq \|f\|^2$ , which implies that  $\sum_{j \in J} \|\Xi_j f\|^2 \leq \|f\|^2$ . ■

**Corollary 3.7** Let  $\{\Xi_j\}_{j \in J}$  be an orthonormal  $ov$ -system for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , then for all  $f \in \mathcal{H}$  the series  $\sum_{j \in J} \Xi_j^* \Xi_j f$  convergent and

$$\left\| f - \sum_{j \in J} \Xi_j^* \Xi_j f \right\|^2 \leq \left\| f - \sum_{j \in J} \Xi_j^* g_j \right\|^2$$

for every  $\{g_j\}_{j \in J} \in \left(\sum_{j \in J} \oplus W_j\right)_{\ell^2}$ .

**Theorem 3.8** Let  $\Xi = \{\Xi_j\}_{j \in J}$  be an orthonormal  $ov$ -system for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then the following conditions are equivalent:

- (i)  $\Xi$  is an orthonormal  $ov$ -basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .
- (ii)  $f = \sum_{j \in J} \Xi_j^* \Xi_j f \quad \forall f \in \mathcal{H}$ .
- (iii)  $\|f\|^2 = \sum_{j \in J} \|\Xi_j^* \Xi_j f\|^2 \quad \forall f \in \mathcal{H}$ .
- (iv)  $\|f\|^2 = \sum_{j \in J} \|\Xi_j f\|^2 \quad \forall f \in \mathcal{H}$ .
- (v)  $\langle f, g \rangle = \sum_{j \in J} \langle \Xi_j f, \Xi_j g \rangle \quad \forall f, g \in \mathcal{H}$ .
- (vi) If  $\Xi_j f = 0$  for all  $j \in J$ , then  $f = 0$ .

**Proof.** The implication (i)  $\Rightarrow$  (ii) follows immediately from Corollary 3.7. To prove (ii)  $\Rightarrow$  (iii) assume that  $f \in \mathcal{H}$ . Since  $\Xi$  is an orthonormal  $ov$ -system, hence  $(\Xi_j^* \Xi_j)^2 f = \Xi_j^* \Xi_j f$  for all  $j \in J$ . This yields

$$\|f\|^2 = \left\langle \sum_{j \in J} \Xi_j^* \Xi_j f, f \right\rangle = \sum_{j \in J} \|\Xi_j^* \Xi_j f\|^2,$$

which implies (iii). The implications (iii)  $\Rightarrow$  (iv)  $\Rightarrow$  (v) are clear. To prove (v)  $\Rightarrow$  (vi) assume that  $\Xi_j f = 0$  for all  $j \in J$ , then we have  $\|f\|^2 = \sum_{j \in J} \|\Xi_j f\|^2 = 0$ . It follows that  $f = 0$ . To prove (vi)  $\Rightarrow$  (i) suppose that  $f \perp \overline{\text{span}}\{\Xi_j^*(W_j)\}_{j \in J}$ , then for every  $j \in J$  we have  $\|\Xi_j f\|^2 = \langle f, \Xi_j^* \Xi_j f \rangle = 0$  which implies that  $f = 0$ . Therefore  $\mathcal{H} = \overline{\text{span}}\{\Xi_j^*(W_j)\}_{j \in J}$ . ■

**Theorem 3.9** Let  $\{\Xi_j\}_{j \in J}$  be an orthonormal  $ov$ -basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and let  $T : \mathcal{H} \rightarrow \mathcal{U}$  be a bounded linear operator such that  $\Xi'_j = \Xi_j T^*$  for all  $j \in J$ . Then  $\{\Xi'_j\}_{j \in J}$  is an orthonormal  $ov$ -basis for  $\mathcal{U}$  with respect to  $\{W_j\}_{j \in J}$  if and only if  $T$  is unitary.

**Proof.** First suppose that  $\{\Xi'_j\}_{j \in J}$  is an orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then by Theorem 3.8 for every  $g \in \mathcal{U}$  we have

$$\|T^*g\|^2 = \sum_{j \in J} \|\Xi_j T^*g\|^2 = \sum_{j \in J} \|\Xi'_j g\|^2 = \|g\|^2.$$

Hence  $T$  is co-isometry. We also see from Theorem 2.10 that  $T$  is unitary. Now if  $T$  is unitary then we have

$$\|g\|^2 = \|T^*g\|^2 = \sum_{j \in J} \|\Xi_j T^*g\|^2 = \sum_{j \in J} \|\Xi'_j g\|^2$$

for all  $g \in \mathcal{U}$ . From this follows that  $\{\Xi'_j\}_{j \in J}$  is an orthonormal *ov*-basis for  $\mathcal{U}$  with respect to  $\{W_j\}_{j \in J}$ . ■

**Corollary 3.10** Let  $\{\Xi_j\}_{j \in J}$  be an orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then the orthonormal *ov*-bases for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  are precisely the sets  $\{\Xi_j T\}_{j \in J}$ , where  $T : \mathcal{H} \rightarrow \mathcal{H}$  is an unitary operator.

**Corollary 3.11** Let  $\{W_j\}_{j \in J}$  be a family of closed subspaces of  $\mathcal{H}$  such that

$$\sum_{j \in J} \|\pi_{W_j} f\|^2 = \|f\|^2 \quad \forall f \in \mathcal{H},$$

where  $\pi_{W_j}$  is the orthogonal projections from  $\mathcal{H}$  onto  $W_j$ . Then  $\{\pi_{W_j}\}_{j \in J}$  is an orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .

**Proof.** For each  $j \in J$  and  $g_j \in W_j$  we have

$$\|g_j\|^2 = \sum_{i \in J} \|\pi_{W_i} g_j\|^2 = \|g_j\|^2 + \sum_{\substack{i \in J \\ i \neq j}} \|\pi_{W_i} g_j\|^2$$

which shows that  $\pi_{W_i} g_j = \delta_{ij} g_j$ . It follows that  $\{\pi_{W_j}\}_{j \in J}$  is an orthonormal *ov*-system for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Now the result follows from the Theorem 3.8. ■

In the following, we give some characterizations of Riesz *ov*-bases in Hilbert spaces.

**Definition 3.12** A sequence of operators  $\{\Lambda_j \in B(\mathcal{H}, W_j) : j \in J\}$  is called a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  if there is an orthonormal *ov*-basis  $\{\Xi_j\}_{j \in J}$  for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and a bounded invertible linear operator  $T$  on  $\mathcal{H}$  such that  $\Lambda_j = \Xi_j T^*$  for all  $j \in J$ .

**Corollary 3.13** If  $\{\Lambda_j\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then

$$0 < \inf_{j \in J} \|\Lambda_j\| \leq \sup_{j \in J} \|\Lambda_j\| < \infty.$$

**Proof.** According to the definition we can write  $\{\Lambda_j\}_{j \in J} = \{\Xi_j T^*\}_{j \in J}$ , where  $T$  is a bounded bijective operator and  $\{\Xi_j\}_{j \in J}$  is an orthonormal *ov*-basis. By Corollary 3.2 for every  $j \in J$  we have

$$\|T^{-1}\|^{-1} \leq \|\Lambda_j\| \leq \|T\|.$$

From this the result follows. ■

**Theorem 3.14** If  $\{\Lambda_j\}_{j \in J} = \{\Xi_j T^*\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then  $\{\frac{\Lambda_j}{\|\Lambda_j\|}\}_{j \in J}$  is also a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .

**Proof.** Define a mapping  $S : \mathcal{H} \rightarrow \mathcal{H}$  by  $Sf = \sum_{j \in J} \frac{\Xi_j \Xi_j^* f}{\|\Lambda_j\|}$ . By Theorem 3.8 and Corollary 3.13 we have

$$\|T\|^{-1}\|f\| \leq \|Sf\| \leq \|T^{-1}\|\|f\|,$$

which implies that  $S$  is bounded and injective. Since  $S$  is self-adjoint hence  $S$  is invertible. Moreover, the operator  $\Theta = TS$  is also bounded, invertible and we have

$$\begin{aligned} \Xi_j \Theta^* &= \Xi_j S T^* = \left( \sum_{i \in J} \frac{\Xi_j \Xi_i^* \Xi_i}{\|\Lambda_j\|} \right) T^* \\ &= \left( \sum_{i \in J} \frac{\delta_{ji} \Xi_i}{\|\Lambda_j\|} \right) T^* = \frac{\Xi_j T^*}{\|\Lambda_j\|} = \frac{\Lambda_j}{\|\Lambda_j\|}, \end{aligned}$$

for any  $j \in J$ . Consequently  $\{\frac{\Lambda_j}{\|\Lambda_j\|}\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . ■

**Corollary 3.15** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , with dual *ov*-basis  $\{\Gamma_j\}_{j \in J}$ . Then  $\{\Lambda_j\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  if and only if  $\{\Gamma_j\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .

**Proof.** This follows immediately from the definition and Theorem 2.11. ■

To check Riesz *ov*-baseness of a family of operators  $\{\Lambda_j\}_{j \in J}$  for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , we derive the following useful characterization.

**Theorem 3.16** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , with dual *ov*-basis  $\{\Gamma_j\}_{j \in J}$ . Then the following conditions are equivalent:

- (i) The sequence  $\{\Lambda_j\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .
- (ii) There is an equivalent inner product on  $\mathcal{H}$ , with respect to which the sequence  $\{\Gamma_j\}_{j \in J}$  becomes an orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .

**Proof.** (i)  $\Rightarrow$  (ii) Assume that  $\{\Lambda_j\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$ , and write it in the form  $\{\Xi_j T^*\}_{j \in J}$  as in the definition. Define a new inner product  $\langle \cdot, \cdot \rangle_T$  on  $\mathcal{H}$  by

$$\langle f, g \rangle_T = \langle T^* f, T^* g \rangle \quad \forall f, g \in \mathcal{H}.$$

If  $\|\cdot\|_T$  is the norm generated by this inner product, then for all  $f \in \mathcal{H}$  we have

$$\|T^{-1}\|^{-1}\|f\| \leq \|f\|_T \leq \|T\|\|f\|,$$

which implies that the new inner product is equivalent to the original one. By Theorem 2.11 for any  $g \in \mathcal{K}$  and arbitrary vector  $g_j \in W_j, i, j \in J$  we have

$$\begin{aligned} \langle \Gamma_i \Gamma_j^* g_j, g \rangle &= \langle \Gamma_j^* g_j, \Gamma_i^* g \rangle_T = \langle T^* \Gamma_j^* g_j, T^* \Gamma_i^* g \rangle \\ &= \langle \Xi_j^* g_j, \Xi_i^* g \rangle = \langle \Xi_i \Xi_j^* g_j, g \rangle = \langle \delta_{ij} g_j, g \rangle. \end{aligned}$$

Now the Corollary 3.15 follows that  $\{\Gamma_j\}_{j \in J}$  is an orthonormal *ov*-basis for  $\mathcal{H}$  with inner product  $\langle \cdot, \cdot \rangle_T$  with respect to  $\{W_j\}_{j \in J}$ .

(ii)  $\Rightarrow$  (i) Suppose that  $\langle \cdot, \cdot \rangle_1$  is an equivalent inner product on  $\mathcal{H}$  with respect to which  $\{\Gamma_j\}_{j \in J}$  is an orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Therefore there exist positive constants  $m, M$  such that

$$m\|f\| \leq \|f\|_1 \leq M\|f\| \quad \forall f \in \mathcal{H}.$$

By Theorem 3.5 we obtain

$$\begin{aligned} \frac{1}{M^2} \sum_{j \in F} \|g_j\|^2 &= \frac{1}{M^2} \left\| \sum_{j \in F} \Gamma_j^* g_j \right\|_1^2 \leq \left\| \sum_{j \in F} \Gamma_j^* g_j \right\|^2 \\ &\leq \frac{1}{m^2} \left\| \sum_{j \in F} \Gamma_j^* g_j \right\|_1^2 = \frac{1}{m^2} \sum_{j \in F} \|g_j\|^2, \end{aligned}$$

for any finite subset  $F \subset J$  and arbitrary vectors  $g_j \in W_j$ . Now let  $\{\Xi_j\}_{j \in J}$  be an arbitrary orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and define the mapping

$$T : \mathcal{H} \rightarrow \mathcal{H}, \quad \text{with} \quad T \Xi_j^* g_j = \Gamma_j^* g_j \quad \forall g_j \in W_j, \quad j \in J.$$

Let  $f \in \mathcal{H}$  with  $f = \sum_{j \in J} \Xi_j^* g_j$ , then we have

$$\frac{1}{M^2} \|f\|^2 = \frac{1}{M^2} \sum_{j \in J} \|g_j\|^2 \leq \|T(f)\|^2 \leq \frac{1}{m^2} \sum_{j \in J} \|g_j\|^2 = \frac{1}{m^2} \|f\|^2.$$

It follows that  $T$  is invertible and  $T \Xi_j^* \Xi_j = \Gamma_j^* \Xi_j$ , which from this  $\Xi_j T^* = \Gamma_j$  holds for all  $j \in J$ . Thus  $\{\Gamma_j\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . From this the result follows at once. ■

The next theorem was proved by Sun in [3] we prove this theorem with another way.

**Theorem 3.17** Let  $\{\Lambda_j\}_{j \in J}$  be a sequence of operators for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , then the following conditions are equivalent:

- (i) The sequence  $\{\Lambda_j\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .
- (ii) The family  $\{\Lambda_j\}_{j \in J}$  is a complete sequence for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and there exist positive constants  $A, B$  such that for any finite subset  $F \subset J$  and arbitrary vectors  $g_j \in W_j$ , we have

$$A \sum_{j \in F} \|g_j\|^2 \leq \left\| \sum_{j \in F} \Lambda_j^* g_j \right\|^2 \leq B \sum_{j \in F} \|g_j\|^2.$$

**Proof.** (i)  $\Rightarrow$  (ii) Assume that  $\{\Lambda_j\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$ , and write it in the form  $\{\Xi_j T^*\}_{j \in J}$  as in the definition. Then for any finite subset  $F \subset J$  and arbitrary vectors  $g_j \in W_j$  we have

$$\frac{1}{\|T^{-1}\|^2} \sum_{j \in F} \|g_j\|^2 = \frac{1}{\|T^{-1}\|^2} \left\| \sum_{j \in F} \Xi_j^* g_j \right\|^2 \leq \left\| \sum_{j \in F} \Lambda_j^* g_j \right\|^2 \leq \|T\|^2 \sum_{j \in F} \|g_j\|^2.$$

(ii)  $\Rightarrow$  (i) Let  $\{\Xi_j\}_{j \in J}$  be an arbitrary orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and define the mapping

$$T : \mathcal{H} \rightarrow \mathcal{H}, \quad \text{with} \quad T\Xi_j^*g_j = \Lambda_j^*g_j \quad \forall g_j \in W_j, \quad j \in J.$$

Suppose that  $f \in \mathcal{H}$  with  $f = \sum_{j \in J} \Xi_j^*g_j$ , then we have

$$A\|f\|^2 = A \sum_{j \in J} \|g_j\|^2 \leq \|T(f)\|^2 \leq B \sum_{j \in J} \|g_j\|^2 = B\|f\|^2.$$

From this and completeness of  $\{\Lambda_j\}_{j \in J}$  follows that  $T$  is invertible and  $T\Xi_j^*\Xi_j = \Lambda_j^*\Lambda_j$ , which implies that  $\Xi_j T^* = \Lambda_j$  for all  $j \in J$ . ■

Let  $\Lambda = \{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . If  $f = \sum_{j \in J} \Lambda_j^*g_j$ , then the coordinate representation of  $f \in \mathcal{H}$  relative to the *ov*-basis  $\Lambda$  is  $[f]_\Lambda = \{g_j\}_{j \in J}$ .

Let  $\Xi = \{\Xi_j\}_{j \in J}, \Xi' = \{\Xi'_i\}_{i \in I}$  be orthonormal *ov*-bases for  $\mathcal{H}$  and  $\mathcal{U}$  respectively. Then the matrix representation of the linear map  $T : \mathcal{H} \rightarrow \mathcal{U}$  relative to the orthonormal *ov*-bases  $\Xi, \Xi'$  is the matrix  $[T] = \{T_{ij}\}_{i \in I, j \in J}$  whose  $(i, j)$  entry is  $T_{ij} = \Xi'_i T \Xi_j^*$  for all  $i \in I, j \in J$ . For any  $f \in \mathcal{H}$  we also have

$$[Tf]_{\Xi'} = [T][f]_\Xi.$$

Moreover, if  $S, T$  are linear maps on  $\mathcal{H}$  represented by matrices  $[S], [T]$  respectively, then  $S + T$  and  $ST$  is represented by the matrices  $[S] + [T]$  and  $[S][T]$  respectively. Further  $T$  is an invertible operator if and only if  $[T]$  is invertible.

Let  $\Lambda = \{\Lambda_j\}_{j \in J} = \{\Xi_j T^*\}_{j \in J}$  be a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then the analysis operator  $\Theta_\Lambda$  of  $\Lambda$  is defined by

$$\Theta_\Lambda : \mathcal{H} \rightarrow \left(\sum_{j \in J} \oplus W_j\right)_{\ell^2} \quad \text{with} \quad \Theta_\Lambda f = \{\Lambda_j f\}_{j \in J} \quad \forall f \in \mathcal{H}.$$

It can easily be shown that  $\Theta_\Lambda$  is linear, bounded and  $\|\Theta_\Lambda\| \leq \|T\|$ . The synthesis operator  $\Theta_\Lambda^*$  which is the adjoint operator of  $\Theta_\Lambda$  is given by

$$\Theta_\Lambda^* : \left(\sum_{j \in J} \oplus W_j\right)_{\ell^2} \rightarrow \mathcal{H} \quad \text{with} \quad \Theta_\Lambda^* g = \sum_{j \in J} \Lambda_j^* g_j \quad \forall g = \{g_j\}_{j \in J} \in \left(\sum_{j \in J} \oplus W_j\right)_{\ell^2}.$$

**Example 3.18** For every sequence of closed subspaces  $\{W_j\}_{j \in J}$  of  $\mathcal{K}$  the sequence  $\{\Xi_j\}_{j \in J}$  defined by

$$\Xi_j g = g_j \quad \forall j \in J, \quad g = \{g_j\}_{j \in J} \in \left(\sum_{j \in J} \oplus W_j\right)_{\ell^2}$$

is an orthonormal *ov*-basis for  $\left(\sum_{j \in J} \oplus W_j\right)_{\ell^2}$  with respect to  $\{W_j\}_{j \in J}$  which is called the standard orthonormal *ov*-basis of it.

Let  $\Lambda = \{\Lambda_j\}_{j \in J}$  be a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . Then the matrix representing of the linear operator  $\Theta_\Lambda \Theta_\Lambda^*$  relative to the standard orthonormal *ov*-basis of  $\left(\sum_{j \in J} \oplus W_j\right)_{\ell^2}$  is the matrix  $[\Theta_\Lambda \Theta_\Lambda^*] = \{\Lambda_i \Lambda_j^*\}_{i \in I, j \in J}$  which is called the Gram matrix associated with  $\Lambda$ .



**Theorem 3.19** Let  $\{\Lambda_j\}_{j \in J}$  be a sequence of operators for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , then the following conditions are equivalent:

- (i) The sequence  $\{\Lambda_j\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .
- (ii) The family  $\{\Lambda_j\}_{j \in J}$  is complete sequence for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and its Gram matrix  $\{\Lambda_i \Lambda_j^*\}_{i, j \in J}$  defines a bounded, invertible operator on  $(\sum_{j \in J} \oplus W_j)_{\ell^2}$ .

**Proof.** (i)  $\Rightarrow$  (ii) Assume that  $\{\Lambda_j\}_{j \in J} = \{\Xi_j T^*\}_{j \in J}$  is a Riesz *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . If  $G = \{G_{ij}\}_{i, j \in J}$  denotes the matrix of the invertible operator  $T^*T$  relative to  $\{\Xi_j\}_{j \in J}$ , then

$$G_{ij} = \Xi_i T^* T \Xi_j^* = \Lambda_i \Lambda_j^*.$$

Therefore the Gram matrix of  $\{\Lambda_j\}_{j \in J}$  is  $G$ .

(ii)  $\Rightarrow$  (i) Suppose that Gram matrix of  $\{\Lambda_j\}_{j \in J}$  defines a bounded, invertible operator on  $(\sum_{j \in J} \oplus W_j)_{\ell^2}$ . Let  $\{\Xi_j\}_{j \in J}$  be an arbitrary orthonormal *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and define the mapping

$$T : \mathcal{H} \rightarrow \mathcal{H}, \quad \text{with} \quad T \Xi_j^* g_j = \sum_{i \in J} \Xi_i^* \Lambda_i \Lambda_j^* g_j \quad \forall g_j \in W_j, \quad j \in J.$$

It is straightforward that  $T$  is linear, bounded and invertible. Suppose that  $f \in \mathcal{H}$  with  $f = \sum_{j \in J} \Xi_j^* g_j$ , then we have

$$\begin{aligned} \langle Tf, f \rangle &= \sum_{j \in J} \sum_{i \in J} \langle T \Xi_j^* g_j, \Xi_i^* g_i \rangle = \sum_{j \in J} \sum_{i \in J} \sum_{k \in J} \langle \Xi_i \Xi_k^* \Lambda_k \Lambda_j^* g_j, g_i \rangle \\ &= \sum_{j \in J} \sum_{i \in J} \langle \Lambda_i \Lambda_j^* g_j, g_i \rangle = \left\| \sum_{j \in J} \Lambda_j^* g_j \right\|^2. \end{aligned}$$

Thus  $T$  is positive and self-adjoint. Since  $T$  is positive, it has a unique positive square-root. Let  $P$  denote the square-root of  $T$ , then the above calculation follows that

$$\frac{1}{\|T^{-1}\|} \sum_{j \in J} \|g_j\|^2 \leq \left\| \sum_{j \in J} \Lambda_j^* g_j \right\|^2 = \left\| P \left( \sum_{j \in J} \Xi_j^* g_j \right) \right\|^2 \leq \|T\|^2 \sum_{j \in J} \|g_j\|^2.$$

Now the result follows from Theorem 3.17. ■

#### 4. Stability of *ov*-bases under perturbations

Stability of bases is important in practice and is therefore studied widely by many authors, e.g., see [4]. In this section we study the stability of *ov*-bases for a Hilbert space  $\mathcal{H}$ . First we generalized a result of Paley-Wiener [4] to the situation of *ov*-basis.

**Theorem 4.1** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and let  $\{\Gamma_j\}_{j \in J}$  be a sequence of operators for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  such that

$$\left\| \sum_{j \in F} (\Lambda_j^* g_j - \Gamma_j^* g_j) \right\| \leq \lambda \left\| \sum_{j \in F} \Lambda_j^* g_j \right\|$$

for some constant  $0 \leq \lambda < 1$  and each finite subset  $F \subset J$  and arbitrary vectors  $g_j \in W_j$ . Then  $\{\Gamma_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .

**Proof.** By assumption the series  $\sum_{j \in J} (\Lambda_j^* g_j - \Gamma_j^* g_j)$  is convergent whenever the series  $\sum_{j \in J} \Lambda_j^* g_j$  is convergent for all arbitrary vectors  $g_j \in W_j$ . If we define the mapping

$$T : \mathcal{H} \rightarrow \mathcal{H}, \quad \text{with} \quad T\Lambda_j^* g_j = \Lambda_j^* g_j - \Gamma_j^* g_j \quad \forall g_j \in W_j, \quad j \in J.$$

Then  $T$  is a bounded operator and  $\|T\| \leq \lambda < 1$ . Thus the operator  $Id_{\mathcal{H}} - T$  is invertible and we have  $(Id_{\mathcal{H}} - T)\Lambda_j^* \Lambda_j = \Gamma_j^* \Lambda_j$ , consequently  $\Lambda_j^* \Lambda_j (Id_{\mathcal{H}} - T^*) = \Lambda_j^* \Gamma_j$ . Since  $\Lambda_j^*$  is one-to-one on  $W_j$ , thus  $\Lambda_j (Id_{\mathcal{H}} - T^*) = \Gamma_j$ . Now the conclusion follows from Theorem 2.8. ■

**Corollary 4.2** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ , with dual *ov*-basis  $\{\Psi_j\}_{j \in J}$  and let  $\{\Gamma_j\}_{j \in J}$  be a sequence of operators for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  such that

$$\sum_{j \in J} \|\Lambda_j - \Gamma_j\| \|\Psi_j\| < 1.$$

Then  $\{\Gamma_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .

**Proof.** If  $\lambda = \sum_{j \in J} \|\Lambda_j - \Gamma_j\| \|\Psi_j\|$ , then  $0 \leq \lambda < 1$ . Fix  $F \subset J$  with  $|F| < \infty$  and let  $f = \sum_{j \in F} \Lambda_j^* g_j$  for arbitrary vectors  $g_j \in W_j$ . Then we compute

$$\begin{aligned} \left\| \sum_{j \in F} (\Lambda_j^* g_j - \Gamma_j^* g_j) \right\| &= \left\| \sum_{j \in F} (\Lambda_j^* - \Gamma_j^*) \Psi_j f \right\| \\ &\leq \sum_{j \in F} \|(\Lambda_j^* - \Gamma_j^*) \Psi_j f\| \\ &\leq \sum_{j \in J} \|\Lambda_j - \Gamma_j\| \|\Psi_j\| \|f\| = \lambda \left\| \sum_{j \in F} \Lambda_j^* g_j \right\|. \end{aligned}$$

From this the result follows by Theorem 4.1. ■

In the following we generalized a result of Krein-Milman-Rutman [4] to the situation of *ov*-basis.

**Theorem 4.3** Let  $\{\Lambda_j\}_{j \in J}$  be a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$  and let  $\{\Gamma_j\}_{j \in J}$  be a sequence of operators for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ . If there exists a sequence  $\{\varepsilon_j\}_{j \in J}$  of positive numbers, such that  $\|\Lambda_j - \Gamma_j\| < \varepsilon_j$  for all  $j \in J$ . Then  $\{\Gamma_j\}_{j \in J}$  is a *ov*-basis for  $\mathcal{H}$  with respect to  $\{W_j\}_{j \in J}$ .

**Proof.** If  $\{\Psi_j\}_{j \in J}$  is the dual *ov*-basis of  $\{\Lambda_j\}_{j \in J}$ . Then the result follows from Corollary 4.2, to choose  $\varepsilon_j$  small enough such that  $\sum_{j \in J} \varepsilon_j \|\Psi_j\| < 1$ . ■

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