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OD-characterization of $U_3(9)$ and its group of automorphisms

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Abstract. Let $L = U_3(9)$ be the simple projective unitary group in dimension 3 over a field with 9^2 elements. In this article, we classify groups with the same order and degree pattern as an almost simple group related to L. Since $Aut(L) \cong Z_4$ hence almost simple groups related to L are L, L: 2 or L: 4. In fact, we prove that L, L: 2 and L: 4 are OD-characterizable.

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1. Introduction

Let G be a finite group. Denote by $\pi(G)$ the set of all prime divisors of the order of G. The prime graph $\Gamma(G)$ of a finite group G is a simple graph with vertex set $\pi(G)$ in which two distinct vertices p and q are joined by an edge if and only if G has an element of order pq.

Definition 1.1 Let G be a finite group and $|G| = p_1^{\alpha_1} \cdot p_2^{\alpha_2} \cdots p_k^{\alpha_k}$, where $p_1 < p_2 < \ldots < p_k$. For $p \in \pi(G)$, let $deg(p) = |\{q \in \pi(G) | p \sim q\}|$ be the degree of p in the graph $\Gamma(G)$, we define $D(G) = (deg(p_1), deg(p_2), \ldots, deg(p_k))$, which is called the degree pattern of G.

Given a finite group G, denote by $h_{OD}(G)$ the number of isomorphism classes of finite groups S such that |G| = |S| and D(G) = D(S). In terms of the function h_{OD} , groups

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G are classified as follows:

Definition 1.2 A group G is called k-fold OD-characterizable if there exist exactly k non-isomorphic group S such that |G| = |S| and D(G) = D(S). Moreover, a 1-fold OD-characterizable group is simply called an OD-characterizable.

Definition 1.3 A group G is said to be an almost simple group if and only if $S \leq G \leq Aut(S)$ for some non-abelian simple group S.

Definition 1.4 Let p be a prime number. The set of all non-abelian finite simple groups G such that $p \in \Pi(G) \subseteq \{2, 3, 5, \ldots, p\}$ is denoted by \mathfrak{S}_p .

It is clear that the set of all non-abelian finite simple groups is the disjoint union of the finite sets \mathfrak{S}_p for all primes p.

2. Preliminaries

For any group G, let $\omega(G)$ be the set of orders of elements in G, where each possible order element occurs once in $\omega(G)$ regardless of how many elements of that order G has. This set is closed and partially ordered by divisibility, hence it is uniquely determined by its maximal elements. The set of maximal elements of $\omega(G)$ is denoted by $\mu(G)$. The number of connected component of $\Gamma(G)$ is denoted by t(G). Let $\pi_i = \pi_i(G), 1 \leq i \leq t(G)$, be the *i*th connected components of $\Gamma(G)$. For a group of even order we let $2 \in \pi_1(G)$. We denote by $\pi(n)$ the set of all primes divisors of n, where n is a natural number. Then |G|can be expressed as a product of $m_1, m_2, \ldots, m_{t(G)}$, where m_i 's are positive integers with $\pi(m_i) = \pi_i$. The numbers m_i 's, $1 \leq i \leq t(G)$, are called the order components of G. We write $OC(G) = \{m_1, m_2, \ldots, m_{t(G)}\}$ and call it the set of order components of G. The set of prime graph components of G is denoted by $T(G) = \{\pi_i(G) | i = 1, 2, \ldots, t(G)\}$.

Definition 2.1 Let *n* be a natural number. We say that a finite simple group *G* is a K_n -group if $|\pi(G)| = n$.

Definition 2.2 Suppose that $K \leq G$ and $G/K \cong H$. Then we shall call G an extension of K by H.

3. Elementary Results

Definition 3.1 A group G is called a 2-Frobenius group, if there exists a normal series $1 \triangleleft H \triangleleft K \triangleleft G$, such that K and $\frac{G}{H}$ are Frobenius groups with kernels H and $\frac{K}{H}$, respectively.

Lemma 3.2 [2] Let G be a 2-Frobenius group of even order which has a normal series $1 \triangleleft H \triangleleft K \triangleleft G$, such that K and $\frac{G}{H}$ are Frobenius groups with kernels H and $\frac{K}{H}$, respectively. Then

- (a) t(G) = 2 and $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(G/K), \pi_2(G) = \pi(K/H)\}.$
- (b) G/K and K/H are cyclic groups, |G/K| | |Aut(K/H)|, and (|G/K|, |K/H|) = 1.
- (c) H is a nilpotent group and G is a solvable group.

The following lemmas are useful when dealing with a Frobenius group.

Lemma 3.3 [4, 6] Let G be a Frobenius group with complement H and kernel K. Then the following assertions hold:

- (a) K is a nilpotent group;
- (b) $|K| \equiv 1 (mod|H|);$
- (c) Every subgroup of H of order pq, with p, q (not necessarily distinct)primes, is cyclic. In particular, every Sylow Subgroup of H of odd order is cyclic and a 2-Sylow subgroup of H is either cyclic or a generalized quaternion group. If His a non-solvable group, then H has a subgroup of index at most 2 isomorphic to $Z \times SL(2,5)$, where Z has cyclic Sylow p-subgroups and $\pi(Z) \cap \{2,3,5\} = \emptyset$. In particular, 15, 20 $\notin \omega(H)$. If H is solvable and O(H) = 1, then either H is a 2-group or H has a subgroup of index at most 2 isomorphic to SL(2,3).

Lemma 3.4 [2] Let G be a Frobenius group of even order where H and K are Frobenius complement and Frobenius kernel of G, respectively. Then t(G) = 2 and $T(G) = {\pi(H), \pi(K)}.$

Let G be a finite group with disconnected prime graph. The structure of G is given in [7] which is stated as a lemma here.

Lemma 3.5 Let G be a finite group with disconnected prime graph. Then G satisfies one of the following conditions:

- a) s(G) = 2, G = KC is a Frobenius group with kernel K and complement C, and the two connected components of G are $\Gamma(K)$ and $\Gamma(C)$. Moreover K is nilpotent, and here $\Gamma(K)$ is a complete graph.
- b) s(G) = 2 and G is a 2-Frobenius group, i.e., G = ABC where $A, AB \leq G$, $B \leq BC$, and AB, BC are Frobenius groups.
- c) There exists a non-abelian simple group P such that $P \leq \overline{G} = \frac{G}{N} \leq Aut(P)$ for some nilpotent normal $\pi_1(G)$ -subgroup N of G and $\overline{\frac{G}{P}}$ is a $\pi_1(G)$ -group. Moreover, $\Gamma(P)$ is disconnected and $s(P) \geq s(G)$.

If a group G satisfies condition(c) of the above lemma we may write $P = \frac{B}{N}$, $B \leq G$, and $\frac{\overline{G}}{P} = \frac{G}{B} = A$, hence in terms of group extensions $G = N \cdot P \cdot A$, where N is a nilpotent normal $\pi_1(G)$ -subgroup of G and A is a $\pi_1(G)$ -group.

Theorem 3.6 [5] The following assertions are equivalent:

- (a) G is a Frobenius group with kernel K and complement H.
- (b) G = HK such that $K \triangleleft G$ and H < G and H act on K without fixed point.

By [1], the outer automorphism group of $U_3(9)$ is isomorphic to Z_4 , hence we have

Lemma 3.7 If G is an almost simple group related to $L = U_3(9)$, then G is isomorphic to one of the following groups: L, L : 2 or L : 4.

4. Main Results

Theorem 4.1 If G is a finite group such that D(G) = D(M) and |G| = |M|, where M is an almost simple group related to $L = U_3(9)$, then the following assertions hold:

- (a) If M = L, then L is OD-characterizable.
- (b) If M = L : 2, then L : 2 is OD-characterizable.
- (c) If M = L : 4, then L : 4 is OD-characterizable.

Proof. We break the proof into a number of separate cases: Case 1: If M = L, then $G \cong L$, by [3]. Case 2: If M = L : 2, then $G \cong L : 2$.

If M = L : 2, by [1], $\mu(L : 2) = \{12, 30, 73, 80\}$ from which we deduce that D(L:2) = (2, 2, 2, 0). The prime graph of L:2 has the following form:

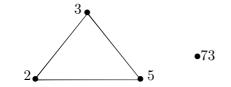


Figure 1: The prime graph of $U_3(9): 2$

As $|G| = |L:2| = 2^6 \cdot 3^6 \cdot 5^2 \cdot 73$ and D(G) = D(L:2) = (2, 2, 2, 0), then, $\Gamma(G) = \Gamma(M) = \{2 \sim 3, 2 \sim 5, 3 \sim 5; 73\}$. Thus G has a disconnected prime graph with s(G) = 2. We show that G is neither a Frobenius group nor 2-Frobenius group. If G is a Frobenius group, then by Lemma 3.5 (a), G = KC, with Frobenius kernel K and Frobenius complement C with connected components $\Gamma(K)$ and $\Gamma(C)$. Note that $\Gamma(K)$ is a graph with vertex $\{73\}$ and $\Gamma(C)$ with vertices $\{2,3,5\}$. By Lemma 3.3 (b), $|K| \mid (|C|-1)$. Since |K| = 73 and $|C| = 2^6 \cdot 3^6 \cdot 5^2$, then, $73 \nmid (2^6 \cdot 3^6 \cdot 5^2 - 1)$, a contradiction. If G is a 2-Frobenius group, then there is a normal series $1 \triangleleft H \triangleleft K \triangleleft G$ such that K and G/H are Frobenius groups with kernels H and K/H. By Lemma 3.2 (a), $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(G/K), \pi_2(G) = \pi(K/H)\}$. Therefore, |K/H| = 73. Also, by Lemma 3.2 (b), $G/K \leq Aut(K/H) \cong Z_{72}$. Hence $|G/K| \mid 2^3 \cdot 3^2$, which implies that $\{5, 73\} \subseteq \pi(K)$, and so $5 \in \pi(H)$. Let $H_5 \in Syl_5(H)$ and $G_{73} \in Syl_{73}(G)$. Then $H_5 charH \trianglelefteq G$. By the nilpotency of H, we have $H_5 \lhd G$ and H_5 acts on G_{73} fixed point freely, since $5 \approx 73$ in $\Gamma(G)$. Therefore, by Theorem 3.6, $H_5 \cdot G_{73}$ is a Frobenius group. So, $|G_{73}| \mid (|H_5| - 1)$, i.e., $73 \mid (5^i - 1)$, i = 1 or 2, a contradiction.

By Lemma 3.5 (c), there exists a non-abelian simple group P such that $P \leq \overline{G} = G/N \leq Aut(P)$, for some nilpotent normal $\{2,3,5\}$ -subgroup N of G and \overline{G}/P is a $\{2,3,5\}$ -group.

 $73 \in \pi(P)$. Since \overline{G}/P is a $\{2,3,5\}$ -group and $73 \mid |G|$, therefore, we have $73 \mid |P|$, i.e., $P \in \mathfrak{S}_{73}$, which implies that $\pi(P) \subseteq \{2,3,5,73\}$. Using [8], we deduce that $P \cong U_3(9)$. We have $U_3(9) \leq G/N \leq Aut(U_3(9))$. It follows that |N| = 2 or |N| = 1.

If |N| = 1, then $G \cong U_3(9) : 2$.

If |N| = 2, then $G/C_G(N) \leq Aut(N) = 1$, so $G = C_G(N)$ and $N \leq Z(G)$. Let $G_{73} \in Syl_{73}(G)$. Then $N.G_{73}$ is a subgroup of G, therefore, $N.G_{73}$ has an element of order 2.73, which implies that $2 \sim 73$ in $\Gamma(G)$, a contradiction.

Case 3: If M = L : 4, then $G \cong L : 4$.

If M = L : 4, by [1], $\mu(L : 4) = \{24, 30, 73, 80\}$ from which we deduce that D(L:2) = (2, 2, 2, 0). The prime graph of L:4 has the following form:

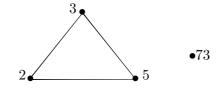


Figure 2: The prime graph of $U_3(9): 4$

As $|G| = |L:4| = 2^7 \cdot 3^6 \cdot 5^2 \cdot 73$ and D(G) = D(L:4) = (2, 2, 2, 0), then $\Gamma(G) = \Gamma(M) = \{2 \sim 3, 2 \sim 5, 3 \sim 5; 73\}$. Thus G has a disconnected prime graph with s(G) = 2. We show that G is neither a Frobenius group nor 2-Frobenius group. If G is a Frobenius group, then by Lemma 3.5(a), G = KC, with Frobenius kernel K and Frobenius complement C with

connected components $\Gamma(K)$ and $\Gamma(C)$. Not that $\Gamma(K)$ is a graph with vertex {73} and $\Gamma(C)$ with vertices {2,3,5}. By Lemma 3.3(b), $|K| \mid (|C|-1)$. Since |K| = 73 and $|C| = 2^7.3^6.5^2$, then 73 $\nmid (2^7.3^6.5^2 - 1)$, a contradiction. If G is a 2-Frobenius group, then, there is a normal series $1 \triangleleft H \triangleleft K \triangleleft G$ such that K and G/H are Frobenius groups with kernels H and K/H. By Lemma 3.2(a), $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(G/K), \pi_2(G) = \pi(K/H)\}$. Therefore, |K/H| = 73. Also, by Lemma 3.2 (b), $G/K \leq Aut(K/H) \cong Z_{72}$. Hence $|G/K| \mid 2^3.3^2$, which implies that $\{5,73\} \subseteq \pi(K)$, and so $5 \in \pi(H)$. Let $H_5 \in Syl_5(H)$ and $G_{73} \in Syl_{73}(G)$. Then $H_5charH \trianglelefteq G$. By nilpotency of H, we have $H_5 \triangleleft G$ and H_5 acts on G_{73} fixed point freely, since $5 \approx 73$ in $\Gamma(G)$. We must have $|G_{73}| \mid (|H_5|-1)$, i.e., $73 \mid (5^i - 1), i = 1$ or 2, a contradiction.

Now by Lemma 3.5 (c), there exists a non-abelian simple group P such that $P \leq \overline{G} = G/N \leq Aut(P)$, for some nilpotent normal $\{2, 3, 5\}$ -subgroup N of G and \overline{G}/P is a $\{2, 3, 5\}$ -group.

 $73 \in \pi(P)$. Since \overline{G}/P is a $\{2, 3, 5\}$ -group and $73 \mid |G|$, therefore, $73 \mid |P|$, i.e., $P \in \mathfrak{S}_{73}$, which implies that $\pi(P) \subseteq \{2, 3, 5, 73\}$. Using [8], we deduce that $P \cong U_3(9)$. We have $U_3(9) \leq G/N \leq Aut(U_3(9))$. It follows that |N| = 1 or 2 or 4.

If |N| = 1, then $G \cong U_3(9) : 4$.

If |N| = 2, then $G/C_G(N) \leq Aut(N) = 1$, so $G = C_G(N)$ and $N \leq Z(G)$. Let $G_{73} \in Syl_{73}(G)$. Then $N.G_{73}$ is a subgroup of G, therefore, $N.G_{73}$ has an element of order 2.73, which implies that $2 \sim 73$ in $\Gamma(G)$, a contradiction.

If |N| = 4, then $G/C_G(N) \leq Aut(N) \cong Z_2$. Thus, $|G/C_G(N)| = 1$ or 2. If $|G/C_G(N)| = 1$, then, $N \leq Z(G)$. Let $G_{73} \in Syl_{73}(G)$. Then $N.G_{73}$ is a subgroup of G, therefore, $N.G_{73}$ has an element of order 2.73, which implies that $2 \sim 73$ in $\Gamma(G)$, a contradiction. If $|G/C_G(N)| = 2$, then $N < C_G(N)$ and $1 \neq C_G(N)/N \leq G/N \cong L$. Therefore, from simplicity L we deduce that $G = C_G(N)$, a contradiction.

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