

# **Investigation of Failure Mechanism in Earth Dam upon Triggered Liquefaction**

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### **Abstract**

The behavior of the earth-dam body as a soil structure made of cohesive soil in the core and non-cohesive soil as the core supports, including water interaction upstream through earthquake upon multi-line constitutive equations, is the aim of this paper. A multi-plane mechanism-based approach is successfully employed for assigning post-liquefaction displacement of earth-dam structures. This approach is derived from total stress procedures with two major advantages:1) the triggering and post-liquefaction responses have been multi-lined into one analysis.2) the modeling of post-liquefaction element behavior is greatly improved.To sum up, a multiplane-based framework is employed. The strength effects on integrated sampling planes and the resultant of this simulated multi-lined behavior are implemented for each finite element gauss point. This multi-plane-based model can also predict the effects of induced and inherent anisotropy plus the rotation of principal stress/strain axes through the plastic behavior of both cohesive and non-cohesive soils.The approach is presented through the simulation of the case history as the response of the lower San Fernando dam to the 1971 San Fernando earthquake. The magnitude and pattern of the predicted displacements are shown to be in good agreement with the measured values.

*Keywords***:** Liquefaction; Multi-plane; Elastic-plastic; Earth-Dam

## **1. Introduction**

During the last decade, constitutive modeling of porous media such as soils has been the subject of numerous investigations, primarily because of the increasing awareness of the complexity of the loading conditions to which soil structures are subjected and the corresponding need for more accurate analysis for prediction of the safety of such structures. The parallel development of more powerful and efficient numerical analysis methods has motivated and allowed the use of sophisticated constitutive models beyond the linear or simple non-linear elastic-plastic constitutive laws utilized in the early stages [1-24].

Most of the models proposed are based on the theory of elastic-plasticity, incorporating different yield criteria and flow and hardening rules. Strain hardening models have been proposed according to various isotropic, kinematic, or mixed hardening rules. These models usually deal with a single or direction of principal axes of either stress or strain, or both have been observed in many tests. A model based on the invariant of stress/strain tensors, therefore, can not cope with the real behavior of soil under a complex loading program.

The task of representing the overall stress tensor in terms of micro-level stresses and the condition, number, and magnitude of contact forces has long been the aim of numerous researchers [25-28]. A multilaminate model for granular material was developed by Sadrnejad (1989) [29-30].

For a granular material such as soil that supports the overall applied loads through contact friction and cohesion, the overall mechanical response ideally may be described based on the micro-mechanical behavior of grains interconnections. Naturally, this requires the description of the overall stress, characterization of cohesivity and fabric, representation of kinematics, development of local rate constitutive relations, and evaluation of the overall differential constitutive relations in the local quantities.

Predicting soil behavior under cyclic loading is a major problem in geomechanics. The stress-strain relation of soil under cyclic loading depends on many objects; therefore, this task without mathematical models is impossible. An integrated planes-based model capable of predicting the behavior of both cohesive and non-cohesive soils based on

sliding mechanisms and the elastic behavior of particles has been presented. The capability of the model to predict the behavior of soil under arbitrary stress paths has been examined [29-31]. The rotation of principal stress axes and induced anisotropy are included rationally without any additional hypotheses. The volumetric strain in drained tests, as a state quantity in strains that should possess an essence of stress path independence by definition, is a unique quantity determined when the origin and the destination of stress paths are given.

## **2. Basic assumptions and discussions**

Multilaminate framework, by defining the small continuum structural units as an assemblage of particles and voids that fill infinite spaces between the sampling planes, has appropriately justified the contribution of interconnection forces to overall macro-mechanics. Plastic deformations are assumed to occur due to sliding and separation/closing of the boundaries, and elastic deformations are the overall responses of structural unit bodies. Therefore, the overall deformation of any small part of the medium is composed of total elastic response and an appropriate summation of sliding, separation/closing phenomenon under the current effective normal and shear stresses on sampling planes.

#### **3.The constitutive equations**

The classical decomposition of strain increments under the concept of elastoplastic in elastic and plastic parts are schematically written as follows:

$$
d\varepsilon = d\varepsilon^e + d\varepsilon^p \tag{1}
$$

The increment of elastic strain  $(d\varepsilon^{\ell})$  is related to the increments of effective stress  $(d\sigma)$  by:

$$
d\varepsilon^e = [D^e]^{-1} \cdot d\sigma \tag{2}
$$

Where  $[D<sup>e</sup>J<sup>-1</sup>$  is the elastic compliance matrix, usually assumed as linear, and is obtained as follows:

$$
D_{ijkl}^e = (K - \frac{2}{3}G) \cdot \delta_{ij} \cdot \delta_{kl} + G \cdot (\delta_{ik} \cdot \delta_{jl} + \delta_{il} \cdot \delta_{jk})
$$
\n(3)

Where *K* and *G* are bulk and the shear modulus, respectively.

For the soil mass, the overall stress-strain increments relation, to obtain plastic strain increments  $(d\mathcal{E}^p)$ , is expressed as:

$$
d\varepsilon^p = C^p \cdot d\sigma \tag{4}
$$

Where  $C^p$  is the plastic compliance matrix, all effects of plastic behavior are expected to be included in  $C^p$ . To find out  $C^p$ , the constitutive equations for a typical slip plane must be considered in calculations. Consequently, the appropriate summation of all provided compliance matrices corresponding to considered slip planes yields overall  $C^p$ ; therefore, strain increment at each stress increment is calculated as follows:

$$
d\varepsilon^p = \frac{1}{n} \sum_{i=1}^n w_i \cdot [L_{\varepsilon}]^T \cdot C_i^p \cdot [L_{\sigma}] \cdot d\sigma'
$$
 (5)

 $L_{\varepsilon}$  and  $L_{\sigma}$  are transformation matrices for strain and stresses, respectively, and *n* is the number of planes.

## **4. Constitutive equations for a sampling plane**

A *sampling plane* is defined as a boundary surface which is a contacting surface between two structural units of polyhedral blocks. These structural units are parts of a heterogeneous continuum, and for simplicity, they are defined as a completely homogeneous and isotropic material. Therefore, all heterogeneity's behavior is supposed to appear in the inelastic behavior of corresponding slip planes. Figure 1 shows these defined planes (say 13). This number may be chosen as any number; however, based on some numerical experiences, 13 is found to fit a rationally justified and possess enough power to show any distribution through the material. The direction cosines and weighted coefficients carried out and employed using the numerical integration of the rule for calculation of stress/strain/compliance tensor of each plane are presented in Table 1.



Fig. 1. Definition of 13 planes

Plane	Normal Axis			
$\rm No$	$l_i$	$m_i$	$n_i$	$W_i$
$\,1$	$\frac{1}{\sqrt{3}}$	$\frac{1}{\sqrt{3}}$	$\frac{1}{\sqrt{3}}$	$27\,$ 840
$\overline{c}$	$\frac{1}{\sqrt{3}}$	$-\frac{1}{\sqrt{3}}$	$\frac{1}{\sqrt{3}}$	27 $\overline{840}$
3	$-\frac{1}{\sqrt{3}}$	$\frac{1}{\sqrt{3}}$	$\frac{1}{\sqrt{3}}$	$27\,$ 840
$\overline{\mathbf{4}}$	$-\frac{1}{\sqrt{3}}$	$-\frac{1}{\sqrt{3}}$	$\frac{1}{\sqrt{3}}$	$2\sqrt{7}$ $\overline{840}$
5	$\frac{1}{\sqrt{2}}$	$\frac{1}{\sqrt{2}}$	$\boldsymbol{0}$	32 $\overline{840}$
$\sqrt{6}$	$-\frac{1}{\sqrt{2}}$	$\frac{1}{\sqrt{2}}$	$\boldsymbol{0}$	32 $\overline{840}$
$\boldsymbol{7}$	$\frac{1}{\sqrt{2}}$	$\boldsymbol{0}$	$\frac{1}{\sqrt{2}}$	32 $\overline{840}$
$\bf 8$	$-\frac{1}{\sqrt{2}}$	$\boldsymbol{0}$	$\frac{1}{\sqrt{2}}$	32 $\overline{840}$
9	$\boldsymbol{0}$	$-\frac{1}{\sqrt{2}}$	$\frac{1}{\sqrt{2}}$	32 840
10	$\boldsymbol{0}$	$\frac{1}{\sqrt{2}}$	$\frac{1}{\sqrt{2}}$	32 $\overline{840}$
$11\,$	$\mathbf 1$	$\boldsymbol{0}$	$\boldsymbol{0}$	$40\,$ 840
12	$\boldsymbol{0}$	$\,1$	$\boldsymbol{0}$	$40\,$ $\overline{840}$
13	$\boldsymbol{0}$	$\boldsymbol{0}$	$\,1$	$40\,$ $\overline{840}$

Table 1. Cosines of the normal axis and the weight coefficients for numerical integration

## **4.1. Yield criterion**

In this constitutive formulation, the yield criterion is defined by the absolute ratio of shear stress  $(\tau_i)$  to the normal effective stress ( $\sigma'_{ni}$ ) on *i*<sup>th</sup> sampling plane. The simplest form of yield function, i.e., a straight line on *t* versus  $\sigma_n$  space, is adopted. As the ratio  $\tau/\sigma_n$  increases, the yield surface, represented by the straight line, rotates anti-clock-wise due to hardening and approaches the Mohr-Columbus failure line. Finally, failure on the corresponding plane takes place. The equation of yield function is formulated as follows:

$$
F_i(\tau_i, \sigma_{ni}, \eta_i) = \tau_i - C_i - \eta_i \cdot \sigma_{ni}
$$
\n<sup>(6)</sup>

Where  $\eta_i$ =tan( $\alpha_i$ ) is a hardening parameter and is assumed as a hyperbolic function of plastic shear strain on the  $i^{th}$  plane,  $\alpha_i$  is the slope of the yield line, and  $C_i$  is the cohesivity of soil.

An elastic domain is considered to provide elastic behavior of cohesionless material whenever the stress path direction changes. This domain, as shown in Figure 2, is small and negligible.



Fig. 2. Functions in  $\tau-\sigma_n$ 

Therefore, the value of  $\phi_e$  for all soil grains is assumed to be the same. However, to consider the cohesivity of soil at the start of the stress increment, another elastic domain between the lines corresponding to  $\tau = \pm C$  has been defined. This domain also is shown in Figure 2. Consequently, the behavior of soil for  $\tau < C_i$  is supposed to be elastic.

#### **4.2. Plastic potential function**

The potential plastic function is stated in terms of  $\tau_i$  and  $\sigma_i$  for the *t* versus  $\sigma_n$  space as follows:

$$
F_i(\tau_i, \sigma_{ni}, \eta_i) = \tau_i - C'_i - \eta_i \cdot \sigma_{ni}
$$
\n<sup>(7)</sup>

Where  $\eta_c$  is the slope of the critical state line and  $\sigma_{\etaic}$  is the value of effective normal stress in the *i*<sup>th</sup> plane when  $\tau_i = C'_i$ . Typical presentations of this function are shown in Figure 2. The gradient of this function represents contraction and dilatant behavior in the ranges:

$$
0.0 \leq \tau_i \leq \sigma_{ni} \eta_c \qquad \text{(contractant behavior)} \tag{8}
$$

$$
\tau_i \geq \sigma_{ni} \eta_c \tag{9}
$$

Derivative of this function is found as:

$$
\partial \psi_i / \partial \sigma_i = \{ 1.0, \eta_c - \eta_i \}^T
$$
\n<sup>(10)</sup>

Where  $\eta_i$  is the hardening parameter or the slope of the yield line in  $i^{th}$  plane.

Dilatancy is positive if  $\eta_i > \eta_c$  and negative if  $\eta_i > \eta_c$ . On the critical state line  $\eta_i = \eta_c$ , and there is no volumetric plastic strain for the soils predominantly composed of clay, a yield function the same as potential can be employed with the associated flow rule. In this case, a volumetric hardening rule besides shear hardening can present volumetric change during plasticity.

In the theory of plastic flow, consistency condition is necessary for a yield criterion to be satisfied as long as the material is in a plastic state. Mathematically, this condition is stated as follows:

$$
\{\partial F_i / \partial \sigma_i\}^T \cdot d\sigma_i + \{\partial F_i / \partial K_i\}^T \cdot dKi = 0.0
$$
\n(11)

Where in the first loading process  $K_i = \varepsilon^p$  and  $\varepsilon_i$  is plastic strain on the *i*<sup>th</sup> plane. This relation can also be expressed in another form as:

In the first loading process,  $K_i = \varepsilon^p$  and  $\varepsilon_i$  are the plastic strain on the *i*<sup>th</sup> plane. This relation can also be expressed in another form:

$$
\partial \varepsilon_i^p = \{ 1/H_{pi} \} \cdot \{ \partial F_i / \partial \sigma_i \}^T \cdot \{ \partial \psi_i / \partial \sigma_i \} \cdot d\sigma_i \tag{12}
$$

Where  $H_{pi}$  is defined as the hardening modulus of  $i$  plane and is obtained as follows:

$$
H_{pi} = -\{\partial F_i / \partial K_i\}^T \cdot \{\partial \psi_i / \partial K_i\}
$$
\n(13)

therefore,

$$
d\varepsilon_i^p = C_i^p \cdot d\sigma_i \tag{14}
$$

where:

$$
C_i^p = \{1/H_{pi}\} \cdot \{\partial F_i / \partial \sigma_i\}^T \cdot \{\partial \psi_i / \partial \sigma_i\}
$$
\n(15)

 $C^p$  is a 2×2 matrix and, as a whole, represents the plastic resistance corresponding to the *i*<sup>th</sup> active plane in plasticity and must be summed up as the contribution of this plane with the others after transforming into a  $6\times6$  size in global coordinate. Accordingly, the conceptual numerical integration of a multi-laminate framework presents the following summation for computing  $C^p$ .

$$
C^p = 4\pi \cdot \sum_{i=1}^n w_i \cdot L^T \cdot C_i^p \cdot L \tag{16}
$$

Where  $w_i$  is the weight coefficient,  $C^p$  is the global plastic compliance matrix corresponding to a single point in the medium, and *L* is the transformation matrix for the corresponding plane. *n* is the employed number of planes.

#### **4.3. Hardening rule**

This model employed an isotropic plastic shear hardening rule for each plane. A simple function simulates the best variation of this property during the plastic flow, which has been represented as a hyperbolic function as follows:

$$
|\eta_i| = \frac{K_i \cdot \tan(\phi_f)}{A_i + K_i} \tag{17}
$$

Where,  $Ki = (e^{pt} - e^{pt} - e^{pt})$ ,  $\phi_f$  is the peak internal frictional angle, and  $A_i$  is a soil parameter.  $e^{pt}$  and  $e^{pt}$  are current and initial values of plastic shear strain on the  $i^{\text{th}}$  plane. At first, loading  $\varepsilon^{pt}{}_{oi}$  is equal to zero, and its value is updated at each change of load increment sign.  $\eta_i$  starts from  $\phi_e$ , grows with the plastic shear strain, and slowly approaches the failure line. However, as stated, dense soils it has to rotate back towards the critical state line slowly.

#### **4.4. First loading**

The behavior of non-cohesive soil is assumed to be elastic in a small zone, as shown in Figure 2. This conforms with a small  $\eta_i$  value equal to tan( $\phi_e$ ).

A simple form of variation of  $\eta_i$  is a hyperbolic function that has been considered. However, the range of variation of  $\eta_i$  is between -tan( $\phi_i$ ) and +tan( $\phi_i$ ). The following relation is an equation used for the first loading.

$$
|\eta_i| = \frac{(\varepsilon_i^{pt} - \varepsilon_{oi}^{pt}) \cdot (m \cdot \tan(\phi_f) - \eta_{oi})}{A_i + (\varepsilon_i^{pt} - \varepsilon_{oi}^{pt})} + \eta_{oi}
$$
(18)

 $A_i$  is a material constant, and for the first loading, it is equal to  $A_o$ , where  $A_o$  is the initial value of this parameter.  $\eta_{oi}$  and  $\varepsilon^{pt}_{oi}$  are the values of  $\eta_i$  and  $\varepsilon^{pt}_i$  when the last change in the stress path direction has occurred. For the first loading  $e^{pt}$ <sub>oi</sub> and  $\eta$ <sub>oi</sub> are equal to zero. *m* for first loading is equal to +1. The value of  $A$ <sub>o</sub> is found by trial and error by comparing computer results with experimental results of triaxial compression tests.

For cohesive soil, the same hardening functions are employed except within the domain  $\tau = \pm C_i$ , where the soil behavior is assumed to be elastic. Therefore, as the shear stress on any plane exceeds this value, the value of  $\eta_i$  can be obtained by the same equations presented.

## **4.5. Un-loading**

The value of *A* must be changed to obtain comparable theoretical and experimental results. Another reason for this is the different shape of the hysteresis loop when cyclic loading takes place at different positions. Value *A* of obtained from numerical experiments is given by:

Equations (19) and (20) are valid for unloading, and *m* must be equal to -1 for the unloading process. The value of  $\eta_i$  approaches -tan( $\phi_j$ ) while  $e^{pt}$  is large enough compared to Ai.

$$
A_i = A_o + 0.075 \cdot \varepsilon_{oi}^{pt} \tag{19}
$$

#### **4.6. Re-loading**

The variation of  $\eta_i$  for the reloading process is similar to unloading, although the limit value of  $\eta_i$  is  $+\tan(\phi_i)$ .*m* is equal to  $+1$ , and the value of *A* must change. The new value of  $A_i$  is shown as follows :

Where  $\varepsilon_{oi}^{pt}$  and  $\eta_{oi}$  are the values of  $\varepsilon_{i}^{pt}$  and  $\eta_{i}$  at the start of the unloading process.  $\varepsilon_{oi}^{pt}$  and  $\eta_{oi}$  are similar values at the start of the reloading process.

$$
A_i = (\bar{\varepsilon}_{oi}^{pt} - \varepsilon_{oi}^{pt}) \cdot \{ (\tan(\phi_f) - \eta_{oi}) / (\bar{\eta}_{oi} - \eta_{oi}) - 1.0 \}
$$
 (20)

Finally, this form of variation for  $\eta_i$  as a unique equation at each process can produce hysteresis energy loops with different widths. The higher the absolute values of  $e^{pt}$  and  $\eta_{oi}$  the higher the width of hysteresis energy loops produced. Finally, this form of variation for  $\eta_i$  as a unique equation at each process can produce hysteresis energy loops with different widths. The higher the absolute values of  $e^{pt}$ <sub>oi</sub> and  $\eta_{oi}$ , the higher the width of hysteresis energy loops produced.

#### **5. The model response under undrained conditions**

The principle of effective stress for a saturated soil element is stated in macro scale and incremental form as follows:

$$
d\sigma = d\sigma' + m \cdot dU \tag{21}
$$

Where  $\sigma$  and  $\sigma'$  are representatives of total and effective stresses vectors, respectively, *m* is the constant operator vector equal to *{1,1,1,0,0,0}*, *U* is the excess pore water pressure, and *d* is used for representing small increments.

It can also be assumed that in a fully undrained case, the skeleton volume change is precisely equal to the change in pore water volume. Equation (22) can be rewritten as:

$$
dU = K_f \{ m \}^T \cdot d\varepsilon \tag{22}
$$

Where  $K$  is obtained as follows [32]:

$$
\frac{1}{K_f} = \frac{1}{K_w} + \frac{1-\theta}{K_s} \tag{23}
$$

 $K_w$  is the bulk modulus of water, and  $\theta$  is the initial porosity. Retaining the elastoplastic constitutive law, it is presented as follows:

$$
d\sigma = D^{ep} \cdot d\varepsilon \tag{24}
$$

$$
d\sigma = D^{ept} \cdot d\varepsilon \tag{25}
$$

Where  $D^{ep}$  and  $D^{ept}$  are effective and total stress-strain matrices. Therefore,

$$
D^{ept} = D^{ep} + K_f \{ m \}^T \cdot \{ m \}
$$
 (26)

where,

$$
D^{ep} = [C^{ep}]^{-1} \tag{27}
$$

$$
C^{ep} = C^e + C^p \tag{28}
$$

 $C^e$ ,  $C^p$ , and  $C^{ep}$  are compliance elasticity, plasticity, and elastoplastic matrices.

According to the incremental algorithm,  $C^{ep}$  computed in the previous step can be used for the current step; therefore, the solution will not remain indeterminate. Consequently,  $D^{ept}$  can be employed to calculate strain increments upon total stress increment tensor.

The general dynamic force equilibrium equation is written as follows:

$$
\sigma_{ij} + f_i = M\delta_i + C\delta_i \tag{29}
$$

Where  $\sigma_{ij}$  is the stress tensor,  $f_i$  is external force, M and C are mass and damping, *i* and *j* stand for coordinate axes, and  $\delta$  is the displacement. Upon use of the integration rule and Green's theory, this equation can be presented as follows:

$$
-\int B^T \sigma \cdot dV + M\delta + C\delta + f = 0 \tag{30}
$$

where,

$$
-\int N^T f_s \cdot dS - \int N^T f_b \cdot dV = f \tag{31}
$$

$$
\rho \int N^T N \cdot dV = M \tag{32}
$$

$$
c \int N^T N \cdot dV = C \tag{33}
$$

Where  $\rho$  and  $c$  are density and damping, respectively. Equation (30) yields:

$$
M\delta + C\delta + K\delta \cdot QU + f = 0 \tag{34}
$$

where,

$$
K = \int B^T D^{ep} B \cdot dV \tag{35}
$$

$$
Q = \int B^T m N_U \cdot dV \tag{36}
$$

Conceptually, *Q* is a matrix presenting equilibrium and continuity interaction.

To employ a simple numerical solution of equation (34), it can be written in a general form as follows:

$$
K\delta + f = 0 \tag{37}
$$

where,

$$
K = \int B^T D^{op} B \cdot dV + 3K_f \int B^T B \cdot dV \tag{38}
$$

## **6. Accumulation of pore pressure**

Usually, there are some hydrostatic pore water pressures in saturated soil. However, pore water pressure can be divided into static and excessive, and pore spaces are assumed to be saturated with water. The static water pressure is accounted for by subtracting the buoyancy force from the weight of particles.

The excessive pore water pressure is obtained by assuming water as an elastic medium without shear resistance. Under cyclic loading, a hysteresis loop of dissipated energy takes place. Consequently, while plastic strain takes place, some volumetric strain shall be obtained in the soil and water. The difference between these two strain values, multiplied by the bulk modulus of the soil and water mixture, can present a pore water pressure increment.

## **7. San Fernando dam**

This dam, located near Los Angeles, failed by a 6.6 Richter earthquake on 9th-Feb.-1971 [33-35] the complete failure shape of this dam is shown in Figure 3-a, and the base acceleration time history of this earthquake is shown in Figure 3 b. The following parameters are obtained according to the calibration of model results with two test results on noncohesive and cohesive samples, as shown in Figures 4-a and 4-b. For cohesive soil used in the core:

 $E = \frac{1}{2} \cdots KPa$ ,  $v=0.3$ ,  $\phi=2$ ,  $\circ$ ,  $\eta_c=0.98 \tan \phi$ ,  $C'=\frac{1}{2} \pi R a$ ,  $A=0.001$ ,  $\gamma=15$   $K/N/m^3$ .

For non-cohesive soil used in the dam body except the core:

*E*= *KIVKPa, ν*=0.377, φ=32.62°, η<sub>c</sub>=0.75tanφ, C'=0, A=0.001 ,γ=16.69 *KN/m<sup>3</sup>*.

The dam's height is 50m, the length is 275m, and the water height at the time of the earthquake was 36m. The aim of this computation is elastoplastic behavior during 12 seconds of earthquake base acceleration time history.



Fig. 3-a. San Fernando Dam before and after liquefaction







Fig. 4-a. Calibration of the test results of dam shell materials and numerical model in two spaces:

 $\varepsilon_v$ *:*  $\varepsilon_l$  and  $q$ *:*  $\varepsilon_l$ 



Fig. 4-b. Calibration of the test results of dam core materials and numerical model in two spaces:  $\varepsilon_v$ *:*  $\varepsilon_l$  and  $q$ *:*  $\varepsilon_l$ 

#### **8. Numerical model**

A three-dimensional mesh is developed in three vertical 5m thick layers, as shown in Figure 5-a. Figure 5-b shows the mid-layer mesh, including the core. The employed time increment is 0.02 seconds, much shorter than a quarter of the minor earthquake period. The deformed shape of the mid-layer at starting time of failure is shown in Figure 6-a. Pore water pressure and effective mean stress contours at the starting time of instability are shown in Figures 6-b and 6-c, respectively. The zone, including the highest pore water pressure and negligible effective mean stress, is the center where liquefaction was taken place [36]. Comparing these Figures with Figure 3 leads to imagine what happens at the start of instability. At this stage, the liquefied zone started to move out of the dam body, and consequently, the upper part slid down. The post-seismic motion may have sometimes forced the slid particles to settle down and deform more. This judgment agrees with the final dam failure shape shown in Figure 3. The multilaminate model and finite element developed program can identify two forms of failure based on the shear and tension of defined sampling planes. The

identified plastic shear strain value for a failed plane is %15, and for tension is %5. Figure 6-d shows the failed gauss points to introduce the global form of collapsed band.



Fig. 5-a. 3D modeling of San Fernando Dam and numbering of eight-node elements



Fig. 5-b. The number of elements and characteristics of the middle layer in the modeling of the San Fernando dam



Fig. 6-a. Deformation of dam elements after an earthquake



Fig. 6-b. Pore water pressure contours



Fig. 6-c. Effective stress contours



Fig. 6-d. Shear failure paths in the dam

To clarify details of the first sheared plane (plane 6, gauss point 4, element 75), the stress path, strain stress, shear stress history, and normal stress history are shown in Figures 7-a to 7-d, respectively. Through the elements represented cohesive soil in the core, plane number 2 of gauss point 8 of element number 87 tended to fail upon hydraulic fracture. The stress path, strain-stress, shear stress history, and the normal stress history of this plane are shown in Figures 8-a to 8-d, respectively. Figures 8-e to 8-g are the time histories for this plane's shear stress, normal stress, and pore water pressure. Also, to show the model's ability, Figures 9-a to 9-c show the plastic elements in the initial stages of loading, the liquefaction areas during the earthquake, and the tensile failure area in the dam core, respectively.



Fig. 7-a. Shear stress-Normal stress diagram in element number 75, Gauss point 4, page number 6



Fig. 7-b. Shear stress-Shear strain diagram in element number 75, Gauss point 4, page number 6



Fig. 7-c. Time history of normal stress in element number 75, Gauss point 4, page number 6







Fig. 8-a. Shear stress-Normal stress diagram in element number 87, Gauss point 8, page number 2



Fig. 8-b. Shear stress-Shear strain diagram in element number 87, Gauss point 8, page number 2



Fig. 8-c. Time history of shear stress in element number 87, Gauss point 8, page number 2



Fig. 8-d. Time history of normal stress in element number 87, Gauss point 8, page number 2



Fig. 8-e. Time history of shear strain in element number 87, Gauss point 8, page number 2



Fig. 8-f. Time history of normal strain in element number 87, Gauss point 8, page number 2



Fig. 8-g. Time history of pore water pressure in element number 87, Gauss point 8, page number 2



Fig. 9-c. Tensile failure zone in the core of the dam

## **9. Conclusions**

A unique model capable of predicting the behavior of both non-cohesive and cohesive, either in saturated or dry conditions, based on sliding mechanisms, elastic behavior of particles, and a higher effective hydrostatic pressure due to liquefaction in a non-cohesive and hydraulic fracture in cohesive soil has been presented. The concept of a multilaminate framework was applied successfully in the dynamic analysis of three-dimensional soil structures. This is achieved using simplified, applicable, effective, and easily understandable relations between micro and macro scales. These relations demonstrate an easy way to handle any heterogeneous material property as well as the mechanical behavior of materials.

This model can solve a three-dimensional plasticity problem with a relatively simple theory based on the phenomenological description of two-dimensional plastic deformation and kinematic hardening of materials. This is achieved in such a way that the application of some difficult tasks, such as induced anisotropy and rotation of principal stress and strain axes where there is no coaxial taking place during plastic flow, are out of constitutive relations. Accordingly, the sampling plane constitutive formulations provide convenient means to classify loading events, generate history rules and formulate independent evolution rules for local variables.

The behavior of soil has also been modeled upon cyclic loading leading to liquefaction, hydraulic fracture, and failure instability based on a semi-microscopic concept very close to the reality of particle movement in soils. Therefore, the solution to any complexities involved in the change of strength due to the build-up of pore water pressure and volume change of the soil skeleton can be obtained and presented.

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